

## Pericapsular Nerve Group Block versus Fascia Iliaca Block for Hip Fracture Surgery: A Randomized Controlled Trial

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### ABSTRACT

**Objective:** The sitting position for spinal anesthesia (SA) in hip fracture is painful. Several peripheral nerve blocks techniques had been used to relieve pain with uncertain efficacy. The aim of our study was to compare the fascia iliaca block (FIB) to a new approach of sensitive innervations of hip; the pericapsular nerve group (PENG) block by assessing pain score when positioning patient for SA.

**Methods:** It was a randomized and simple blind study carried out with 93 patients aged  $\geq 65$  years old undergoing hip fracture surgery with spinal analgesia and for whom pain was felt when raising the affected limb to  $15^\circ$ . Twenty ml of 1.5% Lidocaine was used for both blocks. We assessed: pain at rest and movement using a 3-verbal rating scale (VRS) and performance time of each block. The primary endpoint was the pain score on sitting position.

**Results:** The comparison of VRS at 5, 10, 15 and 20 min between the 2 nerve blocks was with no significant difference and they were significantly lower compared to VRS at 5 min ( $P < 0.001$ ). When positioning for spinal anesthesia, the median of VRS was 0 for both nerve blocks. No significant difference in VRS in all type of fractures. It took more time to perform the FIB than the PENG block but with no significant difference.

**Conclusion:** PENG block was easy to perform and efficient in relieving pain after hip fracture. However, no superiority was found compared to the FIB.

**Keywords:** Analgesia, Pericapsular nerve group block, Hip fracture, Spinal anesthesia

**Abbreviations:** SP: Spinal Anesthesia; FIB: Fascia Iliaca Block; PENG: Pericapsular Nerve Group; VRS: Verbal Rating Scale; CSA: Continuous Spinal Anesthesia; ASA: American Society of Anesthesiology; BMI: Body Mass Index, FNB: Femoral Nerve Block

### INTRODUCTION

Spinal anesthesia (SA) is a widely accepted anesthetic technique for hip fracture repair. However positioning for SA can be extremely painful affecting mostly the elderly with comorbidities. Peripheral nerve blocks seem to provide better effective analgesia than standard systemic analgesia in such patients [1]. Fascia Iliaca Plane block (FIB), Femoral nerve block (FNB) and 3 in 1 femoral nerve block are widely accepted as the current standard of care for regional anesthesia of hip fractures [2]. However, some studies reported a modest reduction in pain [3]. The Pericapsular Nerve Group block (PENG Block) is a new block; described by Girón Arango in 2018; has the advantage that it covers the accessory obturator nerve [4]. The first case series evaluating

PENG Block for acute pain control in hip fracture, showed good analgesic benefit [5]. However, comparative study to

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fascia iliaca block, failed to provide any relevant additional analgesia with PENG block for hip fracture [6].

We tried in this study to demonstrate the superiority of PENG Block for positioning hip fracture patients for standardized continuous spinal anesthesia (CSA) when compared to FIB.

## MATERIAL AND METHODS

It was a monocentric; randomized and simple blind study conducted during two years (2019-2020), after obtaining approval from the local ethics committee (CE-IMKO 102/2019) and informed written consent from patients.

We included patients aged  $\geq 65$  years old and undergoing hip fracture surgical repair under CSA for whom pain was felt when raising the affected limb to  $15^\circ$  (Pain score=2 on a three scales pain score). We have not included patients having: ASA physical status  $\geq 4$ , impaired cognition or dementia, multiple fractures, contraindication to regional anesthesia, occurrence of local anesthetic toxicity.

All patients had anesthetic assessment when arriving to emergency department. In the operating room, they received standard monitoring: noninvasive blood pressure (NIBP), pulse oxymeter and electrocardiogram. A 16 Gauge IV cannula was inserted and Ringer's lactate infusion started at a rate of  $5 \text{ ml.kg}^{-1}$ . Patients were allocated by computer-generated random numbers into two groups: group A received PENG block and group B received FIB. Both block techniques were performed by the same senior anesthetist, using a Sonosite-Turbo S ultrasound machine and 5 to 10 cm Needles - B Braun. A total volume of 20 mL of 1.5% Lidocaine was injected for each block.

**For patients receiving FIB:** A linear high frequency ultrasound probe (10-15MHz) was placed in a transverse direction over the anterior thigh below the inguinal ligament. We identified the femoral artery and the iliacus muscle lateral to it, covered by the fascia iliaca. The needle was inserted in plane and advanced until the tips placed underneath the fascia iliaca.

**For patients receiving PENG block:** A curvilinear low-frequency ultrasound probe (2-5MHz) was initially placed in a transverse plane over the anterior inferior iliac spine and then aligned with the pubic ramus by rotating the probe counterclockwise approximately  $45^\circ$ . In this view, the iliopubic eminence, the iliopsoas muscle and tendon, the femoral artery and pectineus muscle were observed. The needle was inserted from lateral to medial in-plane approach to place the tip in the musculofascial plane between the psoas tendons anteriorly and the pubic ramus posteriorly.

Twenty minutes later, a CSA was given with 2.5 mg isobaric bupivacaine 0.5% and 2.5  $\mu\text{g}$  sufentanil. Incremental amounts of bupivacaine 0.5% were injected until obtaining adequate level of anesthesia. All patients received 1g IV paracetamol at the end of the surgery.

The patients were assessed by a blinded investigator every 5 min for 20 min after the block and when raising the affected limb to  $15^\circ$  using a 3 point verbal rating scale (VRS) ; 0: no pain, 1: moderate pain, 2: severe pain. The sitting position was tolerated when the score was 0 or 1. If any patient reported pain score 2 during positioning, IV fentanyl  $0.5 \text{ microgram.kg}^{-1}$  was given every 5min until the pain score is  $<2$ .

Performance of the nerve blocks was evaluated by collecting:

- Imaging time; as the time required viewing on a single cut
- For the PENG block: the femoral artery, the anterior inferior iliac spine, the iliopubic eminence and the psoas tendon.
- For the FIB: the femoral artery, the iliacus muscle, the fascia iliaca and the fascia lata.
- Puncture time; as the time that stretches from the introduction of the needle until the end of the injection of local anesthetic.
- Performance time: the imaging time + the time to puncture.
- Number of punctures: as the number of redirection of the needle after removing of 2 cm.

The primary endpoint was pain on the sitting position, 20 min after nerve blockade.

The secondary endpoints were:

- VRS score at 5, 10, 15 and 20 min after the block when raising the affected limb to  $15^\circ$ .
- The imaging time, puncture time, performance time and the number of punctures.
- Hemodynamic status (mean blood pressure and heart rate) during surgery
- Cumulative consumption of bupivacaine.

We collected: demographic characteristics (Age, gender, BMI (body mass index), ASA score), type of fracture and time to surgery.

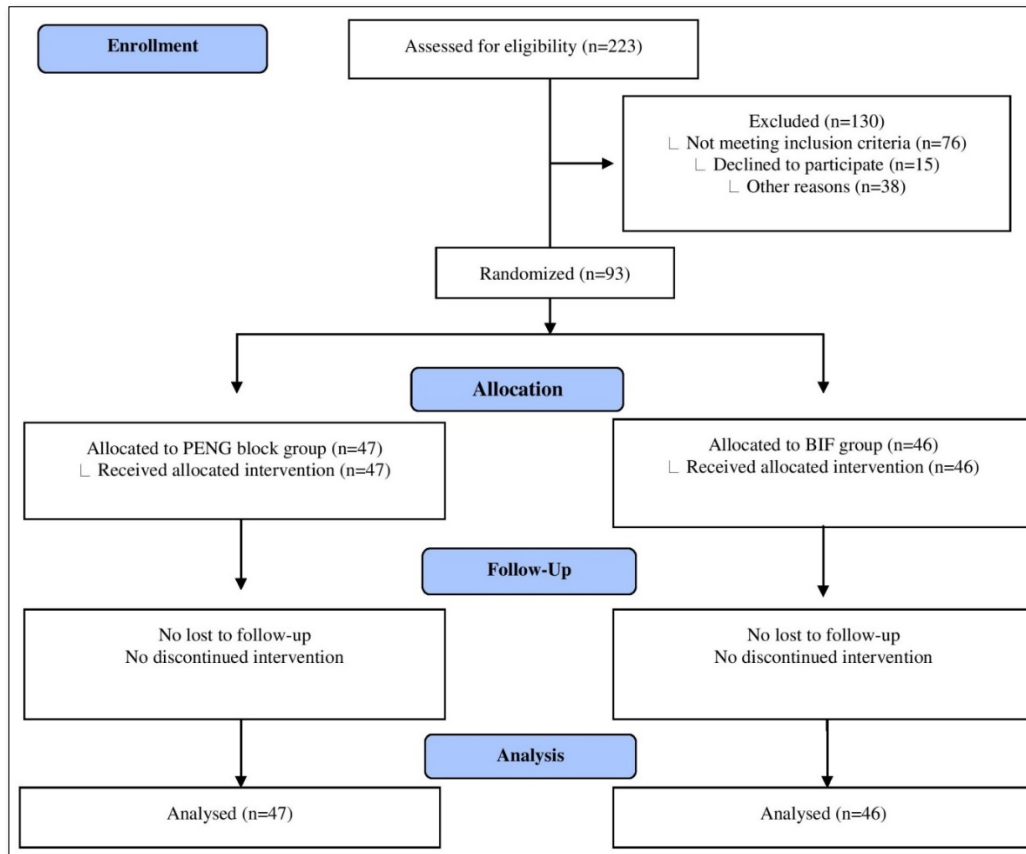
Data were analyzed using SPSS Statistics for Windows version 25.0 (IBM Corp, Armonk, New York). The mean and standard deviation were calculated for continuous variables and the median was calculated for discontinuous variables. In case of normal distribution, paired or independent T tests were carried out, whereas Mann-Whitney U test was performed for non-normally distributed variables. For categorical variables,  $\chi^2$  tests were used. To assess group differences for measures taken repeatedly over time, Wilcoxon signed-rank test was performed.  $P < 0.05$  was considered statistically significant.

Initial sample size estimation showed that approximately 35

patients were needed in each group to detect a clinically relevant difference in pain score during positioning of 20% with a power of 0.80 and a level of significance of 0.05. We will include 77 patients regarding 10% of loss. In our clinical practice, FIB was associated with pain relief in only 75% of patients.

## RESULTS

We included 93 patients (**Figure 1: Flow Diagram**). Women had a twice higher rate for being victims of hip fracture. Most patients were classified ASA 2 or 3. The intertrochanteric fracture was the most frequent hip fracture. All patients had a lateral surgical approach. There was no difference between the two groups in terms of demographic characteristics and preoperative anesthetic assessment (**Table 1**).



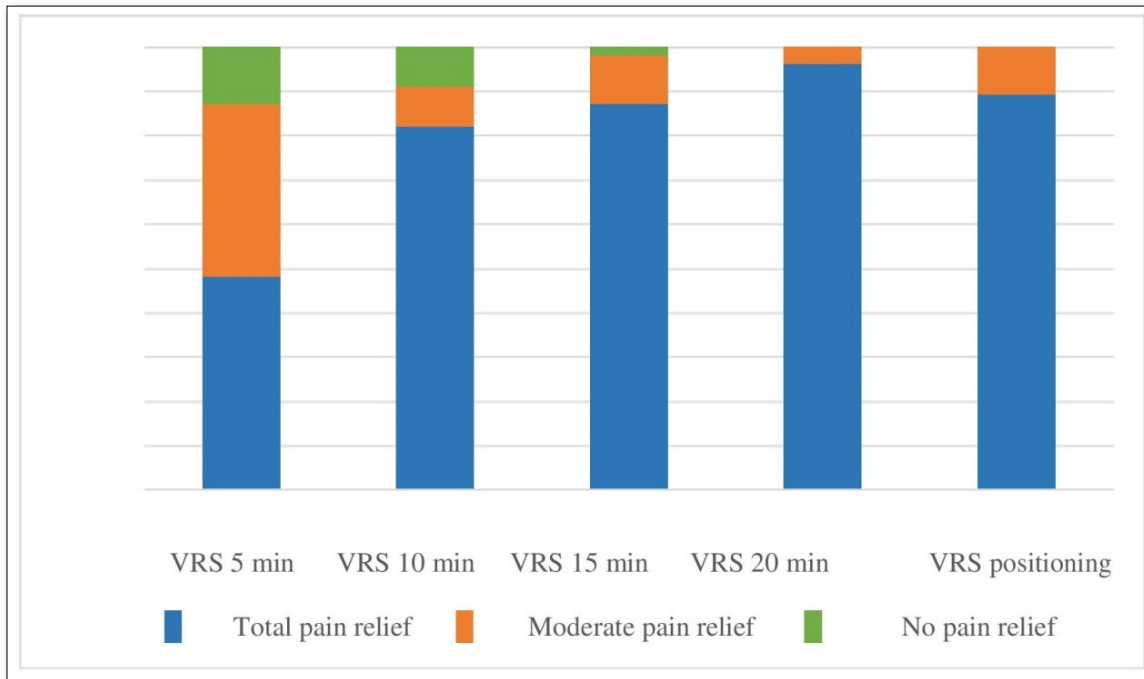
**Figure 1.** Flow Diagram.

**Table 1.** Comparison of demographic characteristics and Pre-operative anesthetic assessment between the two groups.

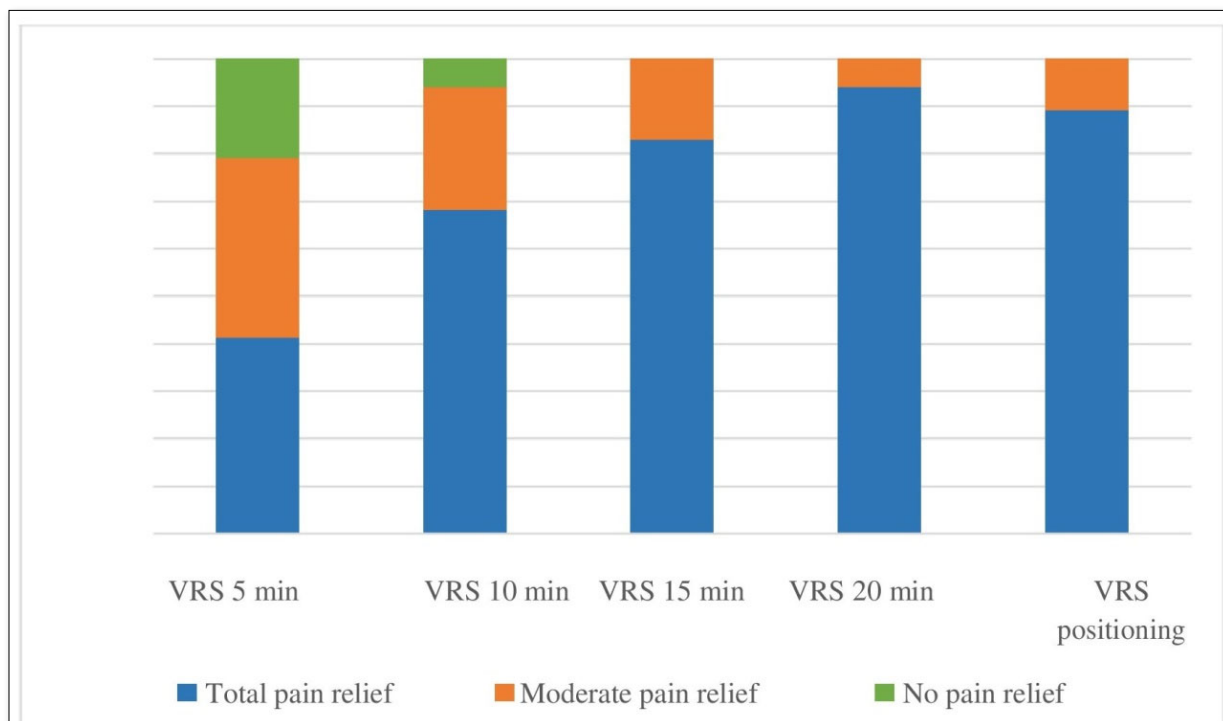
|                                            | PENG block group N= 47 | FIB group N=46 | P value |
|--------------------------------------------|------------------------|----------------|---------|
| Age                                        |                        |                |         |
| Mean and SD (yr)                           | 79.8 ± 8.4             | 82 ± 8.3       | 0.2     |
| Gender N (%)                               |                        |                |         |
| Male                                       | 15 (32%)               | 17 (37%)       | 0.6     |
| Female                                     | 32 (68%)               | 29 (63%)       |         |
| BMI                                        |                        |                |         |
| Mean and SD (kg/m <sup>2</sup> )           | 25.15 ± 3.58           | 26.16 ± 4.28   | 0.22    |
| ASA                                        |                        |                |         |
| ASA 1                                      | 2 (4%)                 | 1 (2%)         | 1       |
| ASA 2 and ASA 3                            | 45 (96%)               | 45 (98%)       |         |
| Type of fracture                           |                        |                |         |
| Intertrochanteric fracture                 | 33 (70%)               | 33 (72%)       | 0.87    |
| Femoral neck and Subtrochanteric fractures | 14 (30%)               | 13 (28%)       |         |

In both groups, 89% of patients had a total pain relief during positioning and more than 90% at 20 min. both blocks were efficient for all measurements and especially when positioning (**Figures 2 & 3**). The VRS declined significantly when compared with VRS at 5 min, for all measures and for

both groups ( $p < 0.001$ ). No patient needed IV fentanyl. We didn't find any difference in pain scores in all times, in all type of fractures and when positioning before SA in both groups (**Table 2**).



**Figure 2.** The percentage of patients with different VRS scores in the FIB group in all time plots.



**Figure 3.** The percentage of patients with different VRS scores in the PENG group in all time plots.

**Table 2.** Comparison of Nerve blocks' performance times.

|                     | PENG block group N=47 | FIB group N=46 | P value |
|---------------------|-----------------------|----------------|---------|
| Imaging time        |                       |                |         |
| Mean and SD (sec)   | 17.68 ± 22.97         | 29.83 ± 49.37  | 0.13    |
| Maximum (sec)       | 120                   | 240            |         |
| Minimum (sec)       | 2                     | 1              |         |
| Puncture time       |                       |                |         |
| Mean and SD (sec)   | 91.06 ± 35.44         | 96.7 ± 55.83   | 0.56    |
| Maximum (sec)       | 192                   | 300            |         |
| Minimum (sec)       | 35                    | 30             |         |
| Performance time    |                       |                |         |
| Mean and SD (sec)   | 108.74 ± 48.24        | 126.52 ± 90.21 | 0.24    |
| Maximum (sec)       | 300                   | 540            |         |
| Minimum (sec)       | 50                    | 50             |         |
| Number of punctures |                       |                |         |
| Median              | 1                     | 1              | 0.22    |

Performance time for PENG block was shorter but with no significant difference (**Table 3**).

equivalent in both groups. Total bupivacaine consumption was similar in both groups ( $4.76 \pm 1.44$  mg in PENG group

vs.  $4.63 \pm 1.84$  mg in FIB group;  $p=0.63$ ). Duration of surgery was not different between groups ( $75.34 \pm 32.25$  min in PENG group vs.  $80.12 \pm 33.17$  min in FIB group;  $p=0.54$ ).

**Table 3.** Comparison of pain scores between the 2 groups and considering the type of fracture.

|                 | Both groups      |                |      | Femoral neck fracture |                |      | Inter/subtrochanteric fracture |                |      |
|-----------------|------------------|----------------|------|-----------------------|----------------|------|--------------------------------|----------------|------|
|                 | N=93             |                |      | N=66                  |                |      | N=27                           |                |      |
|                 | PENG Gp<br>N=47  | FIB Gp<br>N=46 | P    | PENG Gp<br>N=33       | FIB Gp<br>N=33 | P    | PENG Gp<br>N=14                | FIB Gp<br>N=13 | P    |
|                 | Median and range |                |      | Median and range      |                |      | Median and range               |                |      |
| VRS 5 min       | 1 (0-1)          | 1 (0-1)        | 0.59 | 0 (0-1)               | 1 (0-2)        | 0.2  | 1 (0-1.75)                     | 0 (0-1)        | 0.12 |
| VRS 10 min      | 0 (0-1)          | 0              | 0.47 | 0                     | 0 (0-1)        | 0.31 | 0 (0-1)                        | 0              | 0.08 |
| VRS 15 min      | 0                | 0              | 1    | 0                     | 0 (0-1)        | 0.31 | 0                              | 0              | 0.15 |
| VRS 20 min      | 0                | 0              | 1    | 0                     | 0              | 1    | 0                              | 0              | 1    |
| VRS on Position | 0                | 0              | 1    | 0                     | 0              | 1    | 0                              | 0              | 0.71 |



Intra operative mean blood pressure and heart rate were

## DISCUSSION

In our study both PENG block and FIB were confirmed to be efficient in providing adequate preoperative analgesia. We noted a significant decrease in pain scores at 15 min, 20 min and while positioning when compared to pain score at 5 min for both nerve blocks. However, we didn't find any difference between the 2 blocks in pain scores in all time, in all type of fractures and even when positioning before CSA.

This study had two main limitations. First, we did not evaluate sensory dermatomal level to check the effective coverage from both blocks. Second, we didn't assessed postoperative analgesia to evaluate any superiority of PENG block compared to FIB in the early postoperative period. But, these two limitations didn't interfere with our main result, as no painful sitting position was reported in both groups.

Optimal sitting position is one of the prerequisites for smooth conduct of SA as the majority of hip-fracture patients experience severe pain. The femoral nerve block (FNB) and FIB are commonly used for analgesia in hip fractures. A Cochrane review evaluating nerve blockades in hip fractures has shown high quality evidence supporting a reduction in dynamic pain after either FNB or FIB [3].

The FIB is the most popular nerve block among anesthesiologists to provide immediate and postoperative analgesia in hip fractures [7,8]. It provides better quality during positioning than intravenous analgesic and was safer [9]. However, recent study failed to demonstrate any superiority of FIB to neither IV morphine nor FNB [10,11]. Literature suggests that the obturator nerve is not covered by these blocks [4]. Hip joint is richly innervated. Anatomical studies show that the anterior hip joint capsule is mainly innervated by the femoral nerve, the obturator nerve, and, inconsistently, the accessory obturator nerve [12,13]. The PENG block, described by Giron-Arango [4] is a musculofascial plane block between psoas tendon and pubic ramus targeting the articular branches of both femoral nerve and accessory obturator nerve. In a pilot study, authors found median drop of 7 points in pain score following the PENG block for hip fracture.

Cadaveric study demonstrated the diffusion of methylene blue into the anterior hip capsule when administered with PENG block [14]. Although PENG block targets these nerves that supply the hip capsule, femoral nerve block and fascia iliaca block do not target them.

First case series reported efficacy of PENG block for intra and postoperative analgesia in hip surgery with a median reduction of 4 to 7 points in preoperative NRS (numeric rate scale) [15,16]. Furthermore, PENG block provided prolonged postoperative analgesia up to 12.5 h [16]. PENG block administered prior to positioning patients for SA, showed significant drop in pain scores during active

movement and a comfortable positioning during SA for most patients [5,17,18]. In our study, the PENG block provided a significant pain reduction at 20 min compared to prior to block. The sitting position was optimal for all patients.

When compared to supra inguinal FIB, PENG Block provided a significantly quicker onset of pain control in neck fracture (13.6 min vs. 22 min) but a similar duration (9.9 h in PENG block vs. 10.32 h in FIB) [19]. In Shankar [18] study, mean reduction in pain score during dynamic movement and sitting position, was significantly higher in PENG group;  $7.2 \pm 0.7$  vs.  $5 \pm 0.8$  in FIB group;  $p < 0.00$  [18]. Quality of patient positioning for SA was higher in group PEN, but no patient required additional IV fentanyl. Duration of block was comparable in both groups (7.85 and 8.16 h, respectively).

Similar results were reported by Jadon A [6], reduction of the NRS at rest and at movement in PENG block group was significantly higher than in the FIB group (6 and 9 to 3 and 4; 5 and 8 to 4 and 5). Patients in PENG group were significantly more comfortable than with FIB when sited ( $2.15 \pm 0.6$  vs.  $1.39 \pm 0.49$ ;  $P < 0.0001$ ). But, analgesic duration was equivalent in both groups (11.8 h and 11.2 h).

We failed to demonstrate any superiority of PENG Block compared to FIB during hip mobilization and sitting position. Our results were different from the literature. The study design differences were the first reason. We used a simple pain scale with only 3 points score compared to scores reported in literature. Such score is usually used in our unit to assess pain in elderly. In our study, we aimed to reduce proportion of patient with uncomfortable/failed positioning, which was more clinically relevant compared to objectives chosen in other studies. Another difference is the age of our population, who was the oldest compared to literature ( $81 \pm 8$  years vs.  $68 \pm 13$  years [18]). Finally, we did not check onset time and duration of blocks in our study as all blocks were done with lidocaine.

In a recent series of 52 elderly patients with hip fracture in the emergency department, similar results to our study were reported [20]. The PENG block (19 patients) was compared to either FIB (19 patients) or FNB (14 patients). No difference in pain score reduction within 60 min between groups has been reported. Postoperative opioid use was similar between the groups. The PENG block was as effective for extracapsular proximal femur fractures compared to intracapsular fractures.

The FNB is considered as one of a standard of care for hip fractures [3]. However, it induces a motor block of the quadriceps muscle, provoking a potential delay in reeducation. A comparative observational study [21] including 42 patients, PENG block was comparable to FNB for postoperative pain control but produces less quadriceps muscle block. Postoperative muscle strength of the quadriceps in the immediate postoperative period, was

greater in the PENG group than in the FNB group (5/5 vs. 2/5,  $p = 0.001$ ). A recent randomized trial [22] with sixty patients, postoperative pain in the recovery room and quadriceps strength in the PENG group were better compared to FNB. The preservation of quadriceps strength is another theoretical advantage of the PENG block. Histological studies showed that nociceptive hip joint capsule fibers primarily innervate the anterior aspect of the capsule, while the nerve fibers innervating the posterior aspect of the capsule are mainly mechanoreceptors [23-25]. These findings suggest that blocking the nerves innervating the anterior capsule is the most important factor in achieving good analgesia and preserving quadriceps strength when performing PENG block. However, Yu [26] reported that 2 of over 100 patients who underwent PENG block developed motor blockade [25]. They suggested that abnormal spread of local anesthetic to motor branches of the femoral nerve could be possible when blockade is technically challenging to perform. In a cadaveric model using 20 mL of methylene blue, Tran [15] did not observe the spread pattern posterior to the articular capsule. Mistry [27], reported that the injection zone of the local anesthetic in PENG block is very important and would influence the effectiveness of the block. Optimal injection is reflected in the ultrasound vision of a medial diffusion towards the iliopubic eminence.

In our study, PENG block was easy to perform. The performance time in the PENG block group was shorter than the FIB group. In one study [27] comparing the two ultrasound approach's, performance time for PENG block was shorter in out-of-plane approach (53 sec. vs. 84 sec.). We used in-plane approach with a mean performance time of  $108.74 \pm 48.24$  sec [28].

## CONCLUSION

We conclude that, PENG block was as efficient as FIB in providing painless and comfortable sitting position for SA in hip surgery repair for elderly. It was easy to assimilate and perform and was also safe. More studies should be conducted to enhance our knowledge about real advantages of this new block: success rate and quadriceps muscle preservation.

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## TRIAL REGISTRATION

The study was registered in ClinicalTrials.gov under the number NCT 04285333.

## CONFLICTING INTERESTS

The Authors declare that there is no conflict of interest.

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