

Nucleic Acids (DNA/RNA) as Nanoparticles Structures for siRNA Delivery Medical Applications

Loutfy H Madkour*

*Chemistry Department, Tanta University, Tanta, Egypt

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ABSTRACT

Engineered design of synthetic DNA/RNA molecules can generate pre-defined structures that can easily self-assemble to form nanoparticles with multiple functionalities. The identification and selection of highly potent siRNA sequences has already been accomplished for many gene targets, and the synthesis of siRNAs on a large scale has been achieved. The field of oligonucleotide-based nanotechnology for biomedical applications is just emerging, but will play an important role in the delivery of siRNA. In particular, oligonucleotide-based structural RNAi systems described in this chapter are promising as a new generation of gene delivery carriers for cancer therapy.

RNA interference (RNAi) is a gene regulation mechanism initiated by RNA molecules that enables sequence-specific gene silencing by promoting degradation of specific mRNAs. Molecular therapy using small interfering RNA (siRNA) has shown great therapeutic potential for diseases caused by abnormal gene overexpression or mutation. The major challenges to application of siRNA therapeutics include the stability and effective delivery of siRNA *in vivo*. In this chapter, we discuss recent advances in nanoparticle-mediated siRNA delivery systems and the application of these systems in clinical trials for cancer therapy. Furthermore, we offer perspectives on future applications of siRNA therapeutics.

Keywords: RNAi, siRNA, Nanotechnology, Structural DNA/RNA

INTRODUCTION

In order to activate the RNAi pathway, double stranded siRNA must travel through the bloodstream and gain access to the cytosol of target cells. The hydrophilic nature and large molecular weight of siRNAs prevent the molecules from diffusing across the cellular membrane into the cell; therefore, modifications to the nucleic acid and generation of clever delivery strategies are necessary for the creation of siRNA therapeutics.

STRUCTURAL DNA/RNA-BASED RNAI SYSTEMS

RNA interference (RNAi) has been recognized as the sequence specific silencing of target mRNA by a long ds-RNA, enabling efficient suppression of gene and protein expression [1]. After the first report on *Caenorhabditis elegans* in 1998, this phenomenon has been verified in plant, insect, fungi, and mammalian cells [2]. RNAi is now considered to be a highly preserved natural mechanism for the regulation of gene expression in many organisms. Once a long ds-RNA is introduced into the cytoplasm, it is processed by an RNase type-III enzyme (dicer) to generate a short ds-RNA fragment of 21-25 base pairs [3]. The processed ds-RNA fragment can be loaded onto the RNA-induced silencing complex (RISC), and an antisense RNA strand serves as a sequence-specific guide for targeted

mRNA cleavage [4,5]. After the dicer process, a short ds-siRNA shows more specific cleavage of target mRNA with improved off-targeting effects.

METHODS

To overcome the critical hurdles of siRNA delivery, various delivery systems have been proposed such as viral and synthetic cationic carrier systems. To date, various viral systems have been developed to endogenously express shRNAs for gene silencing, and these systems include retrovirus, adenovirus, adeno-associated virus (AAV), and lent virus [9]. The viral systems have the clear advantage of a high transduction efficiency and stable expression of shRNAs for a prolonged period. However, many studies have shown the potential drawbacks of viral systems such as

Corresponding authors: Loutfy H Madkour, Chemistry Department, Faculty of Science Tanta University, 31527, Tanta, Egypt, Tel: +201026724286; E-mail: loutfy_madkour@yahoo.com, lha.madkour@gmail.com

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risk of mutation, high initial immune response, nonspecific tissue distribution, and undesirable inflammation. Non-viral and synthetic cationic carriers are another class of siRNA delivery system.

Positively-charged polymers, peptides, and lipids have been widely utilized to formulate siRNAs into a compact nanoparticle, facilitating the intracellular uptake of siRNAs [10]. The main mechanism of preparation of nanoparticle complexes is an electrostatic charge interaction between anionic nucleic acids and cationic carriers in aqueous solution. It is similar to that of cationic delivery systems for plasmid DNA (pDNA), however, there is a huge difference in the physical behavior of siRNA compared with long pDNA. siRNA is known to be more rigid due to its rod-like helical structure, having a relatively low charge density, and it remains difficult to formulate compact and stable siRNA complexes with conventional cationic carriers [11,12]. To simply achieve enhanced particle stability, the addition of an excess amount of cationic condensing agent is often carried out to formulate uncompromising siRNAs. However, this non-specific and excessive positive charge on nanocomplexes can cause severe cytotoxicity and immune responses.

RESULTS AND DISCUSSION

Recently, a variety of structural DNA/RNA-based RNAi systems (structural RNAi systems) have been suggested to resolve the aforementioned problems of siRNA delivery. Structural RNAi systems mimic endogenous long ds-RNA, but are prepared by synthetic or equivalent methods to resemble the therapeutic efficacy of siRNAs. The preparation of structural RNAi systems can be as simple as base pair hybridization and bioconjugation, or as complicated as 3D nucleic acid nanotechnology (Table 1). The concept of structural RNAi systems is to overcome the physical drawbacks of siRNAs, while providing structural flexibility to generate more condensed and stable polyelectrolyte complexes. In addition, some of the suggested structural RNAi systems aim to act as carrier-free delivery systems for siRNAs. This approach is particularly unique due to the fact that the structural DNA or RNA itself can serve as a delivery carrier in addition to functioning as a therapeutic drug. In this chapter, we have focused and emphasized the current advances and technological developments in structural RNAi systems. The structural RNAi systems are beginning to show promise, however, the impact on the RNAi field and gene therapy will be realized shortly through the persistent interdisciplinary research in diverse fields.

Table 1. Pros and cons of structural DNA/RNA-based RNAi systems.

Types of siRNA structure		Pros/Cons
Long linear siRNA and branched siRNA delivery	Linear siRNAs [13]	High charge density for polyplex formation, enhanced serum stability, and cellular uptake. Only one gene target and relatively fast degradation of long siRNAs.
	Linear siRNAs having two different RNA sequences [14,15] Branched siRNAs [16]	Effective dual-target gene knockdown. Multi-gene targeting at the same time and the synergistic effect of co-delivery. Gene silencing of different target genes at the same time. Higher charge density than long linear siRNAs. Sustainance of RNAi effects <i>in vivo</i> .
	siRNA micro hydrogels [17]	Enhanced cellular uptake compared with multimer-siRNA <i>in vitro</i> . Higher binding capacity with low molecular weight (LMW) cationic carriers compared with linear siRNAs.
	Linear siRNAs with aptamers [18,19]	Active targeting effect and minimization of immune response problem.

		Endolytic activity of aptamers is not clear.
Three-dimensional oligonucleotide structures for siRNA delivery	RNA nanoparticles [20-22]	Thermodynamically stable and good resistance to serum ribonuclease <i>in vivo</i> . High cost and size limit of RNA synthesis. Difficulty in RNA NP synthesis and low retention time in serum of patients.
	pRNA structures [23-26]	Generally stable to changes in temperature, salt, and pH. Can deliver various molecules to cell-surface receptors. Higher gene silencing effect than the naked siRNAs. Degradation by RNase <i>in vivo</i> .
	DNA nanostructures [27]	Chemically-modified oligonucleotides are used to increase the plasma stability, as well as to reduce the immune stimulation. Defined three-dimensional structure can govern the density and spatial orientation of the ligands. Good for delivery of siRNA-ligand conjugates.
DNA/RNA ball technology	RNA micro sponge/ball [28,29]	Enhanced cellular uptake of siRNA with a high cargo capacity. Good silencing effects <i>in vitro</i> and <i>in vivo</i> . RNA structures with various shapes can be prepared.
	DNA scaffolds [30,31]	Enhanced stability for microscopic DNA structures. Shape of DNA structures can facilitate the endosomal release of siRNAs.

RNA interference (RNAi) is a process by which RNA molecules, with sequences complementary to a gene's coding sequence, induce degradation of corresponding messenger RNAs (mRNAs), thus blocking the translation of the mRNA into protein [32,33]. RNAi is initiated by exposing cells to long dsRNA via transfection or endogenous expression. dsRNAs are processed into smaller fragments (usually 21 - 23 nucleotides) of small interfering RNAs (siRNA) [34], which form a complex with the RNA-induced silencing complexes [35]. Introduction of siRNA into mammalian cells leads to downregulation of target genes without triggering interferon responses [34]. Molecular therapy using siRNA has shown great potential for diseases caused by abnormal gene overexpression or mutation, such as various cancers, viral infections, and

genetic disorders, as well as for pain management. In the last 10 years, a tremendous effort has been made in biomedical therapeutic application of gene silencing in humans. Phase I studies of siRNA for the treatment of age-related macular degeneration and respiratory syncytial virus provided promising data with no sign of nonspecific toxicity [36,37]. However, there are many challenges to be overcome for siRNA cancer therapeutics, including safety, stability, and effective siRNA delivery.

The major barrier facing siRNA therapeutics is the efficiency of delivery to the desired cell type, tissue, or organ. siRNAs do not readily pass through the cell membrane due to their size and negative charge. Cationic liposome-based strategies are usually used for the cellular delivery of chemically synthesized or *in vitro* transcribed

siRNA [38]. However, there are many problems with lipid-based delivery systems *in vivo*, such as rapid clearance by the liver and lack of target tissue specificity. Delivery systems can be categorized into physical methods, conjugation methods, and natural carrier (viruses and bacteria) and nonviral carrier methods [39]. DNA-based expression cassettes that express short hairpin RNA (shRNA) are usually delivered to target cells *ex vivo* by viruses and bacteria, and these modified cells are then reinfused back into the patient [40]. The popular adenovirus- and adeno-associated virus-derived vectors provide efficient delivery for shRNA expression [41]. However, there are problems with delivery using viral vectors, such as insertional mutagenesis and immunogenicity [42]. Nonviral gene delivery systems are highly attractive for gene therapy because they are safer and easier to produce than viral vectors.

Nanotechnology has made significant advances in the development of efficient siRNA delivery systems. Current nonviral delivery systems can be categorized as organic and inorganic [43]. Organic complexes include lipid complexes, conjugated polymers, and cationic polymers, whereas inorganic nanoparticles include magnetic nanoparticles, quantum dots, carbon nanotubes, and gold nanoparticles.

POLY/MULTIMERIC SIRNA DELIVERY APPLICATIONS

In order to properly induce systemic *in vivo* gene silencing, a large amount of siRNA (3-9 mg/kg) has often been required [44]. However, due to the immune response triggered by excessive RNA materials and cationic carriers, practical applications of RNAi gene therapy have been hampered [45-47]. High molecular weight siRNAs were first proposed in 2007 to improve the physical drawbacks of short rigid ds-RNA [48]. For polyelectrolyte complexation, a more flexible chain of ds-RNA is favorable to form condensed and compact nanoparticles with cationic carriers. However, as compared with plasmid DNA that shows a very flexible nature, siRNA has a rigid rod-like structure with an estimated length of 7 nm. Since the persistent length of ds-RNA is over 260 bp [49-51], siRNA cannot be easily formulated using conventional cationic carriers that are designed for the delivery of pDNA. The physical problems of short ds-RNA have been well-documented in various studies, and the advantages of high molecular weight siRNAs have been highlighted [48,52].

Long linear siRNA

Previously, various methods for preparing long linear siRNA have been investigated. Simple sticky overhang hybridization and bioconjugation of each sense and antisense strand of siRNA has mainly been proposed to generate multimeric blocks of siRNA. Among these, a cleavable disulfide linkage between siRNA strands has been popularly utilized [53-55]. The 5'-ends of the sense and antisense

siRNA strand were functionalized with free thiol groups, and these thiol-modified RNA strands were utilized to form a disulfide-polymerized polysiRNA. The polymerized siRNA had a broad range of bp length in the order of 50–1000 bp [13]. In the complexation experiment with a low molecular weight polyethylenimine (LMW-PEI) (MW: 1800), polysiRNA formed condensed and compact nanocomplexes at a weight ratio of 1.25 and a size of 235 nm, while mono- or naked siRNA generated large and loose particles with a size over 1000 nm. The stability of poly-siRNA was also confirmed by a heparin competition assay and serum stability assay. The results revealed that poly-siRNA was far more condensed, overcoming the serum degradation and being more ionically stable than mono-siRNA. In addition, *in vitro* cellular uptake and gene silencing experiments verified that poly-siRNA has a greater efficiency over mono-siRNA due to its high charge density and stability under formulated conditions.

Mok [14] highlighted the *in vivo* efficacy of long linear siRNA (**Figure 1**). Multimerized siRNA (multi-siRNA) was prepared by utilizing a cleavable and non-cleavable cross linker [14]. The 3'-end of each RNA strand had a thiol functional group, which later reacted with a short cross linker to produce a multi-siRNA. Since this study utilized both a cleavable and non-cleavable linker, a detailed study of the gene silencing mechanism of multi-siRNA was accomplished. For multi-siRNA with a cleavable linker, once it is internalized, it can dissociate to mono-siRNA due to the reducing conditions in the cytoplasm as a result of glutathione (GSH). However, in the case of multi-siRNA with a non-cleavable linker, dicer is needed to process the multi-siRNA to generate short ds-RNAs by random cleavage. Therefore, the cleavable linker provided a more sequence-specific degradation of mRNA. *In vivo* experiments have revealed that multi-siRNA show far enhanced gene silencing efficacy as compared with naked siRNA. Immunostimulation upon the injection of multi-siRNA has also been investigated, and both cleavable and non-cleavable multi-siRNA showed a relatively low level of interferon alpha (IFN- α) when formulated with linear PEI (LPEI). It is important to note that, when non-cleavable multi-siRNA was formulated with N-[1-(2,3-dioleoyloxy)propyl]-N,N,N-trimethylammonium methylsulfate (DOTAP), a massive increase in IFN- α was observed. This suggests that immune stimulation is highly affected by not only the genetic material itself but also by the delivery carriers.

Dual gene targeted multimeric siRNA conjugates (DGT multi-siRNA) was developed to induce simultaneous gene knockdown of two selective proteins (green fluorescent protein (GFP) and vascular endothelial growth factor (VEGF)). Using either cleavable or non-cleavable crosslinkers, an anti-GFP and anti-VEGF sequence containing multimeric siRNA was prepared by the thiol-maleimide reaction of the 3'-end of thiol functional group of

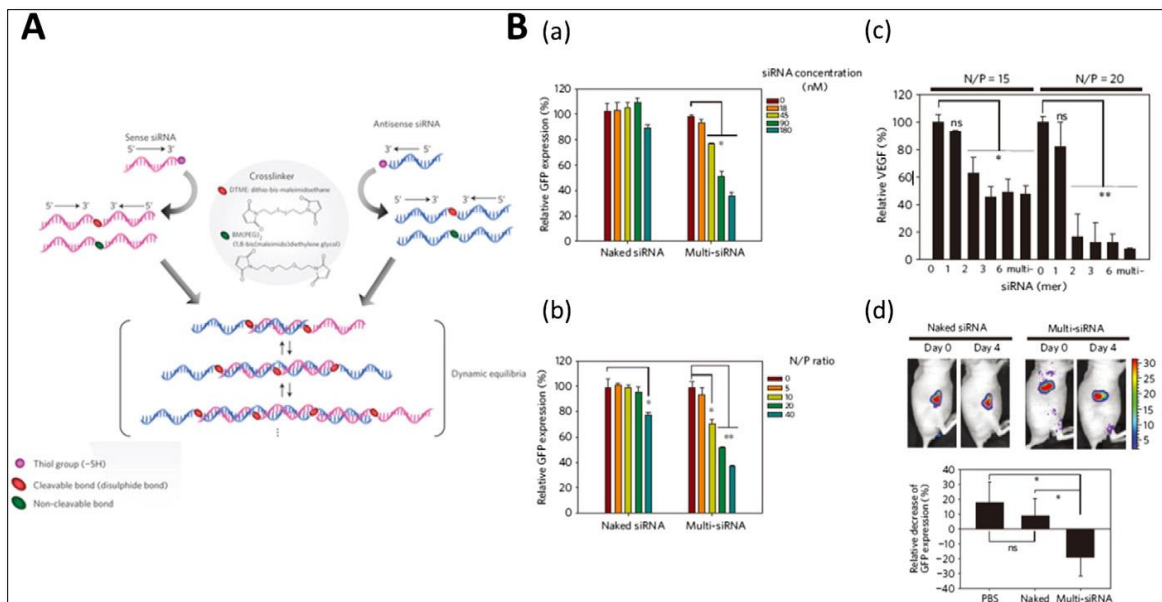


Figure 1A. Schematic illustration of multi-siRNAs with cleavable and non-cleavable linkages. **1B.** Gene silencing effect of multi-siRNA with LPEI complexes *in vitro* and *in vivo*. (a) Relative GFP expression of LPEI complexes with naked/multi-siRNA at various siRNA concentrations inMDA-MB-435 cells. (b) GFP fluorescence intensity of LPEI complexes with naked/multi-siRNA at various N/P ratios (siRNA concentration=180 nM). (c) Relative VEGF expression of LPEI complexes with naked/multi-siRNA at an N/P ratio of 15 and 20, and various siRNA lengths (siRNA concentration=90nM) in PC3 cells. p b 0:05, p b 0:005 compared with the control; ns=not significant. (d) *In vivo* optical images (upper panel) and quantitative analysis (bottom panel) of GFP expression intensity of the tumor after local injection of LPEI complexes with naked/multi-siRNA (n=4–6). p < 0.005; ns=not significant. Multimeric small interfering ribonucleic acid for highly efficient sequence-specific gene silencing.

the RNA and crosslinker [15]. It is interesting to note that, at the same concentration, the DGT multi-siRNA induced enhanced gene silencing of target proteins as compared with the mixture of single gene-targeted multimeric siRNA. Since simultaneous silencing of multiple upregulated genes is highly attractive for anticancer treatment, the surviving and Bcl-2 genes have been dual targeted, and a synergistic apoptotic effect on cancer cells was achieved. To further evaluate the enhanced gene silencing on dual-targeted siRNA delivery, dimerized siRNA was synthesized with a cleavable disulfide bond [56]. Unlike multi-siRNA that is the mixture of various long ds-RNAs such as a mixed population of multimers, the dimerized siRNA can offer a better-quality control for synthesis. As compared with monomer siRNA, dimerized siRNA showed far enhanced complexation behaviors with cationic polymers. In addition, enhanced intracellular delivery and gene silencing could be achieved with the dimerized siRNA with a polyethylene glycol (PEG) modification to improve the serum stability of nanocomplexes.

Multimerized siRNA systems have been utilized as a scaffold carrier for the delivery of aptamer-siRNA conjugates (**Figure 2**). An aptamer is a short oligonucleotide with a high binding affinity for various target molecules including small molecules, peptides, and oligonucleotides. Aptamers have been widely used as novel ligands for

targeted gene delivery due to their various advantages such as high specificity and binding affinity, low immune stimulation, and the ease of preparation [18]. Amucin 1 (MUC1) DNA aptamer was used as a targeting ligand for cancer cells because MUC1 is highly overexpressed in malignant adenocarcinoma [10,18]. To prepare the comb-type aptamer-siRNA conjugates, antisense strands of siRNA were first multimerized with a cleavable disulfide linkage, and later MUC1 aptamer-sense strands were hybridized to form a linear multimerized dsRNA structure with repeated introduction of MUC1 aptamers. As compared with the direct one to one conjugate of MUC1 aptamer and siRNA, enhanced uptake of the comb-type aptamer-siRNA conjugates were achieved in MCF-7 cells. It is likely that this enhanced intracellular uptake of multivalent comb-type aptamer-siRNA conjugates (Comb-Apt-siR) is attributed to a more favorable chance of contact with MUC1 and/or the synergistic effects of multivalent aptamers for endocytosis. However, gene silencing of Comb-Apt-siR without an additional cationic carrier was not achieved due to the lack of endosomal escaping properties. This study highlighted the multivalent ligands can greatly enhance the intracellular delivery efficiency of Comb-Apt-siR [19].

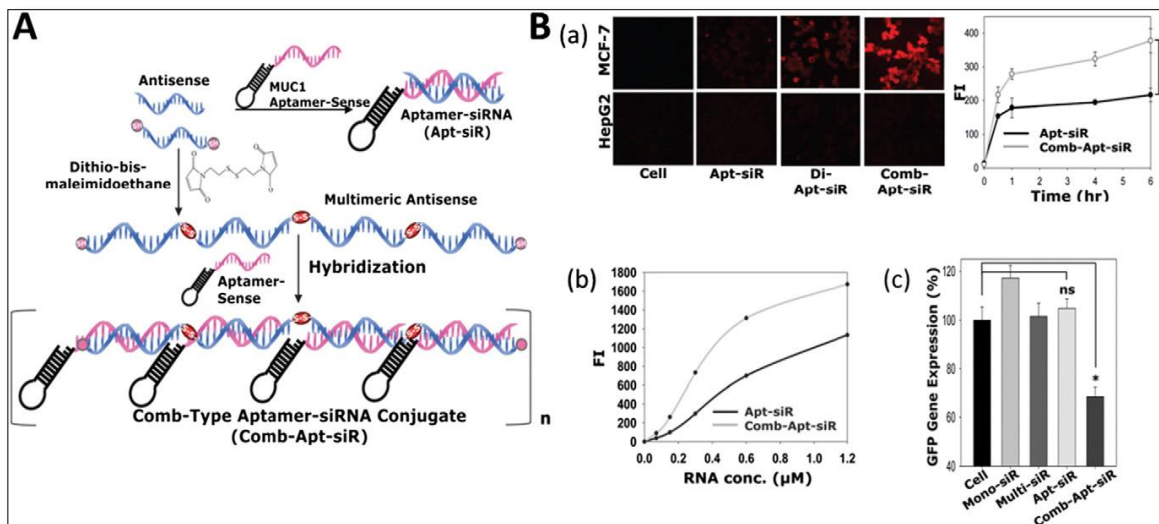


Figure 2A. Schematic illustration of the synthesis process of multivalent comb-type aptamer-siRNA conjugates (Comb-Apt-siR). **2B.** Gene expression effects of Comb-Apt-siRs. (a) Gene expression effect analysis of Comb-Apt-siRs using confocal microscopy. (b) Fluorescence intensity of Comb-Apt-siRs in MCF-7 cells. (c) Relative GFP expression of Comb-Apt-siRs in A549 cells (* $p < 0.01$; ns=not significant - Multivalent comb-type aptamer-siRNA conjugates for efficient and selective intracellular delivery).

Branched siRNA

As an alternative to long linear siRNA, branched and dendrimer-like siRNA structures have been developed. Unlike the natural RNA strands that are quickly degraded in biological fluid, functional RNA structures may provide a prolonged RNAi effect due to their increased serum stability and charge density [57]. Nakashima et al. reported the synthesis of a branched siRNA structure with three- or four-way junctions [16]. By simple base pair hybridization, trimer and tetramer RNA were efficiently self-assembled (**Figure 3**). To evaluate their prolonged RNAi effects, these branched RNA structures were incubated with the dicer, and their stability against nuclease was investigated. Compared with the linear RNA substrate, the branched RNA structures produced active 21 bp siRNAs at a much slower rate. The prolonged generation of siRNAs under cytosolic conditions can enhance the overall duration of the RNAi effect, thereby maximizing gene regulation under various applications. The tetramer RNA resulted in stable luciferase gene silencing over a period of 5 days without chemical modification of RNA bases.

In addition to the simple branched RNA structure, more complex dendrimer-like structures of siRNAs were developed by Hong [17]. Two types of RNA dendrimer were prepared by the simple hybridization of dimeric siRNA and Y-shaped siRNA (**Figure 4**). The dimeric and Y-shaped siRNAs were synthesized by the use of a non-cleavable crosslinker of 1,8-bis(maleimidodiethylene) glycol (BM(PEG)2) and tri-[2-maleimidoethyl]-amine (TMEA).

Depending on the mixture of dimeric and Y-shaped siRNA, highly branched and dendrimer-like structures were generated, and this networked structure could form RNA micro hydrogel. The porosity and networked structure of RNA micro hydrogel could be controlled by simply increasing the ratio of Y shaped siRNA over dimeric RNA. The size of RNA micro hydrogel is roughly 2 μm in the dried state and 8 μm in the well-swollen state in aqueous solution. The highly networked structure of siRNA micro hydrogel offers a greater charge density that facilitates the complexation with a weakly-charged cationic carrier such as LPEI (MW: 2500). At a nitrogen/phosphate (N/P) ratio of 60, highly condensed nanocomplexes could be prepared with a size of 120 nm. The compact nanocomplexes of RNA hydrogel and LPEI under 150 nm in size were highly efficient for inducing the internalization of these particles into cells via an endocytic pathway. In addition, due to more favorable condensation with mild cationic polymers, the siRNA micro hydrogel exhibited far enhanced gene silencing compared with the monomeric and multimerized siRNA, with negligible cytotoxicity. At a siRNA concentration of 72 nM, the siRNA micro hydrogel/LPEI complexes showed significant gene silencing effects (52.8% reduction in GFP expression) over the monomeric siRNA/LPEI (37.7% reduction in GFP expression). Dicer processing of a highly networked structure of RNA has been verified. Random ds-siRNA fragments could be generated from siRNA hydrogel, and the processed short ds-siRNA participated in the RNAi mechanism.

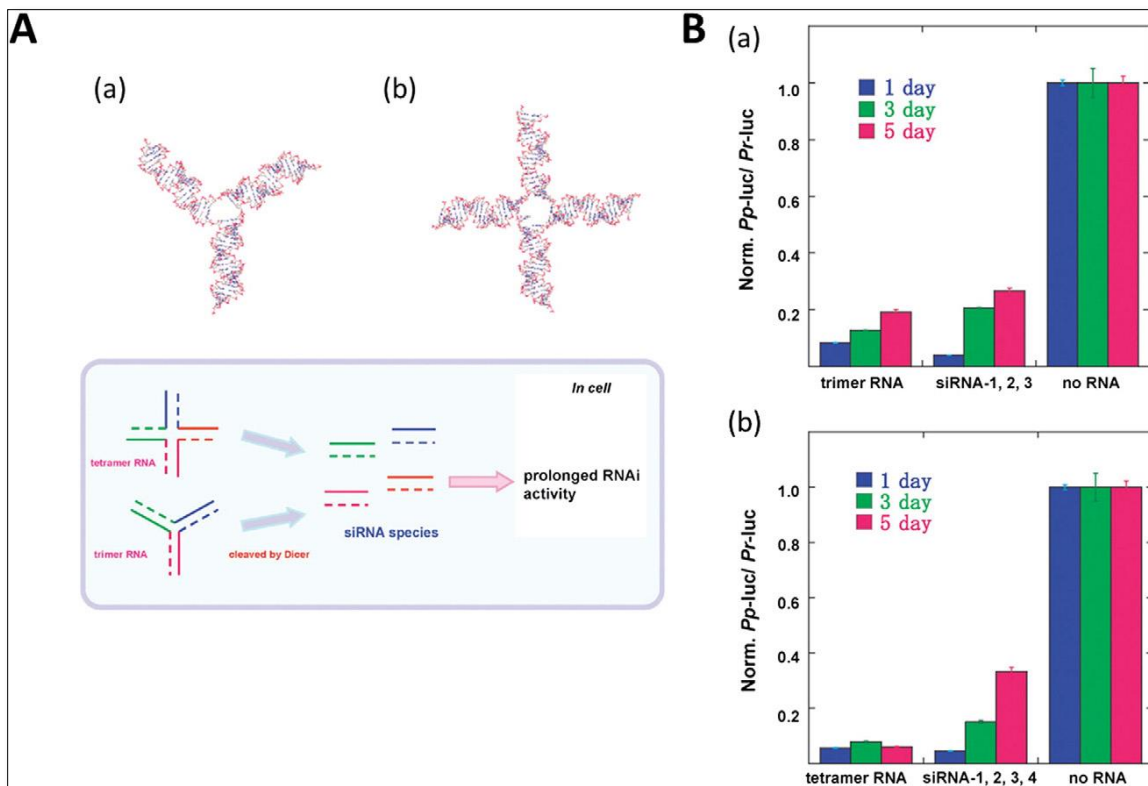


Figure 3A. Schematic illustration of the branched RNA structure with siRNA and RNAi process of structures. (a) Trimer RNA. (b) Tetramer RNA. **3B.** Gene silencing effects of branched RNA structures. (a) Trimer RNA. (b) Tetramer RNA. Effects of branched RNA analysis after 1, 3, and 5 days in HeLa cells (RNA concentration = 25 nM). (Reprinted with permission from - Branched RNA nanostructures for RNA interference.

Gold nanoparticles (AuNPs) can also be used to prepare multimerized and highly branched RNA structures [58]. AuNPs have received much attention as an excellent nanoplatform for biomolecule conjugation due to their excellent biocompatibility, controllable morphology, and ease of surface functionalization [1–4,58]. Since AuNPs can readily react with thiol-containing RNA molecules, siRNA-immobilized AuNPs have been investigated for efficient cellular uptake and gene inhibition [59–62]. Kong and coworkers utilized 5 nm AuNPs as a platform to build multimeric/branched RNA structures. The 3'-ends of thiol functionalized RNA strands were immobilized to prepare the sense and antisense-AuNPs. Once the two different AuNPs were mixed together, they formed multimerized siRNA (M-siRNA) crosslinked by AuNPs. Due to the distinct optical properties of AuNPs, formation of M-siRNA can be verified by UV-VIS measurement, exhibiting a blue shift in the absorbance spectrum. Under reducing conditions, thiol-modified siRNAs were released from the surface of AuNPs ready for the formation of RISC without dicer processing in the cytoplasm. The prepared M-siRNA by AuNPs with LPEI (25 kDa) showed enhanced intracellular uptake of nanocomplexes as measured by computed tomography (CT) imaging, and efficient targeted GFP and VEGF gene silencing was achieved in MDA-MB-435 cells.

Novel carriers for poly/multimeric siRNA delivery

Various cationic carriers for poly/multimeric siRNA have been developed. Unlike monomeric siRNA that requires a high cationic charge density for stable polyelectrolyte complexation, lower cationically-charged and relatively small molecular weight carriers have been utilized for the delivery of poly/multimeric siRNA [14]. Due to reduced cationic charges on carriers, the serum stability and cytotoxicity of siRNA/carrier complexes have been resolved with more potent gene silencing as compared with that of monomeric siRNA delivery [63,64]. For instance, thiolated glycol chitosan (TGC) polymer has been developed to formulate a stable nanoparticle structure with poly-siRNA through charge-charge interaction and chemical crosslinking [65]. Upon weak-charge interaction with poly-siRNA, the TGC first formed loosely bound structures, enabling the tight crosslinking of glycol chitosan (GC) polymers for the generation of more condensed nanostructures (size ~300 nm). The condensed nanocomplexes were sensitive to reducing conditions, and 10 mM dithiothreitol (DTT) treatment allowed the total dissociation of monomeric siRNA from the complexes. There were several advantages of TGC polymers as compared with strong cationic polymers such as PEI. When TGC polymers formed nanocomplexes with polysiRNA, their surface was slightly

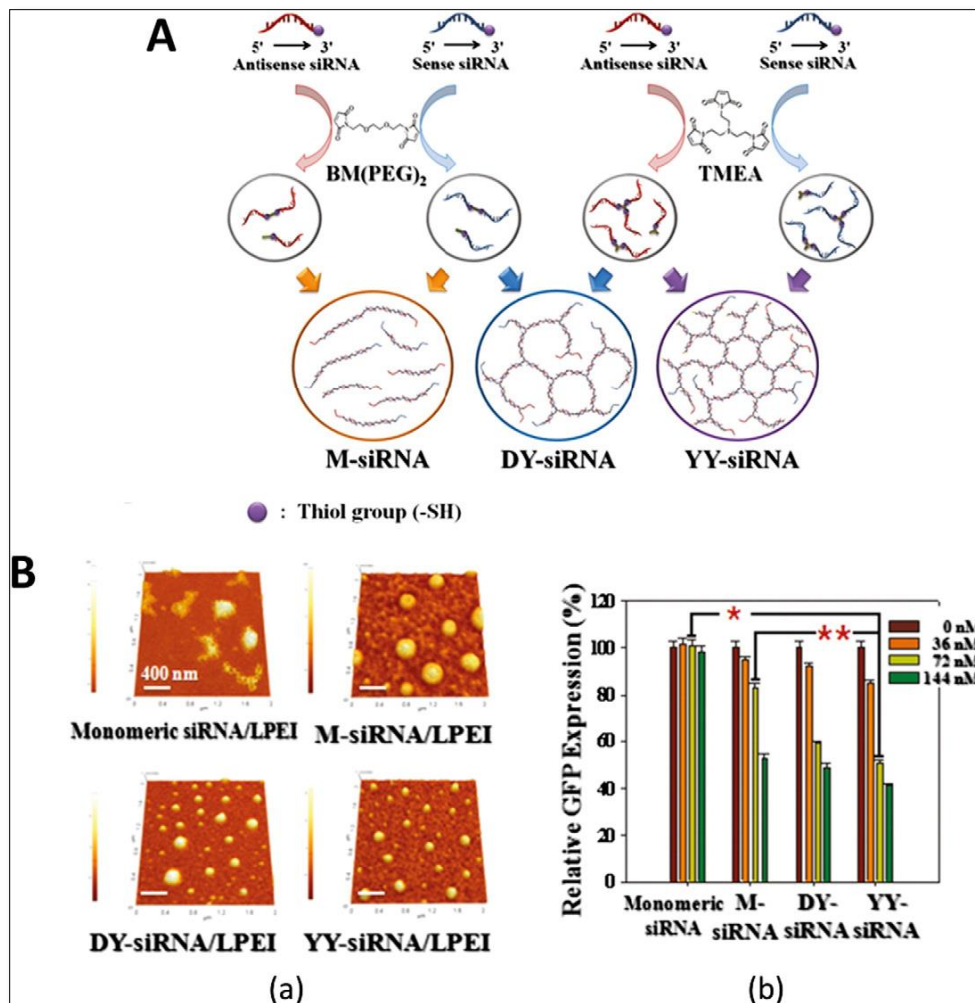


Figure 4A. Scheme of the synthesis of multimeric siRNA (M-siRNA), dimeric by Y-shaped siRNAs (DY-siRNA), and branched by Y-shaped siRNAs (YYsiRNA). **4B.** AFM images of siRNA/LPEI complexes and gene silencing effects. (a) Monomeric siRNA/LPEI, M-siRNA/LPEI, DY-siRNA/LPEI, and YY-siRNA/LPEI (scale bar = 400 nm). (b) GFP gene silencing effects of siRNAs/LPEI complexes- Gene silencing by siRNA microhydrogels via polymeric nanoscale condensation.

positive (zeta potential of 3.55 mV) and showed enhanced stability against physiological anionic proteins or carbohydrates. This allowed the effective passive targeting of tumors by systemic injection of poly-siRNA/TGC complexes. Unlike the strong cationic PEI carriers that tend to accumulate in the liver, the TGC carriers exhibited reduced non-specific accumulation in the liver and other organs, and extremely high accumulation in the tumor tissue. The therapeutic efficacy of poly-siRNA/TGC has been tested in tumor-bearing mice, and effective tumor suppression has been achieved upon systemic injection of anti-VEGF poly-siRNA.

Transferrin (TF), a serum protein, is considered to be a good candidate for an efficient siRNA carrier due to its biocompatibility and tumor targeting ability. Since transferrin receptors (TFR) are highly overexpressed in

many types of cancer cells, the active targeting of TFR and the intracellular delivery of therapeutics to tumor tissue have been attempted [66]. However, natural TF does not have sufficient binding affinity for the short nucleic acid drugs such as siRNA, thus is unable to serve as a carrier for genetic drugs. To improve the molecular interaction between RNA drugs and TF, poly-siRNA has been utilized along with thiolated TF (tTF). Due to the increase in charge interaction of polysiRNA and tTF, poly-siRNA/tTF could generate a loosely conjugated state followed by a tight condensation process to form nanoparticles through the disulfide crosslinking of tTF. To prepare tTF, TF was functionalized with 2-iminothiolanes under oxygen-limiting conditions, and approximately 8.7 free thiol groups were introduced on TF. Poly-siRNA and tTF formed stable complexes with a mixing ratio of 1:10 (w/w). The particle size before and after the crosslinking process was measured

by dynamic light scattering (DLS), and the size of complexes was decreased to 343 nm. The formulated poly-siRNA/tTF complexes were systemically introduced to tumor bearing mice and their biodistribution was obtained by real-time *in vivo* near-infrared fluorescence (NIRF) imaging. The results confirmed that siRNA/tTF complexes showed tumor-specific active targeting as well as moderate accumulation of these particles in the liver, spleen, and kidney. Since TF served as both an active targeting ligand and a carrier, there was no use of additional cationic materials in this study of this chapter, and effective target-gene silencing *in vitro* and *in vivo* was achieved without cytotoxicity.

Similar to transferrin, human serum albumin (HSA) has been widely utilized as a drug carrier, owing to its excellent physical and biological properties such as water solubility, plasma stability, low toxicity, and reduced immunogenicity [67,68]. It is also known that HSA shows a relatively high uptake in tumor and inflamed tissue. Abraxane is the best example of a commercialized albumin-based formulation for anticancer drug delivery, and under cellular stress-inducing conditions, HAS been preferentially taken up by fast-growing tumors as the main energy source for growth and maintenance. For successful encapsulation of poly-siRNA within HSA, the thiolated HSA (tHSA) was synthesized by reacting the amine groups of albumins with Traut's reagent [69]. Thiolated HSA was utilized to encapsulate the poly-siRNA by self-cross linking via disulfide bond formation, generating stable poly-siRNA/HSA nanoparticles. The optimized formulation resulted with nanoparticles under a size of 200 nm, which showed enhanced cellular uptake by albumin transcytosis, and effective *in vitro* gene silencing was achieved at a siRNA concentration as low as 50 nM. *In vivo* systemic gene silencing testing has revealed tumor-specific accumulation of poly-siRNA/tHSA complexes and the induction of effective tumor suppression over a period of 30 days. Therefore, along with poly-siRNA, the self-crosslinked HSA nanocarrier system could be a potential candidate for the systemic delivery of siRNA therapeutics for safe and effective anticancer gene therapy.

Three-dimensional RNA/DNA structures for siRNA delivery applications

Although the conventional formulation of siRNA delivery using cationic materials has shown some promise in *in vivo* animal studies, non-specific charge interaction-driven complexation of cationic carriers and anionic siRNAs have shown multiple drawbacks. These include the heterogeneous size, composition, and surface chemistry of the formulation. Due to the lack of precise control of such properties, varied *in vivo* biodistribution and pharmacokinetics have been observed, as well as a lack of correlation between *in vitro* and *in vivo* studies. Consequently, undesirable and unpredictable *in vivo* performance of cationic carriers has been reported elsewhere [2,64,70]. To overcome the current

problems of cationic delivery carriers, various self-assembled structures of short oligonucleotides have been explored. DNA and RNA molecules are genetic and informative materials; however, they can also serve as an excellent genuine material to prepare more complex and higher ordered structures for various applications [71]. As a result of the good biocompatibility and biodegradability of DNA and RNA molecules, multifunctional self-assembled nanoparticles were prepared for drug delivery by a simple programmable hybridization of complementary strands. These nucleic acid nanoparticles clearly show structural and compositional advantages over the conventional carriers, and various siRNA delivery applications are highlighted in this chapter.

RNA-based nanoparticles for siRNA delivery

Various naturally-occurring RNA structures have been utilized in living cells as gene regulatory materials for mRNA transcription, maturation, translation, degradation, and the catalytic activity of ribozymes [72,73]. For synthetic RNA-based nanoparticles, multiple advantages can be attained as a nanocarrier such as a compact and defined size for tumor targeting, multivalent characteristics for various conjugation, and ease of chemical modification. Naturally- or synthetically generated RNA motifs and modulus can be utilized for assembling nanoparticles with various structural diversities [74-78]. This is particularly attractive to biomedical and clinical applications, since the *in vivo* fate of nanoparticles is governed by their size, structure, and composition [21,23,24,79-87]. The self-assembled nucleic acid nanoparticles can offer modulation of the therapeutic half-life, biodistribution, cell-specific internalization, and excretion [88]. Moreover, high affinity aptamers can be incorporated into the nucleic acid structures for targeted delivery, and the intracellular uptake process can be more precisely controlled [89].

Among various RNA nanoparticles, self-assembling RNA nanoring's by inverse kissing loop complexation have been reported for the delivery of siRNA (**Figure 5**) [20]. Among the polygons, a hexamer nanoring structure was selected to provide a thermodynamically stable structure at a relatively low RNA concentration. Assembled nanoring's have a distinct size and shape that can be later loaded with six siRNAs by simple hybridization on helical stems. Interestingly, the assembled nanoring structures show improved serum stability, but are also processed by dicer to release the loaded siRNAs. When RNA kissing loop complexes with siRNAs were delivered to MDA-MB-231 cells, efficient GFP gene silencing was achieved [22]. Although there exist various advantages of functional RNA nanoparticles, there remain three major drawbacks for siRNA delivery: 1) cost of long synthetic RNA, 2) complexity and difficulty in generating 3D RNA NPs, and 3) short blood half-life due to serum nuclease and renal filtration. To resolve the aforementioned matter, RNA NPs

is enzymatically prepared by an *in vitro* transcription process using T7 RNA polymerase. To optimize the self-assembly conditions, Mn²⁺ was additionally applied to the incubation solution to result in a high yield preparation of RNA NPs.

Lastly, 2'-F-dUMPs can be utilized to generate a 2'-F modification on RNA strands in order to highly improve the serum stability against nucleases [22].

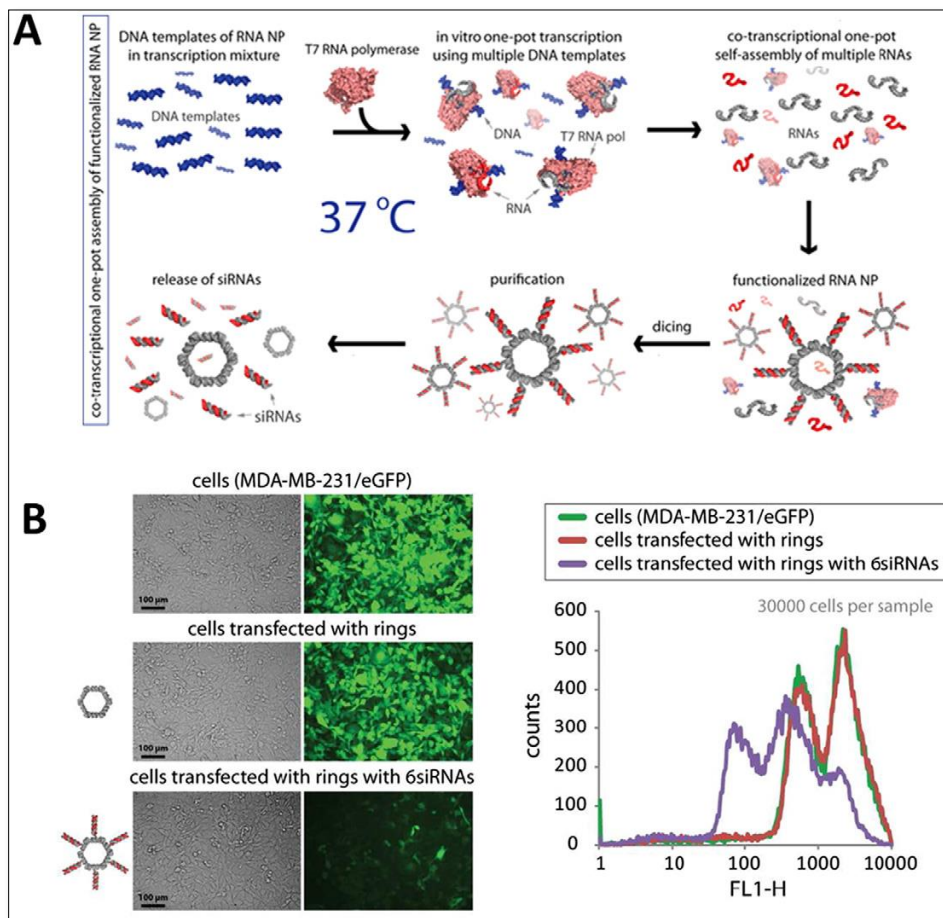


Figure 5A. Synthesis process of functionalized RNA NP (nanoring) and release of siRNAs. **5B.** Analysis of gene expression effects using fluorescence microscopy and flow cytometry in MDA-MB-231/GFP cells. Co transcriptional assembly of chemically modified RNA nanoparticles functionalized with siRNAs.

To further apply RNA nanoring's, multifunctional RNA nanoparticles have been developed for broad applications in nanomedicine. Double stranded RNA is utilized to generate a nanoring scaffold by the toehold interaction. The nanoring scaffold can be further functionalized with various molecules such as siRNAs, aptamers, fluorescence dyes, and proteins. The multifunctional RNA NPs shows enhanced uptake and gene silencing as compared with an equal concentration of duplex RNA molecules. These results are similar to the report of multimeric-siRNA delivery, and prolonged gene silencing was also achieved using the multifunctional RNA NPs. It is likely that the multivalency of RNA nanoring's can be attributed to the enhanced and prolonged effect of RNAi *in vitro*. Similarly, for *in vivo* tumor suppression experiments, the intratumoral injection of multifunctional RNA NPs was conducted, achieving up to a sixfold enhanced GFP gene silencing as compared with ds-

siRNA. Finally, functional nanoring's have been prepared against HIV-1, and their site-specific cleavage against six different regions of HIV-1 has been verified. Complete viral inhibition was obtained at 1 nM concentrations of nanoring's. Another bottom-up approach of RNA nanotechnology, to generate functional nanoparticles for siRNA delivery, is the use of the DNA- packaging motor of the bacterial virus phi29 [25]. Six copies of packaging RNAs (pRNA) molecules can form a hexameric ring as a critical part of the motor. These molecules can be utilized as a building block to form supramolecular structures such as RNA twins, tetramers, and arrays by the intermolecular self-assembly of palindromic sequences at the 3'- ends of the left and right loop [90–92]. It is known that these self-assembled RNA nanostructures show good stability against changes in temperature, salt, and pH [25]. Due to the small size of these pRNA nanostructures, they have advantages in cell surface

interaction as well as internalization. This physical property is particularly pertinent to the delivery of therapeutics and molecular imaging agents, and the bottom-up assembly of pRNA has been applied to the preparation of appropriate delivery scaffolds for such applications.

The trimer pRNA structure, harboring siRNA or other therapeutic molecules, has been fabricated through the interaction of engineered right and left interlocking RNA loops [26]. pRNA with a single strand stem loop does not require additional linkers to incorporate cargo materials within the structure [93]. In fact, the trimer pRNA is multifunctionalized by incorporating aptamers for cell surface targeting, heavy metals, fluorescence dyes, and radioisotopes for molecular imaging and diagnostics [26]. The pRNA/aptamer (CD4) has shown enhanced binding and accumulation of these chimeras on a CD4-overexpressing thymic T cell line. The intracellular entry of the pRNA/aptamer has been confirmed as endocytosis of membrane-bound molecules. Effective *in vitro* gene regulation by anti-CD4 siRNA has also been achieved in a targeted manner.

Although 117 nt long pRNA has shown effective intracellular delivery of various therapeutics into specific cancer or viral-infected cells, the chemical synthesis of such long RNA is not commercially feasible. To overcome the synthetic drawback, Yi [94] developed a bipartite approach to prepare a pRNA structure from two synthetic RNA fragments with variable modification. The two individual synthetic RNA strands are self-assembled, and readily formed a dimeric pRNA structure similar to that of wild-type pRNA. The viral assembly and DNA packaging activity of bipartite pRNA were compared with wild-type pRNA, and their ability was similar. In addition, an *in vitro* gene silencing test confirmed that the bipartite pRNA/siRNA could induce effective gene silencing in a targeted manner, with the incorporation of a folate ligand. To improve the multivalency of pRNA, a pRNA-X motif was developed by opening the right-hand loop and introducing a further nine nucleotides within the system [24]. An X motif provides functional arms for four guest molecules, and is prepared by assembling four different RNA oligonucleotide strands. The pRNA-X motif is thermodynamically stable at ambient temperature and can provide multimodule functionalities to carry four different cargo materials such as aptamers, targeting ligands, and siRNA.

DNA polyhedron nanoparticles for siRNA delivery

Various polyhedron DNA nanostructures have been developed by Turberfield [95] and Mao [96]. These include tetrahedron, cube, dodecahedron, and buckyball structures. Similar to that of RNA nanostructures, 3D DNA nanostructures have been explored for imaging and delivery applications [97-99]. Due to the programmable assembly of DNA strands, the size and shape of nanoparticles can be easily controlled. In addition, various polyhedron particles

allow precise control of spatial orientation and density of targeting ligands, which cannot be controlled by any other synthetic nanoparticle system.

Lee et al. have reported the use of tetrahedron DNA nanoparticles for the targeted *in vivo* delivery of folic acid-conjugated siRNA (**Figure 6**). The DNA tetrahedron, consisting of 186 bp, self-assembled from six DNA strands to prepare molecularly-identical oligonucleotide nanoparticles (ONPs). The six edges of the tetrahedron are 30 bp long, with an estimated size of 10 nm. Each edge contains a nick in the middle, where each end of the 5'- and 3'- oligonucleotides meets. To properly incorporate siRNAs into this system, 21-bp overhangs are added to the 3'-end of each DNA strand. As a result, six siRNA strands can be applied to each tetrahedron DNA nanoparticle (one per edge). In addition, for *in vivo* applications, RNA strands are chemically modified with 2'-OME to reduce the potential immune response as well as to improve the serum stability [100].

The resultant ONPs have a hydrodynamic diameter of ~28.6 nm, which is suitable for the avoidance of renal filtration, while passively being delivered to tumors via the enhanced permeability and retention (EPR) effect. Since the hybrid DNA/RNA nanoparticles show strong negative surface charges, the intracellular uptake of these particles is not favorable by charge-repulsion between the particles and cell membrane. To enhance the interfacial interaction, various targeting and cell-penetrating ligands have been introduced to the ONP system to facilitate the intracellular uptake of the particles. Targeting ligands are selected from broad materials such as peptides, small molecules, and sugars. Many cationic peptides show false positive enhanced uptake data due to their non-specific interaction with ONPs through electrostatic interactions, forming larger aggregates. Very few cationic peptides allowed particle stability at neutral pH, however these did not show enhanced uptake of the ONPs. Among the screened ligands, folic acid (FA) has shown a concentration-dependent gene silencing effect. FA receptor-overexpressing KB cells were utilized to verify the receptor-mediated uptake of these particles. Since polyhedron ONPs can precisely control the ligand density and location, structure function studies of various ligand densities and orientations on ONPs have been conducted. It has been shown that at least three FA ligands are required to induce appropriate GFP gene silencing, and that their ligand orientation also affects the gene silencing efficiency. It is likely that a higher local FA ligand density may influence the intracellular trafficking pathway of ONPs and the corresponding gene silencing [27].

Large-scale preparation of DNA nanostructures for translational study

There has been much interest in utilizing oligonucleotide-based drug carriers for gene delivery. Despite the advantages

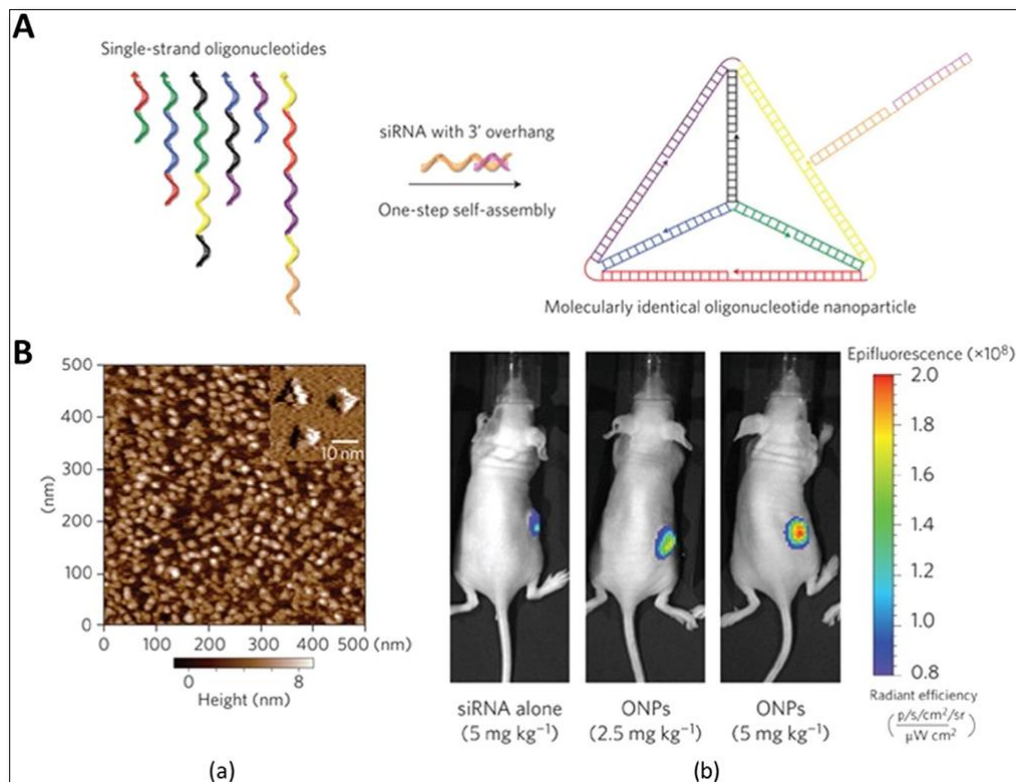


Figure 6A. Preparation of tetrahedronDNA nanostructure. **6B.** (a) AFM image of tetrahedron nanostructure. (b) Analysis of gene silencing effects after intravenous injection of ONPs in a tumor mouse model ($n=3$). Molecularly self-assembled nucleic acid nanoparticles for targeted *in vivo* siRNA delivery, Nature Nanotechnology.

of precise size control, superior intracellular delivery efficiency, and good biocompatibility and biodegradability, many oligonucleotide nanostructures require multiple long nucleotide strands for self-assembly [17]. The cost and synthetic problems in preparing long nucleotides clearly hamper the practical use of DNA or RNA-based nanoparticles. In addition to the synthetic method of preparing long oligonucleotides, there is an enzymatic approach to prepare these strands that self-assemble to generate DNA nanostructures [101]. Rolling circle amplification (RCA) is one of the examples of enzymatic preparation of DNA nanostructures. This method is a robust technique to generate elongated single-stranded (ss) DNA around a circular ss DNA template under isothermal conditions [102-104].

Hong [105] demonstrated RCA-based enzymatic amplification of DNA nanostructures for the delivery of siRNAs (Figure 7). A self-assembled Y shaped DNA structure (159 nt) was used as the closed circular template for RCA to generate long amplified DNA products. Site-specific cleavage can be achieved by containing PstI endonuclease-specific sequences in the open loop of the Y-DNA junction. Inter- and intramolecular self-assembly of an elongated ss DNA product can form hybridization of palindromic PstI sites without the addition of helper DNA strands. After treatment with PstI enzymes, the long ss DNA

product is site-specifically cleaved to generate individual DNA fragments, which can later self-assemble to form Y-DNA nanostructures. The overhang sequences on each arm have been designed to form stable hybridization with folic acid-conjugated siRNAs. In this study, 1 pmol DNA template was amplified to approximately 1068 pmol elongated ss DNA, and 213 pmol of Y-DNA structure was produced. This approach is widely useful as a simple platform for the largescale synthesis of various DNA nanostructures for therapeutic applications [105].

DNA/RNA ball technology for siRNA delivery applications

Rolling circle replication (RCR) has been extensively explored to overcome the instability of RNA and the low packing efficiency of the carriers. While conventional polymerase chain reaction (PCR) requires a thermal cycling process and is limited to amplification of short DNA segments, RCR is an isothermal process and is used for the exponential synthesis of long concatemeric DNA/RNA strands by a processive rolling mechanism [106,107]. Rolling circle mechanisms have been widely adapted to different areas including genomics [108], proteomics [109], biosensing [110,111], drug delivery [112,113], and structure building [114,115]. The RCR technique used for gene delivery applications is of special interest. Specifically,

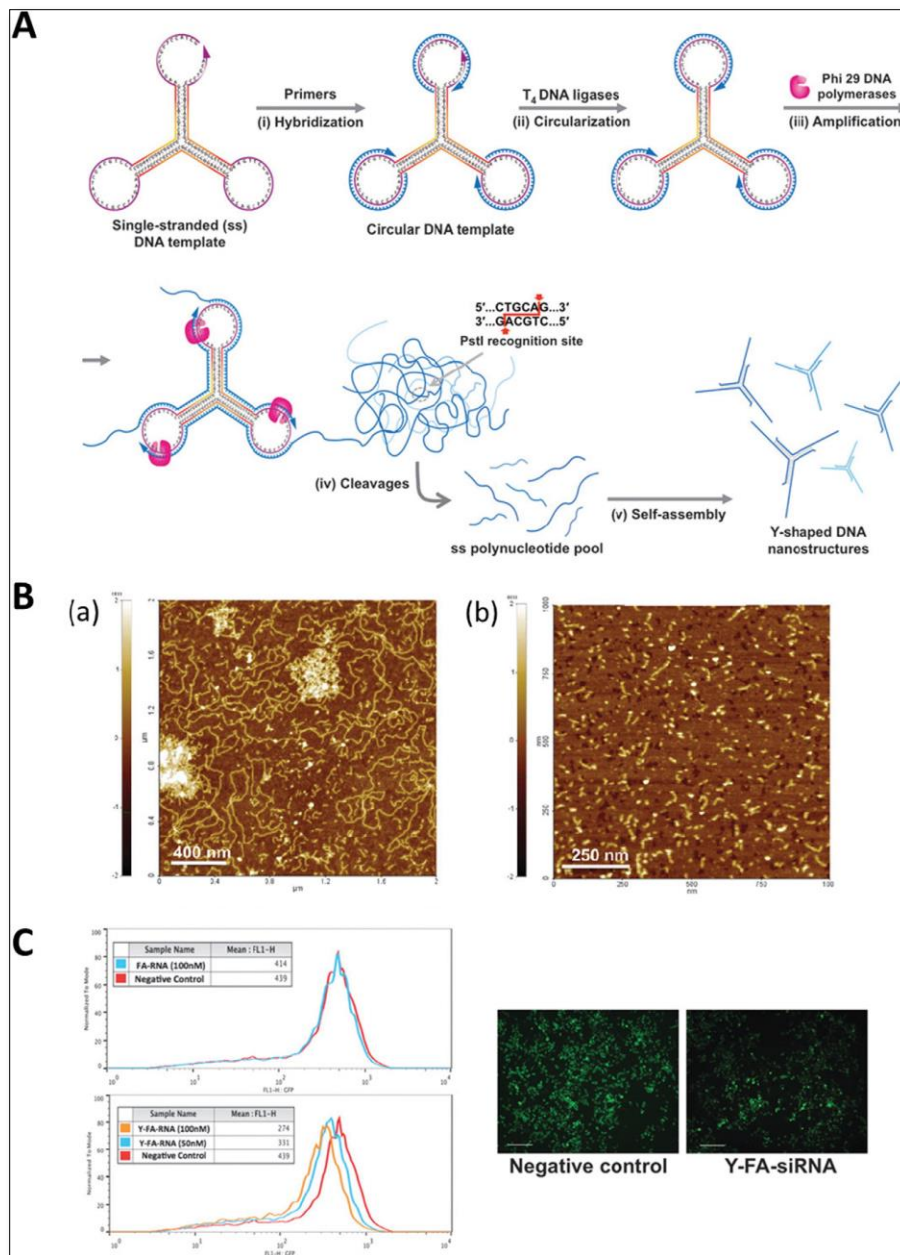


Figure 7A. Synthesis of Y-shaped DNA (Y-DNA) nanostructure via rolling circle amplification (RCA). **7B.** AFM images. (a) Elongated RCA products. (b) Cleaved RCA products C. Gene silencing effects of FA-siRNA and Y-FA-siRNA conjugates using flowcytometry and fluorescence microscopy in GFP-KB cells (siRNA concentration=100nM). Self-assembled DNA nanostructures prepared by rolling circle amplification for the delivery of siRNA conjugates.

rolling circle transcription (RCT) involves T7 RNA polymerase that can continuously generate multiple single-stranded RNA copies from the template circular DNA. A number of studies have shown that RCT can be used for continued RNA synthesis with high efficiency [107,116,117].

RNA microsponge/ball technology for siRNA delivery

By taking advantage of enzymatic RNA polymerization, condensed RNA structures with predetermined sequences

for RNA interference were synthesized [113]. According to the formation process of RNA structures, long replicated RNA strands show similar behavior to traditional synthetic polymers, which is a key factor for efficient siRNA delivery and high cargo capacity. In the early stage of polymerization, a fiber like structure is gradually entangled and forms a sheet-like structure. As these structures grow larger, RNA strands eventually self-assemble into sponge-like spherical structures called RNAi-microsponges (**Figure 8**). Due to the fact that RNAi-microsponges consist of

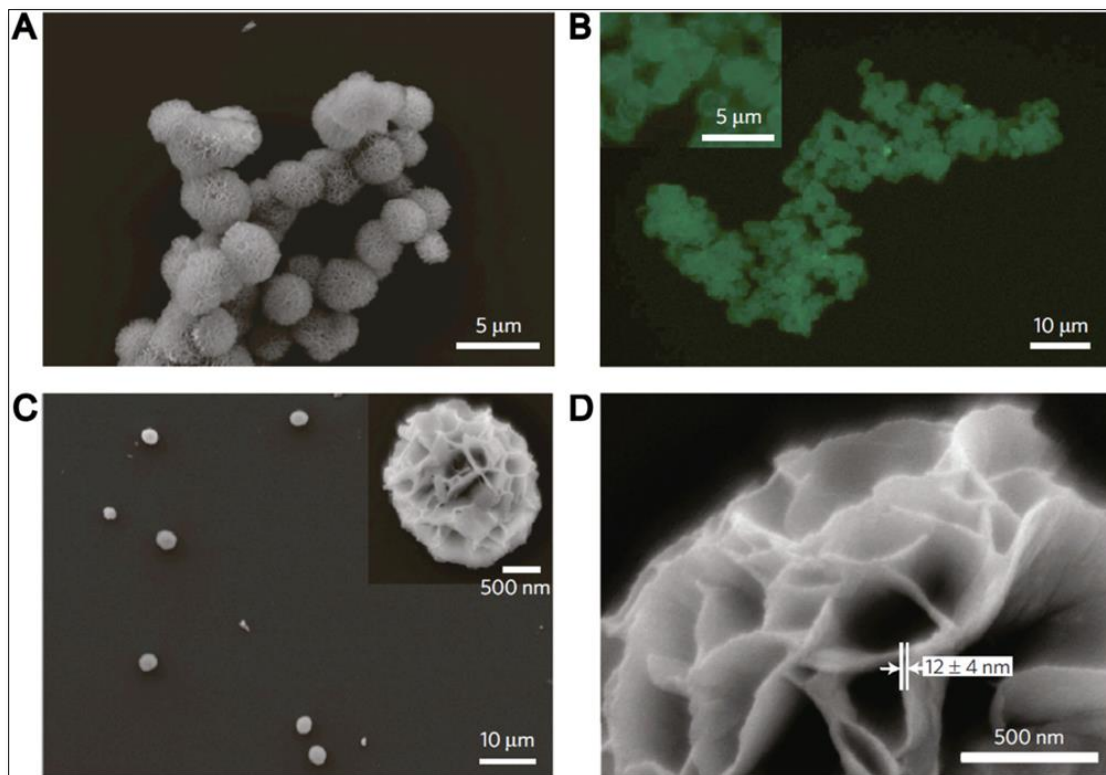


Figure 8A. SEM image of RNAi-microsponges, having a porous nanoscopic structure. **8B.** Fluorescence microscopy image of SYBR II (RNA-specific dye)-stained RNAi-microsponges, showing bright green fluorescence, which confirms that RNAi-microsponges are composed of RNA. **8C.** Low magnification and high magnification SEM image (inset) of the monodispersed RNAi microsponges with a uniform size of 2 μm after brief sonication. **8D.** Higher magnification SEM image reveals that RNAi-microsponges are composed of a sheet-like structure, having a thickness of 12 ± 4 nm. Self-assembled RNA interference microsponges for efficient siRNA delivery.

multiple copies of tandem RNA units, a large amount of siRNA can be loaded onto a single microsponge. Moreover, it is possible that polyethylenimine (PEI), as a polymeric transfection agent, can be used to condense the microsponge for enhancement of cellular uptake of the particle. Indeed, the RNAi-microsponge/PEI complex showed reasonable silencing efficiency under *in vitro* and *in vivo* conditions.

This study demonstrated a new platform for the synthesis of self-assembled RNA structures. By using polymeric RNA strands, spongelike spherical RNA structures can be synthesized, which can achieve a high loading efficiency. Furthermore, RNAi-microsponges which consist entirely of RNA strands are able to rapidly deliver a large amount of siRNA to target cells by simply coating with positively-charged polyions. This novel approach can reduce the limitations of siRNA therapeutics and also be applied to the synthesis of various RNA structures.

Since RCT has drawn a great deal of attention, the complementary rolling circle transcription (cRCT) method also emerged. Without any assistance from a synthetic polycation for condensing, enzymatic size control was feasible with a recently introduced cRCT method [28].

Extension of RNA strands from two complementary circular DNAs results in two strands that hybridize with each other, leading to the formation of RNA particles (**Figure 9**). Resulting double-stranded RNA is rationally designed to function as a substrate for the dicer enzyme to induce RNA interference. In the synthesis of RNA particles, T7 RNA polymerase is used to generate RNA strands, and the concentration of the enzymewas found to be critical to the size of the resulting RNA particles. By controlling the concentration of the enzyme in the cRCT reaction, the size of RNA nanoparticles was shrunk from 5 μm to 600 nm in diameter.

By taking advantage of cRCT, RNA membranes were also developed by Lee [29]. RNA membranes are designed to contain siRNA sequences to be cleaved by the dicer enzyme for siRNA release, and are the first example of a macroscopic RNA membrane composed solely of RNA strands. Furthermore, its structural and functional properties can be rationally controlled by adjusting the RNA base pairing, thus controlled release of chemical molecules and sequence-specific drug release are feasible.

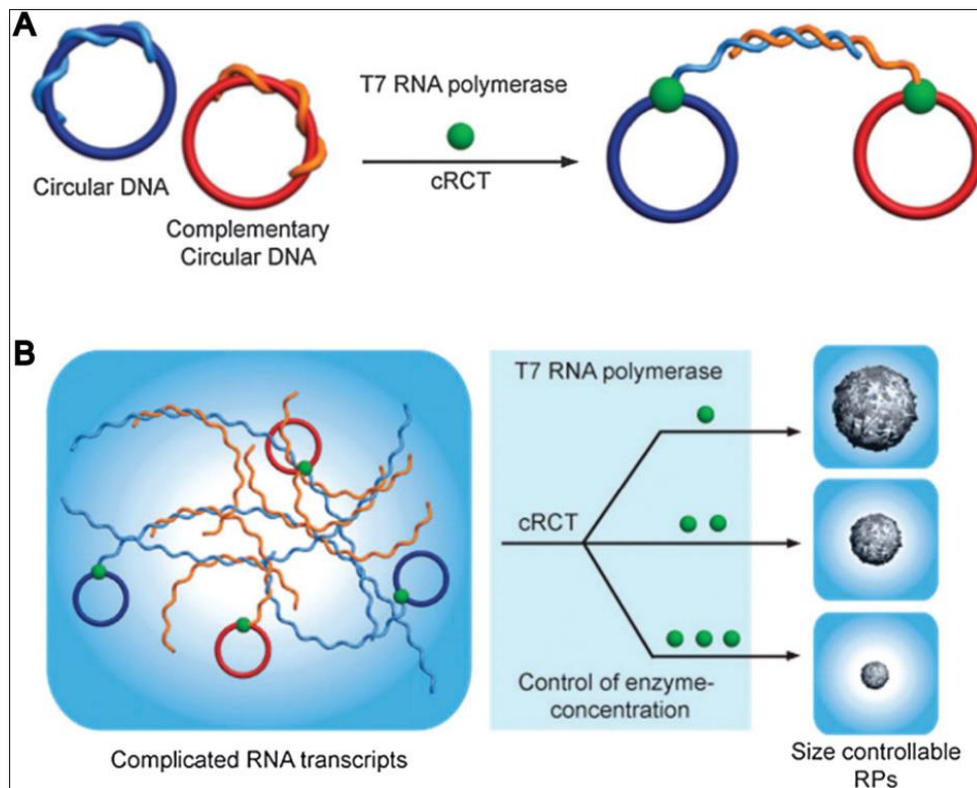


Figure 9A. Schematic illustration showing two pre-designed complementary circular DNA templates for the complementary rolling circle transcription (cRCT) process by T7 RNA polymerase, and the hybridization process of the resulting RNA strands. **9B.** By adjusting the concentration of enzyme, the size of the resulting RNA particles from cRCT is controllable. Enzymatic size control of RNA particles using complementary rolling circle transcription (cRCT) method for efficient siRNA production.

Microscopic DNA scaffolds for gene delivery

By utilizing RCA, DNA structures for gene delivery applications have also been introduced. For instance, self-assembled hierarchical DNA nanoflowers (NFs) with densely packed DNA and built-in multifunctional moieties for versatile biomedical applications were developed by W. Tan's research group (**Figure 10**) [30]. The assembly of DNA NFs is independent of the traditional Watson-Crick base-pairing between DNA strands. Instead, it is driven by dense packaging of the resulting long building blocks generated via RCA and liquid crystallization, an anisotropic process for orderly alignment of highly concentrated polymers. In virtue of this distinctive characteristic, templates for RCA can be flexibly designed to include aptamers, antisense nucleotides, and drug loading sequences. Furthermore, the NFs were featured by sizenability and stability towards nuclease treatment, dilution to low concentration, or denaturation by heating or urea treatment.

In addition, a layer-by-layer (LbL) assembly strategy offering a potent delivery method for nucleic acid therapeutics for cancer treatment was introduced by Hammond [31]. Oligonucleotide antisense microsponge particles (ODN-MS) were generated by RCA, then cationic

polymers (poly-L-lysine: PLL) were added for condensing (**Figure 11**). By electrostatic interaction and physical agitation, the average size of ODN-MS decreased from 2 μm to 200 nm, which is a desirable size for efficient cellular uptake. Condensing with PLL also increased the stability of the ODN particles and changed the surface charge from negative to positive. Similarly, additional layers such as ssDNA and PEI could also be achieved by electrostatic interaction. The LbL assembly has advantages for controlling surface charge and fine-tuning of their multifunctional properties. This study implies that the spherical ODN nanoparticles could be nucleic acid carriers for gene delivery applications with negligible cytotoxicity. Moreover, this approach can achieve multi-functionality by adding layers of different functional DNAs and selecting different biomaterials.

Another novel approach to synthesize DNA structures is a cocoonlike self-degradable anticancer drug system that fully utilizes the RCA mechanism [118]. RCA was carried out with the template DNA that incorporates a palindromic sequence to facilitate self-assembly. The elongated DNA strands have multiple GC-pair sequences, achieving a high doxorubicin (DOX) loading capacity. Furthermore, this

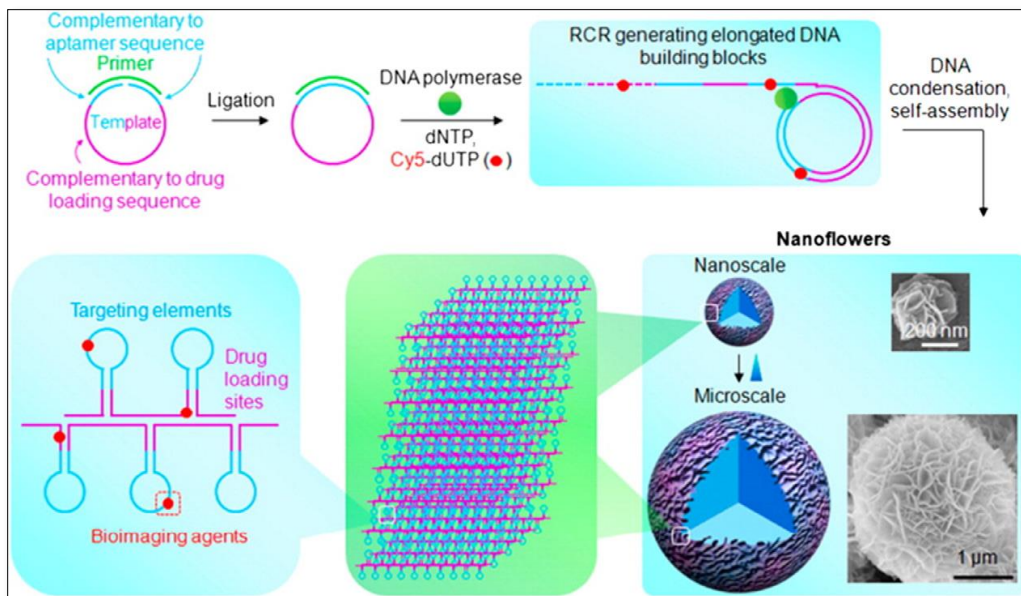


Figure 10. Schematic illustration of the synthesis of multifunctional DNA nanoflowers (DNA NFs) by noncanonical self-assembly. Circularized DNA template is first ligated, then DNA building blocks are elongated via the RCR process by $\Phi 29$ DNA polymerase. The resulting DNA NFs have adjustable sizes from several hundred nanometers to several micrometers, and can serve as targeting, bioimaging, and drug delivery agents with a pre-designed DNA sequence. Noncanonical self-assembly of multifunctional DNA nanoflowers for biomedical applications.

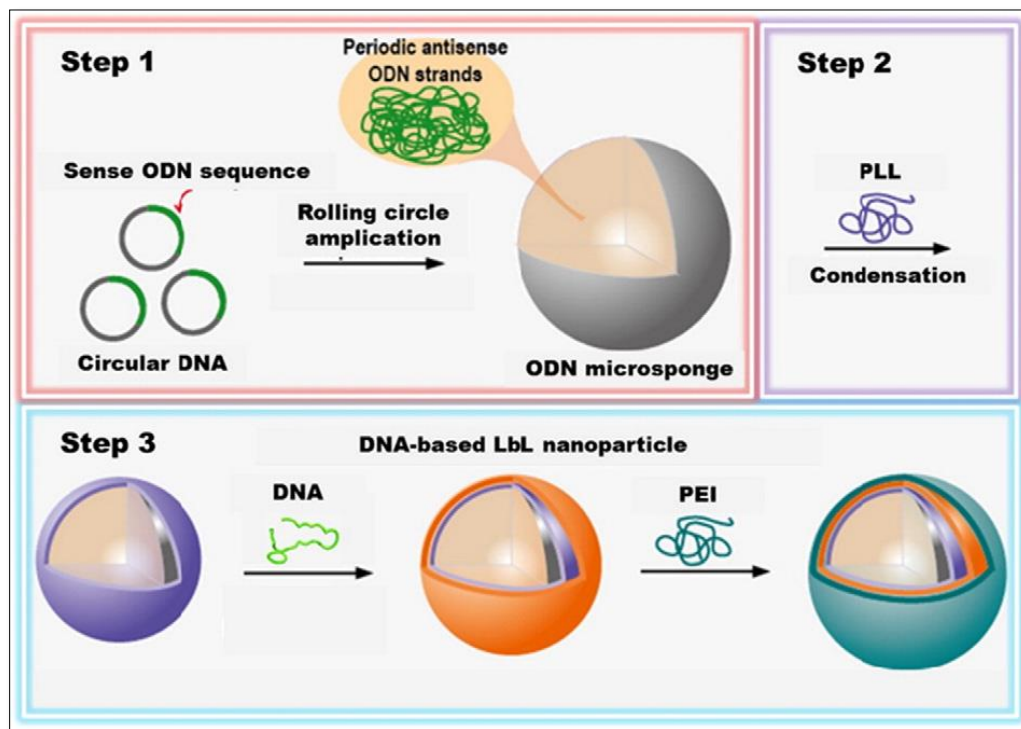


Figure 11. Stepwise assembly of multifunctional antisense oligonucleotide microsponge particles (ODN-MS). First, periodic antisense ODN strands are generated from the circular DNA template having sense ODN sequence by the rolling circle amplification process (Step 1). By adding poly-L-lysine (PLL) for condensation, ODN-MS is reconstructed into nanoscopic particles covered with PLL (Step 2). Through the layer-by-layer (LbL) technique, DNA-based LbL nanoparticles (LbL-ODN-NPs) are generated with given multifunctionality. Layer-by-layer assembled antisense DNA microsponge particles for efficient delivery of cancer therapeutics.

bioinspired drug delivery carrier is functionalized by folic acid, and pH-responsive polymeric nano capsules encapsulate DNase I. Folic acid conjugated to the surface of the carrier promotes internalization of nanoclew into target cells and enters the acidic end lysosome. Subsequently, the acidic cellular environment activates the degradation of nano capsules embedded into the nano clew, thus triggering escape of DNase I from the nanocage. As a result, the DNA-based delivery vehicle can release intercalated DOX in target cells due to degradation of DNA by DNase I. This study demonstrated that RCA could be applied to long DNA synthesis, facilitating self-assembly of DNA-based structures. As a further step, the DNA structure was improved by adopting simple methods such as controlling DNA sequences and combining DNA with targetable or stimuli-responsive materials.

DNA nanoribbon (DNR), having a periodically repeating sequence, was also synthesized via RCA by Y. Weizmann's group [119]. While the conventional DNA origami strategy involves hundreds of staple strands, only three short staple strands are required in RCA-based DNR with three staple strands (DNR-T) production. Furthermore, because staple strands and scaffold strands are sequentially assembled by incorporating nicking processes into RCA, it is possible to generate DNR in a one-pot process. Despite the negative electric charge of DNR, its unique rigidity and ribbon-like structure enable DNR to easily pass through the cell membrane. Moreover, the rigidity of DNR is maintained after penetration, and the high aspect ratio allows DNR to easily escape from endosomal entrapment. In virtue of these characteristics, DNR can be used for effective siRNA delivery. siRNA-DNR-T, which has a high loading capacity, can deliver siRNA into the cytoplasm without requiring the proton sponge mechanism, and effectively mediates gene silencing in human cancer cells.

DNA/RNA NANOPARTICLES

DNA or RNA nanotechnology is the design, construction, and application of nucleic acid nanostructures using specific base pairing and programmability of nucleic acids [120,121]. The bottom up self-assembly based on DNA/RNA nanotechnology has been used for various therapeutic applications [82].

pRNA Nanoparticles

Guo group has constructed RNA nanoparticles based on packaging RNA (pRNA) engineering and tried to apply the RNA nanoparticles to biomedical applications through functionalization with therapeutic molecules. pRNA is a component of bacteriophage phi29 DNA packing motor possessing two distinct domains. One is a dsRNA helical domain with 3'- and 5'-ends and the other is interlocking domain with two loops (right hand and left hand) [122]. The two loops are complementary to each other, which enables

the pRNA to form dimer, trimer, and oligomeric structures by intermolecular interactions.

Through utilization of interlocking loops, trimeric pRNA nanoparticles were prepared (**Figure 12A**) [123]. Chimeric pRNAs were produced by replacing the helical domain with siRNA, aptamer, or folate. The reengineering of the helical domain did not hinder formation of trimeric pRNA nanoparticles. Trimeric pRNA nanoparticles harboring pRNAsiRNA, pRNA-CD4 aptamer, and pRNA-fluorescent molecule were treated to CD4 overexpressing cells to examine target specific codelivery of all the components at the same time. All the three functional molecules were target specifically delivered at one time and showed gene silencing activities. Dicer treatment of chimeric pRNA-siRNA also resulted in ~21 nt siRNA, which suggested that gene silencing was achieved through intracellular Dicer processed siRNAs. The advantage of trimeric pRNA nanoparticle is the ability to carry multiple siRNAs or other functional moieties at the same time.

RNA nanoring

RNA nanoring's functionalized with siRNAs were constructed with high yield using RNAI and RNAII modules [22,124]. RNAI and RNAII are transcripts of plasmid that control the replication of ColE1 plasmid of Escherichia coli [125]. The RNAI/II can form so called an inverse kissing-loop complex, mediated by specific loop-loop interaction. Shapiro group carefully designed 6 loop sequence-modified RNA modules that were able to self-assemble into ~15 nm hexameric nanoring (**Figure 12B**). The RNA nanoring further functionalized with 6 siRNAs to use as a siRNA delivery system. Dicer treatment of the nanoring harboring siRNA produced siRNA of ~21 nt in length which could enter RNAi pathway. When the RNA nanoring with siRNAs was transfected into cells, efficient target gene inhibition was achieved. Because 6 siRNAs could be incorporated into a single RNA nanoring, multiple genes could be targeted at the same time for synergistic effects or 6 different regions of one gene could be targeted for more efficient gene silencing. Precise stoichiometric control of siRNA is another attractive quality of RNA nanotechnology-based delivery system.

Tetrahedron oligonucleotide nanoparticles

Conventional drug delivery systems based on polymers or lipids have heterogeneous size, shape, and composition which make it difficult to predict *in vivo* pharmacokinetics and pharmacodynamics of drugs [126]. To overcome these limitations, Lee et al. proposed siRNA delivery nanoparticles which are molecularly identical in size and shape by utilizing DNA nanotechnology [27]. Carefully designed 6 DNA strands were self-assembled into homogeneous tetrahedron oligonucleotide nanoparticle (ONP) using sequence-specific complementary base pairing. Each edge of the ONP had a single stranded overhang which was complementary to overhang sequence of siRNA thereby

6 siRNAs can be accommodated in a single ONP (**Figure 12C**). The ONP showed a homogeneous structure of ~26.8 nm in size when measured using dynamic light scatter (DLS). This size of ONP was expected not only to be accumulated near tumor tissues by taking advantage of EPR effects but also to avoid renal clearance. ONPs harboring 6 siRNAs which were functionalized with folate at the end were treated into folate overexpressing KB cells *in vitro* without any cationic carriers. They exhibited efficient gene silencing in spite of the absence of cationic carriers. ONPs were intravenously injected into KB xenograft mouse to examine *in vivo* behavior of the particles. ONPs showed ~4 times longer blood circulation time than parental siRNA and mainly accumulated in the tumor site (**Figure 12D**). Furthermore, effective target gene silencing in the tumor was achieved without cationic carriers and no significant increased production of INF- α was observed. The influence of targeting ligand spatial orientation and density on cellular uptake and gene silencing was also investigated by taking

advantage of precise structural control of ONPs. Although it is important to understand the relationship between cellular uptake and ligand density and orientation, it was difficult to figure out experimentally with conventional nanoparticles.

The DNA/RNA nanotechnology-based siRNA delivery particles have several outstanding features than conventional polymeric or liposomal delivery systems. First, uniform size and shape of nanostructures could be constructed on demand. Second, stoichiometry and geometry of ligands or therapeutic molecules can be precisely controlled. Third, multiple siRNAs could be incorporated in one nanoparticle for targeting multiple genes or several regions of one gene, which will improve therapeutic efficacy. Lastly, DNA and RNA are biomaterials and considered less toxic and immunogenic. Although there are some challenges, such as endosomal escape, production cost, stability, and more precise understanding of DNA/RNA folding, the DNA/RNA nanostructure-based particles are promising new type of delivery systems.

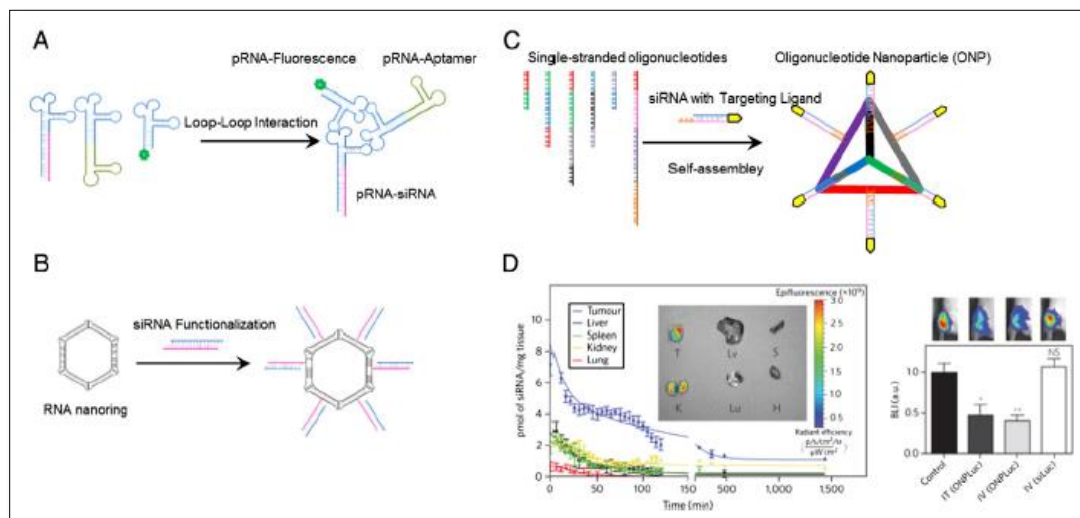


Figure 12. siRNA delivery nanoparticles based on DNA/RNA nanostructures. (A) pRNA trimers harboring siRNA, aptamer, and fluorescent molecules. (B) Hexameric RNA nanoring functionalized with siRNA. (C) Self-assembled tetrahedron oligonucleotide nanoparticle (ONP) bearing 6 siRNAs with targeting ligand. (D) Pharmacokinetics/biodistribution and luciferase gene silencing efficiency of ONPs in KB tumor-bearing mice.

CONCLUSIONS

RNAi therapeutics has unique advantages over conventional pharmaceutical drugs. RNA interference is an endogenous gene regulation process, thus almost all genes can be modulated by siRNAs. The identification and selection of highly potent siRNA sequences has already been accomplished for many gene targets, and the synthesis of siRNAs on a large scale has been achieved. In addition, RNAi therapeutics has demonstrated promise in the treatment of cancers, viral diseases, and genetic disorders. Although significant progress has been made in the field of siRNA delivery, there remain challenges to be overcome. These challenges include 1) the minimization of off-target

effects and immune stimulation, 2) target-specific accumulation of RNAi therapeutics after systemic administration, and 3) the induction of a potent RNAi effect at an acceptable dose level. The key to therapeutic achievement using an RNAi approach depends on delivery issues, thus advanced delivery strategies are critical to fully optimize the power of siRNAs.

Engineered design of synthetic DNA/RNA molecules [127-130] can generate pre-defined structures that can easily self-assemble to form nanoparticles with multiple functionalities. The field of oligonucleotide-based nanotechnology for biomedical applications is just emerging, but will play an important role in the delivery of siRNA. In particular,

oligonucleotide-based structural RNAi systems described in this chapter are promising as a new generation of gene delivery carriers for cancer therapy. To realize clinical application of structural RNAi systems, the potency of the delivery systems needs to be optimized. One of the solutions may be the incorporation of highly specific ligands within the system. Preclinical data from various biopharmaceutical companies have suggested that the delivery of ligand-conjugated siRNA can be highly improved by the utilization of the engineered design of structural RNAi systems [131]. Another considerable issue in the delivery of structural RNAi systems is the facilitated endosomal release of these materials. It is important to understand the endosomal escape mechanism of structural RNAi systems and endeavor to use the endolytic properties to accelerate the transfer of active siRNAs into the cytoplasm. Future prospects of multimerized/branched siRNA structures and oligonucleotide-based structural RNAi systems with defined size and functionality will continue to improve the precision and efficacy of siRNA delivery.

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