

Interrogating the Epigenome to Unveil the Secrets of Neurodegeneration: Promising Epigenetic Therapies

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ABSTRACT

According to the WHO, cerebrovascular and neurodegenerative disorders affect one billion people around the world. Pathological phenotypes of neurodegeneration result from a combination of genomic, epigenomic, metabolic, and environmental factors, which hinder their treatment. Indeed, current FDA-approved conventional drugs used for treatment of neurodegenerative disorders provide very little beneficial effects, or, at best, reduce the pathological symptoms but do not detain disease progression. Furthermore, the unacceptable side effects of most of these treatments make them unsuitable for chronic treatments. One of the main reasons for this historical setback correlates with the poor knowledge about the molecular mechanisms of these pathologies, which results in the inappropriate drug target selection. Genetic components did not fully explain the mechanisms of those diseases. Furthermore, most treatments target symptomatic features of disease but they are not antipathogenic. During the last 15 years, the study of the role of the epigenetic machinery on gene regulation opens new and promising perspectives for a more accurate and effective treatment. Aberrant alterations in the epigenetic machinery result in dysregulation of gene expression at different levels in pathological conditions compared to healthy controls. The epigenetic approach allows the identification of key pathological targets in complex disorders that cannot be detected using genetic-based methods. Many of these epigenetic targets may be detected in early asymptomatic stages of the disease, which facilitates its treatment. Furthermore, the reversibility and potential restoring of epigenetic aberrations, unlike genetic mutations, sited epigenetic-based therapy as a promising tool to treat those complex disorders. This manuscript reviews the main epigenetic mechanisms involved in the most relevant neurodegenerative disorders nowadays, as well as the potential epigenetic-based drugs currently used in clinical trials for treatment of those disorders and future perspectives.

Keywords: Epigenomics, Epigenetic-based treatment, Neurodegeneration, Pharmacogenomics, Pharmacoeugenomics

INTRODUCTION

Neurodegenerative disorders are among the most serious health problems nowadays, especially in light of the increasing life expectancy, as the burden of most of these disorders significantly increases with advanced age. Indeed, according to the World Health Organization (WHO), the World Bank and the Harvard School of Public Health (the Global Burden of Disease Study) dementia and other neurodegenerative diseases will be the eighth cause of disease burden for developed regions in 2020 and the second leading cause of death by 2050 [1,2], which constitutes a tremendous social and economical problem.

Neurodegeneration includes a number of earlier events, such as impaired metabolism, neuronal/glia dysfunction, impaired cell development, axonal transport defects, which finally lead to cell death. Normally, brain has plenty of

resources to overcome and compensate these previous abnormal events, which remain asymptomatic. As a result, the first clinical manifestations of symptoms appear often when the rate of cell loss is sufficiently high to affect brain function and potential treatment is no longer feasible.

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There is not yet a successful treatment for neurodegenerative disorders due to several reasons, including the following: (i) different types of neurodegenerative cognitive and motor impairment share the same pathological features [3]; (ii) pathological and clinical findings do not necessarily correlate [4,5]; (iii) a number of asymptomatic events, not recognized as neuropathological and normally not identified, may be essential for disease initiation and progression; (iv) mechanisms underlying the majority of neurodegenerative diseases are poorly understood and thus, drug targets are inappropriate since they do not fit into the real etiology of the disease; (v) most treatments are symptomatic, but not antipathogenic; (vi) the understanding of genome-drug interactions is very limited [6-8]. It is therefore important to find diagnostic strategies for detection of neurodegenerative diseases during early, preferably asymptomatic stages, when a pharmacological intervention is still possible.

Neurodegenerative diseases are complex multi-factorial disorders partially defined by genetic factors, but normally arise due to a complex interplay of genetic and environmental factors, i.e., there is an epigenetic influence. Epigenomic regulation is a universal phenomenon of gene expression control during development, maturation, and aging in physiological conditions and is sited among the major regulatory elements that control metabolic pathways at the molecular level. At this regard, mechanisms such as memory and learning, age-related cognitive impairment, or behavior disorder, are mainly epigenetically regulated. Epigenetic mechanisms, influenced by internal (hormonal changes or response to medication, among others) or external (diet habits, physical exercise, stress, environment modifications, etc.) environmental changes in the organism, lead to changes in DNA methylation, chromatin structure, or non-coding RNA expression, that regulate gene expression at transcriptional or post-transcriptional levels without altering DNA sequence. Alterations in this meticulously controlled mechanism lead to an aberrant gene expression that becomes pathogenic [9-11].

Epigenetics is a relatively novel area of research that is currently attracting a high level of interest due to three main reasons: (i) the identification of epigenetic targets as key initiating events in complex disorders that could not be explained only by genetic factors; (ii) those epigenetic targets may be potential markers for an early diagnosis or prognosis of the disease; (iii) the reversibility and potential restoring of epigenetic aberrations, unlike genetic mutations, sited epigenetic-based therapy as a promising tool to treat those complex disorders. These important research findings led to an exponential increase on research publications related to epigenetic regulation and treatment of complex disorders, especially on cancer (**Figure 1**), achieving over 1500 research manuscripts and 350 review articles in 2015, and over 6500 publications (over 2000 review articles) during the last 15 years. Epigenetic research is also offering

novel insights into the pathogenesis of those disorders [10], although research in this field is more recent than that for cancer and the number of released publications is still significantly lower (8-fold lower number of articles in 2015, approximately) (**Figure 1**).

This article reviews the main differential epigenetic modifications associated with aging, as comparison with those affecting the major pathogenic genes involved in neurodegenerative disorders, including Alzheimer's Disease, Parkinson's Disease, Huntington's Disease, and Amyotrophic Lateral Sclerosis, as well as the potential epigenetic-based therapy strategies to treat those disorders and their potential success. The manuscript also includes aberrant epigenetic modifications in other gene targets as potential early markers of neurodegenerative processes. Additionally, the implication of epigenetic modifications on pharmacogenomics-related genes associated with neurodegenerative disorders will be also discussed.

EPIGENETIC MECHANISMS

Epigenetic machinery results of great interest in science as it is sited among the major regulatory elements controlling metabolic pathways at the molecular level. In this regard, mechanisms such as memory and learning, elderly-associated cognitive impairment, or behavior disorder, are to some extent, epigenetically regulated [12-14]. Alterations on this epigenetic control, by endogenous (hormonal changes, synaptic alterations, response to medication) or exogenous factors (diet habits, physical exercise, stress, environment modifications) lead to abnormal gene expression that results to be pathogenic, although the genetic code remains intact.

Epigenetic mechanisms regulate gene expression at both, transcriptionally and post-transcriptionally levels. DNA methylation status, histone modifications, and chromatin structure, control gene expression, whereas interference RNAs suppress gene expression post-transcriptionally [15] (**Figure 2, Table 1**).

DNA methylation

The level of methylation of a given gene promoter determines the level of expression of such gene. DNA methylation is a process by which methyl groups are incorporated into cytosine molecules by DNA methyltransferases (DNMTs). Methylation normally occurs at the CpG islands defined as regions where CG content is greater than 60%. Gene promoters with a rich content of CpG islands are most likely to be hypermethylated, since approximately 70% of CpG dinucleotides within the human genome are methylated. Methylation of gene promoter by DNMTs leads to a reduced gene expression (**Figure 2, Table 1**) by two different mechanisms: (i) by promoting the binding of transcription repressors; or (ii) by inhibiting the binding of transcription factors (**Figure 2**) [16-18].

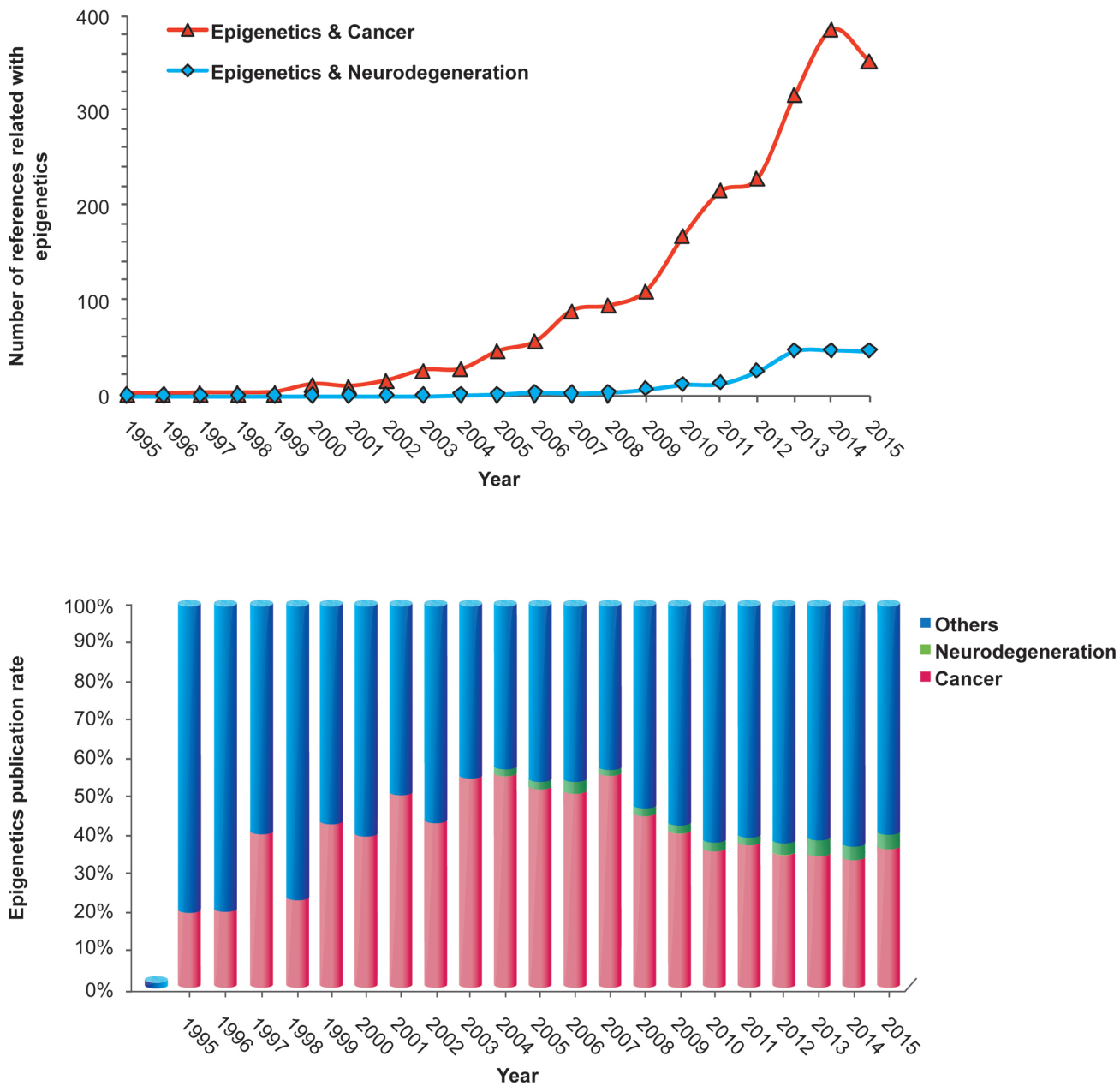


Figure 1. Position of epigenetics in current research.

However, DNA methylation at the gene sequence, but not at the promoter level, may activate transcription [19]. DNA methylation in mammals is mediated by two DNMTs (DNMT3a and DNMT3b), which methylates new unmethylated cytosines, and by a DNMT1, which maintains the methylated status [20,21].

Gene promoter may also be hypomethylated by DNA demethylases (DNMTs) with the subsequent activation of transcription (**Table 1**). DNA demethylation can be produced by at least 3 enzyme families: (i) the ten-eleven translocation (TET) family, mediating the conversion of 5-methyl-cytosine (5mC) into 5-hydroxymethyl-cytosine (5hmC); (ii) the AID/APOBEC family, acting as mediators of 5mC or 5hmC deamination; and (iii) the BER (base excision repair) glycosylase family involved in DNA repair [22].

Histone modifications/chromatin remodeling

Chromatin stability and conformation is essential for regulation of gene expression, silencing transposable elements, and maintaining genome integrity. Chromatin conformation is controlled by ATP-dependent chromatin regulator complexes (ATP-CRCs) and post-translational histone modifications (HMs) (**Table 1**).

ATP-CRCs use ATP hydrolysis to move, destabilize, eject, or restructure nucleosomes, allowing the accessibility of transcription factors to DNA. The effects of ATP-CRCs on gene expression depend on the recruitment of coactivators or corepressors in the accessible promoters (**Figure 2, Table 1**) [22-24]. The main CRCs correspond to (i) the SWI/SNF (switching defective/sucrose nonfermenting) family; (ii) the ISWI (imitation SWI) family; (iii) the CHD (chromodomain, helicase, DNA binding) family; and (iv) the INO (inositol requiring 80 family) [24].

Post-translational modifications on histones modify the level of DNA package into a tight (close chromatin) or loose (open chromatin), altering the accessibility of genes to the transcription machinery (**Figure 2**). Histone modifications may also unravel the chromatin structure for the execution of a given function, such as transcription of a given gene, DNA duplication, DNA repair, or chromosome condensation (**Table 1**) [EM 22,25]. Out of the eight different histone modifications described, the most relevant include acetylation/deacetylation, methylation, phosphorylation, ubiquitylation, and sumoylation (**Table 1**).

Histone acetylation is associated with activation of transcription. The addition of acetyl groups by histone lysine-acetyltransferases (HATs or KATs) decreases the electrostatic DNA-histone interaction, leading to an open chromatin conformation [25,26]. The main HATs involve Gcn5-related *N*-acetyltransferases (GNATs), which includes GCN5, p300/cAmp-response element binding protein (CBP)-associated factor (PCAF), KAT6-8, CREB-binding protein/CBP (CREBBP/CBP), and EP300 [25-31]. As a

counterpart, *histone deacetylation*, mediated by histone deacetylases (HDAC), increases the affinity of histones to DNA, leading to a more condensed chromatin structure and repressed transcription [12,18,23-30]. 18 HDACs, present in mammals, are organized into 4 classes (class I, II, III, IV): (i) Class I HDACs (HDAC1, 2, 3, and 8), nuclear proteins; HDAC1 and HDAC2 are often found in transcriptional corepressor complexes (*SIN3A*, *NuRD*, *CoREST*), and HDAC3 is found in other complexes (SMRT/N-CoR); (ii) class II HDACs are subdivided into class IIa (HDAC4, 5, 7, and 9), and IIb (HDAC6 and 10), which are located in the nucleus-cytoplasm interface and in the cytoplasm, respectively; (iii) class III HDACs belong to the sirtuin (SIRT) family, with nuclear (SIRT1, 2, 6, 7), mitochondrial (SIRT3, 4, 5), or cytoplasmic (SIRT1, 2) localization; and (iv) class IV HDAC (HDAC11), a nuclear protein [25-30]. In addition to regulation of transcription, histone acetylation has also been found to associate with (i) DNA repair, by upregulation of histone acetyltransferases and downregulation of histone deacetylases for H3K56 during DNA damage in budding yeast [25,32,33]; (ii) DNA replication, where histone acetyltransferase HBO1 is required [25,34,35]; and (iii) chromosome condensation [25,36,37].

Histone methylation and *demethylation* processes are mediated by histone methylases (HMTs) and demethylases (HDMTs), respectively. Those enzymes have a high specificity as they usually modify one single lysine per histone which may be translated into activation or repression of transcription [22,25,26,31,38,39]. Histone methylations H3K4, H3K36, and H3K79 are associated to activation of gene expression, whereas methylations at H3K9, H3K27, and H4K20, correspond to gene silencing. Histone methylation has also been associated with DNA repair [25,31,40,41].

The effects of *histone phosphorylation* on gene expression are poorly understood, although some studies agree on the transcription activation by H3 (S10) phosphorylation [25,26,31,42,43]. Phosphorylation of gamma-H2AX participates on DNA repair in mammalian cells [25,44], while phosphorylation at H3S10 and H3T3 plays a role on chromosome condensation [25,45,46].

Histone ubiquitylation is a large modification which mechanism remains unclear. It is thought that keeps chromatin open by a "wedging" process, given its large size [25]. Ubiquitylation of human H2AK119, mediated by Bmi/Ring 1A protein is associated with transcriptional repression [25,47], whereas Ubiquitylation of H2BK20 by RNF20/RNF40 and UbcH6 promotes gene expression [25,48]. Histone ubiquitylation is also the most recently modification linked to DNA repair [25,47,49].

Histone sumoylation take place on all four core histones and leads to a repression of transcription in yeast [25,50].

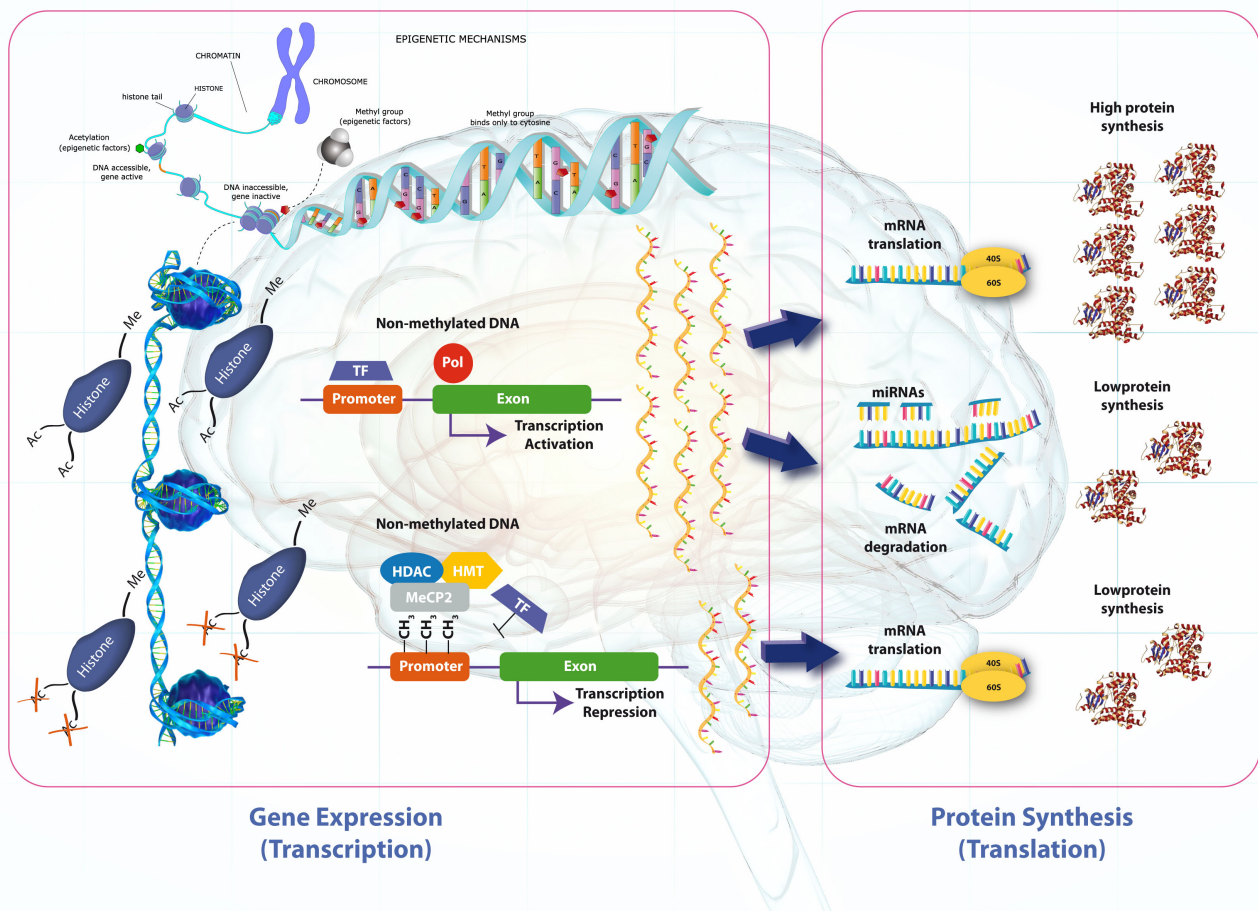


Figure 2. Epigenetic mechanisms controlling nervous system physiology. Gene expression is controlled by a variety of epigenetic mechanisms at transcriptional and post-transcriptional levels. Enzymatic-promoted histone post-translational modifications and other modulators regulate chromatin structure into a condensed ('closed chromatin') or loose conformation ('open chromatin'). 'Closed chromatin' conformation inhibits the interaction of gene promoters with the transcription machinery, which results in down-regulation of mRNA transcription. As counterpart 'open chromatin' allows the accessibility of transcription factors and promotes gene expression. Gene promoters containing hypomethylated CpG islands, promote binding of transcription factors and gene expression, whereas hypermethylated gene promoters rather induce binding of transcription repressors, such as MeCP2, or activate enzymes such as HDACs or HMTs which promote chromatin condensation and repressed mRNA transcription. Non-coding RNAs, such as lncRNAs or miRNAs, regulate gene expression post-transcriptionally. Those ncRNAs bind target mRNAs resulting in enhanced or repressed gene expression. Ac: Acetyl group; Me: Methyl group; HDAC: histone deacetylase; HMT: histone methyltransferase; MeCP2: Methyl-CpG-binding protein 2; Pol: DNA polymerase; TF, Transcription factors; miRNAs: MicroRNAs.

Non-coding RNAs

Although transcription into mRNA is crucial for protein synthesis and cell function, only 5% of the eukaryotic genome is translated into protein, whereas 95% is transcribed into non-coding RNAs (ncRNAs) [51,52]. These ncRNAs can be classified into three groups: (i) Long non-coding RNAs (lncRNAs); (ii) housekeeping/structural RNAs, such as ribosomal (rRNA), transfer (tRNA), and small nuclear RNAs (snRNA); and (iii) regulatory RNAs, including small interference RNAs (siRNAs), micro

RNAs(miRNAs), and piwi RNAs(piRNAs) [53] (Figure 2, Table 1).

lnc RNAs are long RNAs (>200 nucleotides), present in >8000 loci in the human genome and include large intergenic non-coding RNAs (lincRNA), natural antisense transcripts (NATs), non-coding RNA expansion repeats, promoter-associated RNAs (PARs), enhancer RNAs (eRNAs) [22,54,55]. lncRNAs regulate gene expression by interaction with proteins or RNA secondary structures, through genomic imprinting [56], by silencing genes in

somatic cells involved in brain development[57], or through interaction with membraneless subnuclear bodies that participate in nuclear organization (paraspeckles). Indeed, the lncRNA NEAT1, which localizes exclusively in paraspeckles, is upregulated in Huntington's disease [58] and in amyotrophic lateral sclerosis (ALS) [59]. NATs are lncRNAs arising from the opposite strand of genes regulating mRNA expression by competition for regulatory factors, or through physically hindering the progress of transcription. NATs have been associated with neurodegenerative, neurodevelopmental and psychiatric disorders (schizophrenia, bipolar disorder, autism, and fragile X mental retardation gene (*FMRI*) [60].

Regulatory RNAs are small RNAs (<200 nucleotides) which show mature forms of 20-30 nucleotides (nt) that associate with members of the Argonaute (AGO) superfamily of proteins, the central effectors of RNA interference (RNAi) pathways. miRNAs and siRNAs are post-transcriptional gene silencers, guiding AGO complexes to complementary mRNAs in the cytoplasm, inducing transcript degradation and blocking translation [54]. miRNAs repress translation with RISC (RNA-induced silencing complex) and induce mRNA degradation by binding to the 3' untranslated region (3'UTR). Other miRNAs may enhance mRNA translation and induce gene expression by binding to the promoter of the target gene. Specific small RNAs, piRNAs, associated with the PIWI clade of Argonautes, are essential for fertility, by silencing transposons in the germline [54].

Table 1. Epigenetic mechanisms and their implications in biological processes

Epigenetic Targets	Efectors	Activity	Biological implications	
DNA methylation	DNMTs	DNA methylation	- Repressed transcription	
	DNDMs	DNA demethylation	- Activated transcription	
Chromatin structure	ATP-CRCs	Chromating remodeling to allow accesibility of TFs	- Activated / Repressed transcription	
	Co-activators	Acumulation of transcription activators	- Activated transcription	
	Co-repressors	Acumulation of transcription repressors	- Repressed transcription	
Histone modifications	HATs	Histone acetylation	- Activated transcription - DNA repair - DNA replication - Chromosome condensation	
	HDACs / SIRTs	Histone deacetylation	- Repressed transcription	
	HMTs	Histone methylation	- Activated / Repressed transcription - DNA repair	
	HDMTs	Histone demethylation	- Activated / Repressed transcription	
	Protein kinases	Histone phosphorylation	- Activated transcription - DNA repair - Chromosome condensation	
	Others		Histone ubiquitylation	- Activated transcription - DNA repair
			Histone sumoylation	- Repressed transcription
ADP ribosylation			- Transcription regulation under DNA repair	
Non-coding RNAs	Lnc RNAs	Protein and genomic DNA binding	- Translational regulation - Post-translational regulation	
	miRNAs	Post-translational gene silencers	- Repressed translation	

siRNAs	Post-translational gene silencers	- Repressed translation
piRNAs	Transposon silencers in the germ line	- Repressed translation

ATP-CRCs: ATP-dependent chromatin remodeling complexes; DNDMs: DNA demethylases; DNMTs: DNA methyltransferases; HATs: Histone lysine acetyltransferases; HDACs / SIRT6: Histone deacetylases / sirtuins; HDMTs: Histone lysine demethylases; HMTs: Histone lysine methyltransferases; Lnc RNAs: Long non-coding RNAs; miRNAs: Micro-RNAs; piRNAs: Piwi-interacting RNAs; siRNAs: Small interference RNAs; TFs: Transcription factors.

AGE-RELATED EPIGENETICS

Epigenomics sites in the interface between the individual and the internal and external environment, and therefore, the quality of genetic activity will depend on life habits and surrounding environment. Aberrant epigenetic processes are usually linked to unhealthy life style or during pathological conditions. However, normal physiological conditions, such as oxidative stress, also turn significantly modified during normal aging and related hallmarks [61]. These age-related physiological changes also relate to modifications in the epigenetic regulation patterns [62-64], increasing the risk for development of age-related neurodegenerative disorders.

DNA methylation

Methylation plays a crucial role during development. Many genes associated with cell death and survival, cell growth, organismal and tissue development, and cancer have been found hyper- and hypomethylated during age progression [18,65].

Several studies report a global loss of DNA methylation in age cells, although this occurs in a cell- and tissue-specific manner [66-73]. Some studies report a significant decrease of DNMT with age. Oliveira and colleagues [66] observed an age-related decrease of DNMT3a and DNMT3a2 in mice hippocampus which correlated with age-related cognitive decline in those mice. Indeed, experimental restoration of DNMTs decreased the severity of cognitive impairment [66]. Hernandez and colleges [67] found a DNMT1 decrease with aging in human fetal lung fibroblasts, which support other studies of global hypomethylation associated with cell senescence in blood [67-70]. Tohgi and colleagues [71] found age-related hypomethylation of the amyloid β precursor protein, *APP*, gene, which is also an epigenetic trademark of Alzheimer's disease. In addition, 5-hydroxymethylcytosine (hmC) levels, corresponding to DNA demethylation, were found increased in mice hippocampus which correlates with risk for age-related neurodegenerative diseases [72-74].

In contrast, some loci have been found hypermethylated with age, including estrogen receptor, interferon γ , insulin-like growth factor II, promoters of tumor-suppressor genes such as lysyl oxidase (*LOX*), *p16INK4a*, runt-related transcription factor 3 (*RUNX3*), and TPA-inducible gene 1 (*TIG1*) [18].

A genome-wide methylation study analyzed 1,006 blood DNA samples of women aged 35 to 76 from the Sister Study, and found that 7,694 (28%) of the 27,578 CpGs

assayed were associated with age, confirming the existence of at least 749 "high-confidence age-related CpG (arCpGs) sites in normal blood [75]. These age-related changes were largely concordant in a broad variety of normal tissues. Interestingly, they found that the proportion of hypermethylated arCpGs (IM-arCpGs) was significantly higher (71-91%) than expected in a wide variety of tumor types. IM-arCpGs sites occurred almost exclusively at CpG islands and were disproportionately marked with the repressive H3K27me3 histone modification. These findings suggest that methylation at age-related sites increase the sensitivity of cells to become malignant, which may partially explain the increase in cancer incidence with age.

Another methylome-wide association study analyzed differential age-related methylation patterns in whole blood DNA from 718 individuals, within the range of 25-92 years old [76]. They sequenced the methyl-CpG-enriched genomic DNA fraction, averaging 67.3 million reads per subject, to obtain methylation measurements for the ~27 million autosomal CpGs in the human genome, and adaptively combined methylation measures for neighboring, highly-correlated CpGs into 4,344,016 CpG blocks for association testing. Eleven age-associated differentially methylated regions (DMRs) passed Bonferroni correction. A total of 42 out of 70 selected DMRs showed hypomethylation and 28 showed hypermethylation with age. Hypermethylated DMRs were more likely to overlap with CpG islands and shores. Hypomethylated DMRs were more likely to be in regions associated with polycomb/regulatory proteins (EZH2) or histone modifications, such as acetylation (H3K9ac, H3K27ac) and methylation (H3K4m1, H3K4m2, H3K4m3). Among genes implicated by the top DMRs were protocadherins, homeobox genes, mitogen-activated protein kinases (MAPKs), ryanodine receptors, and genes with potential relevance for age-related disease.

Histone modifications

Post-translational histone modifications and chromatin structure also undergoes significant alterations with age. Histone H3 and H4 methylation declined progressively with age in rat brain [77-79], whereas histone phosphorylation increases with age [80-82].

Different reports show age-related histone acetylation decline *in vitro* [81,83], as well as decrease of H3K9ac and H4K12ac in rat liver and brain, respectively [80,84], and monoacetylated H4 levels in rat cerebral cortex [85]. Indeed,

the histone acetylase CREBBP plays an important role on long-term memory formation in mice [86,87]. Acetylation of α -tubulin and histone H3K9 may activate cell function and gene expression to foster tissue repair. The direct activation of *P300/CBP*-associated factor (PCAF) by the histone acetylase activator pentadecylidenemalonate 1b (SPV-106) induces lysine acetylation in the wounded tissue area. An impairment of PCAF and/or other GCN5 family acetylases may delay skin repair in physiopathological conditions [88]. Decrease in histone acetylation with age is in line with finding of increased expression of histone deacetylase HDAC2 [89]. Age-related decrease of histone acetylation leads to a close chromatin conformation and subsequent lack of accessibility for DNA repairing enzymes and other regulatory factors [85-90], which turns into an impaired synaptic plasticity and memory formation due to the transcriptional repression of crucial genes [91,92]. Contrary to HDAC2, class III histone acetylases, sirtuins (SIRT 1-7), are downregulated in aging, especially, SIRT1 [93-95]. Activation of sirtuins may extend lifespan, modulating

calorie restriction mechanisms [96,97] and promoting a healthy aging, which delays the onset of neurodegenerative processes [98,99].

Non-coding RNAs

There is a correlation between changes in miRNA expression and aging: (i) miRNA lin-4 regulates lifespan in *C. elegans*; (ii) several miRNAs (miRNAs-34, -669c, -709, -93, -214) were found to be upregulated with age, while others (miRNAs-103, -107, -128, -130a, -155, -24, -221, -496, -1538, -17, -19b, -20a, -106a) appeared downregulated in peripheral tissues [100,101]; (iii) 70 miRNAs were found to be upregulated in the aging brain; 27 of these miRNAs may target genes of mitochondrial complexes III, IV, and F_0F_1 -ATPase involved in oxidative phosphorylation and reduced expression in aging [102].

Table 2. Epigenetic modifications involved in neurodegenerative diseases

Disease	Pathogenic gene	Locus	Promoter length (bp)	3'UTR length (bp)	Defective protein	Methylation / Gene expression	Chromatin remodeling / Histone modifications	Non-coding RNAs
Alzheimer's disease (AD)	<i>APOE</i>	19q13.2	996	...	APOE	Hypomethylated / Upregulated mRNA		<u>Dysregulated lncRNAs in AD</u>
	<i>APP</i>	21q21.3	1086	1176	APP	Upregulated mRNA		Sox2OT, 1810014B01Rik, BC200, BACE1-AS, NAT-Rad18, 17A, GDNFOS
	<i>BACE1</i>	11q23.2-q23.3	987	3994	beta secretase 1	Upregulated mRNA	-Reduced histone acetylation	<u>Binding to <i>BACE</i>, <i>PSEN1</i>, and <i>APP</i></u> miR-9, miR-16,
	<i>BINI</i>	2q14	1076	642	BIN1	Upregulated mRNA	-Decreased SIRT1 in parietal cortex	miR-29a/b/c, miR-17, miR-20a, miR-101, miR-106a/b,
	<i>CD33</i>	19q13.3	1190	387	CD33	Upregulated mRNA	-Increased HDAC2	miR-107, miR-124, miR-125,
	<i>CLU</i>	8p21-p12	1094	1399	CLU	Hypomethylated / Upregulated mRNA	-Increased HDAC6 in cortex, hippocampus	miR-137, miR-147, miR-153,
	<i>CR1</i>	1q32	966	2579	CR1	Hypomethylated / Upregulated mRNA	-H3K9 trimethylation in cortex, hippocampus	miR-195, miR-323-3p, miR-520c, miR-644, miR-655, let-7 family
	<i>MAPT</i>	17q21.1	1094	...	TAU	Hypermethylated / Downregulated mRNA	phosphorylation in hippocampus	<u>Associated to tau phosphorylation</u> miR-9, miR-15 family, miR-16 family,
	<i>PSEN1</i>	14q24.3	929	1198	PSEN1	Hypomethylated / Upregulated mRNA	-Chromatin condensation in hippocampus	miR-26a, miR-34, miR-146a, miR-181c
<i>SORL1</i>	11q23.2-q24.2	996	...	SORL1	Downregulated mRNA	Hippocampus H2AX phosphorylation in hippocampus	<u>Epigenetically regulated in AD</u> miR-132/212, miR146a, miR-148a, miR-155, miR-184, miR-200, miR-200c/141	

Specific circulating miRNAsmiR-7 & miR-12 signatures
(Blood)miR-9,miR-125b,miR-
149a,miR-155 (CSF)

	<i>ATP8A2</i>	13q12.13	1087	1380	AT8A2	Hypomethylated / Upregulated mRNA		
	<i>APBA1</i>	9q21.12	994	1188	APBA1	Hypomethylated / Upregulated mRNA		
	<i>CNTNAP2</i>	7q35-q36	1038	...	CNTP2	Hypomethylated / Upregulated mRNA		<u>Dysregulated lncRNAs in PD</u> NaPINK,SoxOT,1810014B01 Rik,BC200
	<i>CPLX2</i>	5q35.2	1050	4180	CPLX2	Hypomethylated / Upregulated mRNA	-Reduced H3 acetylation mediated by α -synuclein	
	<i>FAT1</i>	4q35.2	991	992	FAT1	Hypomethylated / Upregulated mRNA		<u>Impaired in SCNA transgenic</u>
Parkinson's disease (PD)	<i>FHIT</i>	3p14.2	951	382	FHIT	Hypomethylated / Upregulated mRNA	-Up-regulation of Sirt2 mediated by α - synuclein	<u>miR-7, miR-153 (down- regulated)</u>
	<i>KCNH1</i>	1q32.2	920	...	KCNH1	Hypomethylated / Upregulated mRNA		miR-433 (up-regulated)
	<i>MAGI2</i>	7q21.11	1041	2375	MAGI2	Hypomethylated / Upregulated mRNA	-Reduced H3 methylation (H3K4me3,H3K27me 3)	miR-10a/b,miR-132,miR- 212,miR-495 (dysregulated by α -synuclein)
	<i>SMOC2</i>	6q27	1089	1791	SMOC2	Hypomethylated / Upregulated mRNA		<u>Stage or tissue specific</u> miR- 34b/c (early stages)
	<i>SNCA</i>	4q22.1	1097	1185	α -SYN	Hypomethylated / Upregulated mRNA	-Toxin-mediated increase H4 acetylation by p300/CBP	miR133b (midbrain specific)
	<i>TNFA</i>	6p21.3	1028	907	TNFA	Hypomethylated / Upregulated mRNA		<u>Impaired through LRRK2</u>
	<i>TUBA3E</i>	2q21.1	700	...	TBA3E	Hypomethylated / Upregulated mRNA	overexpression	miR-184*, let-7
	<i>BDNF</i>	11p14.1	1022	3213	BDNF	Hypermethylated / Downregulated mRNA		<u>Dysregulated lncRNAs in PD</u> HAR1F, HTTAS, DGCR5, NEAT1, TUG1
Huntington's disease (HD)	<i>HTT</i>	4p16.3	1286	937	HD	Extensive methylation alteration	-Decreased histone acetylation -Increased histone methylation -Heterochromatin condensation	<u>REST-associated</u> dysregulation miR-9, miR- 9*, miR-29b, miR-124a, miR- 132
	<i>A_{2A}R</i>	22q11.23	904	993	adenosine receptor A _{2A}	Hypermethylated / Downregulated mRNA		<u>Targetting HTT</u> miR-34b, miR-125b, miR-146a, miR- 150, miR-214 (altered by mutant HTT)

miR-196a (represses mutant HTT)

	<i>ALS2</i>	2q33.1	1069	1394	<i>ALS2</i>	Hypermethylated / Downregulated mRNA	-Histone hypoacetylation	<u>Targeting TARDP</u> miR-9,miR-132,miR-143,miR-558
	<i>ATXN2</i>	12q24.12	926	699	<i>ATXN2</i>	Hypermethylated / Downregulated mRNA	-Histone methylation reduces <i>C9orf72</i> gene expression	<u>Targeting FUS</u> miR-9,miR-132,miR-134
	<i>C9orf72</i>	9p21.2	...	1746	<i>CI072</i>	Hypermethylated / Downregulated mRNA		<u>Dysregulated in muscle cells</u> miR-23a,miR-29b,miR-206,miR-455
	<i>DNMT1</i>	19p13.2	1061	381	<i>DNMT1</i>	Upregulated mRNA	-Forced HDAC3 expression induces neurodegeneration	<u>Dysregulated in spinal cord</u> miR-146*, miR-524-5p,miR-582-3p (binding 3' UTR of <i>NEFL</i>)
Amyotrophic Lateral Sclerosis (ALS)	<i>OPTN</i>	10p13	1020	1577	<i>OPTN</i>	Hypomethylated / Unaltered mRNA	-FUS binding to CBP induces hypoacetylation	miR24-2*, miR142-3p,miR-142-5p,miR-146b,miR-155,miR-1461
	<i>SOD1</i>	21q22.11	988	497	<i>SODC</i>	Increase of DNMT1, DNMT3a, 5-mc		
	<i>VEGF</i>	6p12	996	...	<i>VEGF</i>	Hypomethylated / Upregulated mRNA	-Impaired ELP3 results in HAT impairment	<u>Blood-circulating miRNAs</u> miR-149,miR-328,miR-338-3p,miR-451,miR-583,miR-638,miR-665,miR-1275 (leukocytes) miR-27*,miR-32-3p,miR-146*,miR-155 (CD14 ⁺ CD16 ⁺ monocytes)

2B1F: HLA class I histocompatibility antigen, DRB1-15 beta chain; *5-mc*: 5. methylcytosine; *A2AR*: adenosine A2a receptor; *ALS2*: Alsln; *ALS2*: Amyotrophic lateral sclerosis 2 (juvenile); *APBA1*: Amyloid beta (A4) precursor protein-binding, family A, member; *APOE*: apolipoprotein E; *APP*: amyloid beta (A4) precursor protein; *ATP8A2*: ATPase, aminophospholipid transporter, class I, type 8A, member 2; *ATXN2*: Ataxin 2; *BACE1*: beta secretase 1; *BDNF*: brain-derived neurotrophic factor; *BINI*: bridging integrator 1; *C9orf72*: Chromosome 9 open reading frame 72; *CD33*: CD33 molecule; *CI072*: Protein C9orf72; *CLU*: clusterin; *CNTNAP2*: Contactin associated protein-like 2; *CPLX2*: *Complexin 2*; *CRI*: complement component 3b/4b receptor 1; *DNMT1a*: DNA (cytosine-5)-methyltransferase 1 alpha; *DNMT3a*: DNA (cytosine-5)-methyltransferase 3 alpha; *FAT1*: Protocadherin Fat 1; *FAT1*:FAT atypical cadherin 1; *FHIT*: Bis(5-adenosyl)-triphosphatase; *FHIT*: Fragile histidine triad; *HLA-DRB1*: Major histocompatibility complex, class II, DR beta 1; *HTT*: huntingtin; *KCNHI*:Potassium channel, voltage gated eag related subfamily H, member 1; *MAGI2*: Membrane associated guanylate kinase, WW and PDZ domain containing 2; *MAPT*: microtubule-associated protein tau; *OPTN*: Optineurin; *PSEN1*: presenilin 1; *SMOC2*:SPARC related modular calcium binding 2; *SNCA*: Synuclein, alpha (non A4 component of amyloid precursor); *SOD1*: Superoxide dismutase 1, soluble; *SODC*: Superoxide dismutase (Cu-Zn); *SORL1*: sortilin-related receptor; *SPARC* related modular calcium binding 2; *TNFA*: Tumor Necrosis Factor A; *TNFRSF1A*: Tumor necrosis factor receptor superfamily, member 1A; *TUBA3E*: Tubulin, alpha 3e; *VEGF*: vascular endothelial growth factor; α -SYN: Alpha-synuclein.

EPIGENOMICS IN NEURODEGENERATIVE DISORDERS: TARGETS AND POTENTIAL TREATMENTS

The knowledge of the human genome allows the detection of modifications in the sequence of certain genes responsible for a number of diseases, which provides a diagnosis, or even a prognosis of those diseases, and also the possibility of

developing more accurate and less expensive treatments. However, gene function is only partially defined by mutations or polymorphisms in complex multifactorial disorders. Epigenetic regulation involves all changes in gene function without altering DNA sequence, which may provide coverage for unknown mechanisms involving complex disorders that cannot be easily explained otherwise.

Aberrant epigenetic modifications in pathogenic genes involved in synaptic plasticity, cell development, immune response, and cell death, among others, lead to development of neurodegenerative and many other neurological diseases. **Table 2** displays the main pathogenic target genes with abnormal expression levels associated to the most common neurodegenerative diseases, including Alzheimer's Disease, Parkinson's Disease, Huntington's Disease, and Amyotrophic Lateral Sclerosis. **Table 2** also includes the

epigenetic mechanisms leading to these abnormal gene expressions.

Available treatments for neurodegenerative diseases provide limited beneficial effects and, in most cases, a payback of unacceptable side effects. Epigenetic mechanisms unveil many hidden aspects on the pathological processes related with memory and learning impairment, synaptic loss, and cell death, leading to neurodegeneration. Furthermore, epigenetic modifications involved in these complex disorders are reversible. Therefore, epigenetic-based treatments, targeting DNA methylation, chromatin remodeling, and non-coding RNAs, are a promising step for a successful treatment of neurodegenerative disorders (**Figure 3**). Some of those epigenetic-related treatments for neurodegenerative disorders (DNMT inhibitors, HDAC inhibitors, SIRT activators, HAT inhibitors, HMT inhibitors) are currently submitted to clinical trials (**Table 3**).

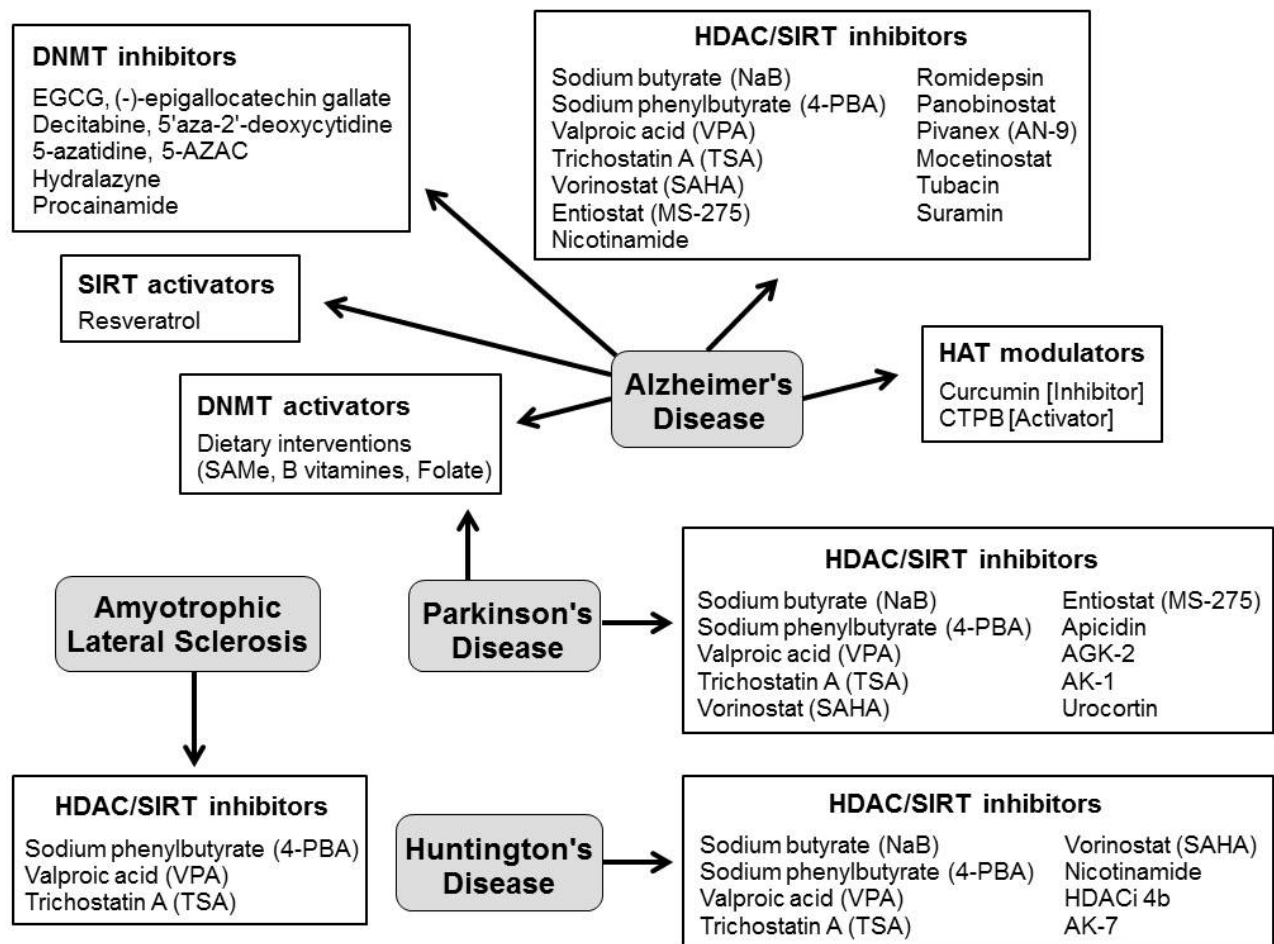


Figure 3. Epigenetic-based compounds under development for treatment of neurodegenerative diseases.

Table 3. Epigenetic-based treatments for neurodegenerative disorders submitted to clinical trials

Compound	Epigenetic Mechanism	Condition	Clinical Trials	Recruitment / Last Update
Name: EGCG, (-)-epigallocatechin gallate, epigallocatechin 3-gallate, tea catechin, teavigo, catechin deriv. , 989-51-5 IUPAC name: [(2R,3R)-5,7-dihydroxy-2-(3,4,5-trihydroxyphenyl)-3,4-dihydro-2H-chromen-3-yl] 3,4,5-trihydroxybenzoate Molecular formula: $C_{22}H_{18}O_{11}$ Molecular Weight: 458.37172 g/mol	Category: DNMT inhibitors Targets: DNMT1	AD HD	NCT00951834 Phase II,III NCT01357681 Phase II	Completed / January 2016 Completed / June 2015
Name: folate and other B vitamins IUPAC name: (2S)-2-[[4-[(2-amino-4-oxo-1H-pteridin-6-yl)methylamino]benzoyl]amino]pentanedioic acid Molecular formula: $C_{19}H_{19}N_7O_6$ Molecular Weight: 441.39746 g/mol	Category: DNA methylation activators Targets: THF, MTHF, SAME	AD AD AD	NCT00056225 Phase III NCT01320527 Phase II NCT02457507 Phase IV	Completed / June 2009 Completed / March 2016 Completed/January 2016
Name: sodium phenylbutyrate, buphenyl, 4-phenylbutiric acid, 4-phenylbutanoic acid, benzenebutanoic acid, benzenebutyric acid, butyric acid IUPAC name: sodium;4-phenylbutanoate Molecular formula: $C_{10}H_{11}NaO_2$ Molecular Weight: 186.182909 g/mol	Category: HDAC inhibitors Targets: class I HDAC (HDAC1,2,3,8); class IIa HDAC (HDAC4,5,7,9); class IIb HDAC (HDAC6,10)	PD HD ALS	NCT02046434 Phase I NCT00212316 Phase II NCT00107770 Phase I,II	Active, Nr / November 2015 Completed / August 2012 Completed / January 2010
Name: valproic acid, 2-propylpentanoic acid, depakene, depakine, ergenyl, dipropylacetic acid, mylproin, convulex, myproic acid IUPAC name: 2-propylpentanoic acid Molecular formula: $C_8H_{16}O_2$ Molecular Weight: 144.21144 g/mol	Category: HDAC inhibitors Targets: class I HDAC (HDAC1,2,3,8)	AD AD ALS	NCT01729598 Phase 0 NCT00071721 Phase III NCT00136110 Phase III	Completed / February 2015 Completed / September 2014 Completed / April 2007

Name: nicotinamide, niacinamide, vitamin PP, aminicotin, nicotinic acid amide, amixicotyn, 3-pyridinecarboxamide, papulex, nicotylamide IUPAC name: pyridine-3-carboxamide Molecular formula: C₆H₆N₂O Molecular Weight: 122.12464 g/mol	Category: HDAC inhibitors Targets: class III HDAC (SIRT1-7)	AD	NCT00580931 Phase I,II	Unknown / December 2013
Name: resveratrol, trans-resveratrol, 501-36-0, 3,4',5-trihydroxystilbene, (E)-resveratrol, resvida IUPAC name: 5-[(E)-2-(4-hydroxyphenyl)ethenyl]benzene-1,3-diol Molecular formula: C₁₄H₁₂O₃ Molecular Weight: 228.24328 g/mol	Category: HDAC activators Targets: class III HDAC (SIRT1)	AD AD AD AD AD AD AD	NCT01504854 Phase II NCT00678431 Phase III NCT01716637 Phase I NCT02502253 Phase I NCT01219244 Phase IV NCT02336633 Phase III	Completed / September 2014 Completed / November 2012 Completed / May 2016 Recruiting / March 2016 Recruiting / March 2016 Recruiting / June 2015
Name: curcumin, diferuloylmethane, turmeric yellow, turmeric, gelbwurz, kacha haldi, curcuma, haldar, souchet IUPAC name: (1E,6E)-1,7-bis(4-hydroxy-3-methoxyphenyl)hepta-1,6-diene-3,5-dione Molecular formula: C₂₁H₂₀O₆ Molecular Weight: 368.379 g/mol	Category: HAT inhibitors Targets: HATs	AD AD AD AD AD AD	NCT01001637 Phase II NCT01811381 Phase II NCT00164749 Phase I,II NCT00099710 Phase II NCT01716637 Phase I NCT01383161 Phase II	Unknown / October 2009 Recruiting / February 2016 Completed / April 2008 Completed / December 2009 Completed / May 2016 Active, Nr / December 2015
Name: S-adenosylmethionine, ademethionine, AdoMet, donamet, methioninyladenylate, S-adenosyl-L-methionine, SAM-e IUPAC name: [(3S)-3-amino-3-carboxypropyl]-[[[(2S,3S,4R,5R)-5-(6-aminopurin-9-yl)-3,4-dihydroxyoxolan-2-yl]methyl]-methylsulfanium Molecular formula: C₁₅H₂₃N₆O₅S⁺ Molecular Weight: 399.445 g/mol	Category: HMT inhibitors Targets: HMTs	AD PD	NCT01320527 Phase II NCT00070941 Phase II,III	Completed / March 2016 Completed / January 2013

AD: Alzheimer's disease; ALS: Amyotrophic Lateral Sclerosis; HAT: Histone Acetyl Transferases; HD: Huntington's Disease; HDACs: Histone Deacetylases; HMTs: Histone Methyl Transferases; MS: Multiple Sclerosis; MTHF: 5,10 methylenetetrahydrofolate; PD: Parkinson's Disease; SIRTs: Sirtuins; SAMe: S-adenosylmethionine; SMA: Spinal Muscular Atrophy; THF: Tetrahydrofolate.

Nr: not-recruiting.

Source: <https://clinicaltrials.gov/>

Despite the potential beneficial role of these epigenetic drugs, these compounds, as many other drugs, are subjected to pharmacogenetic regulation [8,22,23,103-110]. Pharmacogenomics accounts for 30-90% variability in pharmacokinetics and pharmacodynamics. The individual epigenomic profile provides information about the efficiency of drug transport and metabolism for this individual, which allows the development of a personalized medicine with a guarantee of success.

Genomic factors potentially involved in AD pharmacogenomics include at least 5 categories of gene clusters: (i) genes associated with disease pathogenesis; (ii) genes associated with the mechanism of action of drugs (enzymes, receptors, transmitters, messengers); (iii) genes associated with drug metabolism: (a) phase I reaction enzymes: alcohol dehydrogenases, aldehyde dehydrogenases, aldo-keto reductases, amine oxidases, carbonyl reductases, cytidine deaminase, cytochrome P450 enzyme family, cytochrome b5 reductase, dihydroprimidine dehydrogenase, esterases, epoxidases, flavin-containing monooxygenases, glutathione reductase/peroxidases, short-chain dehydrogenases/reductases, superoxide dismutases, and xanthine dehydrogenase; and (b): phase II reaction enzymes: amino acid transferases, dehydrogenases, esterases, glucuronosyl transferases, glutathione transferases, methyl transferases, N-acetyl transferases, thioltransferase, and sulfotransferases; (iv) genes associated with drug transporters (*ABCs*, *SLCs*, *SLCOs*); and (v) pleiotropic genes involved in multifaceted cascades and metabolic reactions. All these genes are under the influence of the epigenetic machinery conditioning their expression and the efficiency of their drug-metabolizing products (enzymes, transporters) [8,22,23,103-110].

ALZHEIMER'S DISEASE

According to the WHO, cerebrovascular and neurodegenerative disorders affect one billion people around the world. A number of these disorders are characterized by the onset of dementia. Disability caused by dementia increases dramatically with aging, by affecting 9 per 1000 of the population aged 65-74 years to 83 per 1000 in the population over 85 years old [41]. Alzheimer's disease (AD) is the major cause of dementia in Western countries, affecting 45-60% of the population, followed by vascular dementia and mixed dementia with prevalences of 30-40% and 10-20%, respectively [23,103]. AD is a polygenic and complex disorder characterized by the accumulation of β -amyloid ($A\beta$) in senile plaques, neurofibrillary tangles, dendritic desarborization, and neuronal loss, which leads to memory deterioration, dementia, and functional decline [23,103,104].

Over 600 different genes distributed across the human genome are potentially involved in AD pathogenesis, where environmental factors and epigenomic aberrations also

participate [104-108]. The most relevant pathogenic genes involved in AD are displayed in **table 2**. The genetic defects identified in AD include, single-nucleotide polymorphisms (SNPs), mitochondrial DNA mutations, and Mendelian mutations. These last mutations affect genes directly involved in AD, including presenilins (*PSEN1*, *PSEN2*), $A\beta$ -precursor protein (*APP*), apolipoprotein E (*APOE*), and the alpha-2-macroglobulin (*A2M*).

PSEN1 and *PSEN2* genes, encoding presenilins 1 and 2, are important determinants of the β -secretase activity responsible for proteolytic cleavage of the $A\beta$ -precursor protein (*APP*). Polymorphisms/mutations in these genes are present in some cases of AD, leading to an impaired β -secretase activity and accumulation of $A\beta$ [23,103,107,109]. The gene encoding apolipoprotein E (*APOE*), which is primarily associated with vascular risk and hypercholesterolemia, is the most prevalent risk factor for AD. The *APOE- $\epsilon 4$* allele, and especially the *APOE- $\epsilon 4/\epsilon 4$* genotype, are neurological signatures for AD [104,109-111]. It has been reported that *APOE- $\epsilon 4$* may influence AD by interacting with *APP* metabolism and $A\beta$ accumulation, enhancing the hyperphosphorylation of the microtubule-associated tau protein, and starting a chain reaction involving oxidative processes, modification of the neuroimmunotrophic activity, altering lipid metabolism and transport, and membrane biosynthesis in sprouting and synaptic remodeling, and inducing apoptosis [104,109,110,112-114]. Interestingly, the allele *APOE- $\epsilon 2$* , which is associated with vascular risk, seems to be protective against dementia [104,109,110]. The *A2M* gene, encoding for the alpha-2-macroglobulin (a protease inhibitor), is also localized in amyloid plaques and interacts with $A\beta$ and *APOE*. The polymorphism 2998G>A (rs669) in homozygosis increases the risk for the onset of AD by 4-fold compared with the general population [107,109,110].

Among all the attempts to treat AD, only five drugs, *tacrine*, *donepezil*, *rivastigmine*, *galantamine*, and *memantine*, have been approved by the FDA in the last three decades. It is well established that symptoms of AD, such as control of attention, memory and learning abilities, are related to a deficit of acetylcholine resulting in loss of cholinergic neurons [115,116]. The first strategy for AD treatment was to increase the acetylcholine levels at cholinergic synapses by using cholinesterase inhibitors. Unfortunately, the effects of these drugs are controversial and not clear benefits are reported [23,105,117]. The lack of success obtained by using cholinergic-promoting drugs, moved research into new pathological targets. At this regard, *memantine* was released as a high affinity antagonist of glutamatergic N-methyl-D-aspartate (NMDA) receptors, which would inhibit the prolonged influx of Ca^{2+} ions from extrasynaptic receptors and therefore would reduce neuronal excitotoxicity [118-122]. However, efficacy of *memantine* is also under debate [23,105,123,124]. New strategies were based on preventing $A\beta$ deposition in senile plaques by β -secretase inhibitors or

immunotherapy, although the limited beneficial effects do not compensate the unacceptable side effects of these new drugs [105].

The poor cost-effectiveness of current treatment for AD requires the implementation of new strategies. At this regard, epigenetic-based drugs are potential candidates for the treatment of AD [18,22,23,105,125] (**Figure 3, Table 3**).

Epigenomic hallmarks of AD

Table 2 summarizes the prototypical epigenetic modifications found in AD. These modifications include aberrant patterns of DNA methylation, histone modifications, and non-coding RNAs, which alter the normal gene expression levels. Memory decline, which is a seminal symptom of AD, is regulated by gene expression, through DNA methylation patterns [13,23], and chromatin structure mediated by histone modifications. Thus, histone acetylation, which have consistently been shown to improve memory and learning, is dramatically reduced in most neurodegenerative and cognitive disorders [12,23,126]. Indeed, therapeutic approaches by using histone deacetylase inhibitors seem to be promising [12,23,126] (**Figure 3, Table 3**).

Aberrant DNA methylation and disruption of the miRNA regulatory circuits are also associated with accumulation of A β , which promotes the production of reactive oxygen species (ROS) and neuronal death in AD [14,23,127]. High metabolism and longevity of neurons result in the accumulation of DNA lesions. DNA repair machinery is usually inhibited by oxidative-induced post-translational modifications or degradation in AD, leading to cell death [23,128].

- DNA methylation of pathogenic genes. In agreement with the age-related risk for neurodegeneration, there is a genome-wide decrease in DNA methylation reported in AD [18,23,31,129-133]. Accordingly, levels of 5mC and DNMT3a, associated with methylation, have been found significantly decreased in *APP/PS1* AD-transgenic mice and AD patients [133, 134]. Indeed, most of the relevant pathogenic genes associated with AD are hypomethylated (**Table 2**).

Promoter methylation status of *APP*, *PSEN1*, and *BACE1* has been widely studied due to the crucial role these genes play on A β generation. Abnormal processing of cell membrane APP is accompanied by high levels of 24-hydroxycholesterol, an endogenous ligand of Liver X receptor (LXR- α), in serum and CSF. LXR- α activation promote the overexpression of *PAR-4* gene which leads to an aberrant A β production, ROS generation, and cell death [135]. Although *APP* promoter was found to be hypomethylated in brain autopsy from individuals over 70 years as compared with younger cases [136,137], in SH-SY5Y cell lines [138], or in one single patient with AD [139], most of the studies suggest no correlation between

APP methylation and AD progression [31,129-133,140]. Other relevant gene, the microtubule-associated protein tau (*MAPT*) has been found hypermethylated, which provides a link with sporadic neuropathology [18,23,141-143].

The β -secretase gene (*BACE1*) expression can be upregulated via demethylation in BV microglial cells [B Byun 2012] and has been also up-regulated in 3xTg-AD transgenic mice [144], which suggests the hypomethylation status of this gene in AD. The gene encoding presenilin (*PSEN1*) has been found to be hypomethylated resulting in mRNA overexpression in most AD-related studies, including post-mortem [145], neuroblastoma cell lines [146], and mouse model [147,148]. All these studies suggest that this high *PSEN1* expression promotes A β production [149]. Interestingly, *PSEN1* and *BACE1* promoter methylation and expression are also linked to the folate/methionine metabolism. AD is associated with low levels of folate and S-adenosylmethionine (SAM)[150-153]. *In vitro* folate deprivation and *APP* transgenic mice models deprived of folate and vitamins B6 and B12 induced DNA hypomethylation promoting *PSEN1* and *BACE1* expression, which was restored when deficiency of folate and vitamins was supplemented with SAM [146,147]. Folate deficiency also enhances hypomethylation-mediated expression of death receptors (*DR4*) and DNMTs in peripheral blood lymphocytes of AD patients and cultured neuroblast cells, which may promote DNA damage and cell death [154]. Vitamin B deficiency associated with AD also induces hypomethylation-mediated enhanced expression of the glycogen synthase kinase 3 β gene (*GSK3 β*), which is a major kinase that phosphorylates tau protein in brain, promoting the formation of neurofibrillary tangles (NFT) [155]. AD is also associated with increased plasma levels of homocystein (Hcy) [153], which inhibits methylation of protein phosphatase 2A (PP2A), which reduces its activity resulting in enhanced tau hyperphosphorylation and subsequent NFT formation [156].

The *APOE* gene is one of the hallmarks of AD. Epigenetic regulation of this gene is complex and not completely explored. Although *APOE* promoter is hypomethylated, *APOE- ϵ 4* exhibits a fully methylated 3'-CpG island that is not extant in the *APOE- ϵ 2* and *APOE- ϵ 3* alleles [18,145]. The C>T transition in the 3'-CpG island, only associated with *APOE- ϵ 4*, might prevent this site from being methylated, and therefore, this allele may change the epigenetic regulation of the *APOE* gene [18,145]. This modification may increase *APOE- ϵ 4* expression which correlates with AD [157]. Indeed, differential methylation patterns associated with this C>T transition of *APOE- ϵ 4*, might explain that most, but not all, *APOE- ϵ 4* carriers develop AD [129].

Clusterin, or apolipoprotein J, gene (*CLU*), together with *APOE*, influence A β aggregation and clearance. *CLU* promoter is rich in CpG sites, but is hypomethylated, and

highly expressed in AD, which may be associated with brain atrophy, disease severity, and clinical progression [23,129,158]. Sortilin-related receptor (*SORL1*), neuronal *APOE* receptor that prevents accumulation of A β , is downregulated in AD [23,129,143,159]. *SORL1* gene is a good epigenetic marker in blood due to its differential expression status among peripheral blood leukocytes, which may be a marker of aging in blood. Different promoter methylation patterns in *SORL1* gene between blood and brain comparing healthy elders and AD individuals is a marker of the disease [160].

Other relevant genes with anti-tumoral effects, but also apoptotic enhancers when overexpressed in AD, are the bridging factor 1, complement receptor 1 and the CD33 molecule (*BINI*, *CR1*, and *CD33* genes, respectively) [161-165]. Other classical pro-apoptotic genes, such as caspases (*CASP1*, 3, 7, 8, 9), and genes involved in neuroinflammation, such as *TNF- α* , appear also up-regulated in AD neurons, contributing to A β production [166-169].

In despite of the global DNA hypomethylation linked to AD, several relevant genes involved in the disease are aberrantly hypermethylated. Neprilysin (NEP), one of the enzymes involved in A β degradation [170], is hypermethylated in AD. *NEP* gene expression is therefore, down-regulated with aging and in AD, reducing A β clearance and promoting its accumulation [171]. The repetitive elements long interspersed element-1 (*LINE-1*) has been shown to be hypermethylated in AD patients. Interestingly, within the AD groups analyzed in this study, the group with enhanced *LINE-1* methylation showed the best cognitive performance [172]. *SORBS3* (*vinexin*, *SCAM-1* or *SH3D4*), encoding a cell adhesion molecule expressed in neurons and glia, is progressively hypermethylated with age. *S100A2*, a member of the S100 family of calcium binding proteins, which exhibits an age-dependent decrease in DNA methylation later in life, is also hypermethylated in AD [18,173].

Sánchez-Mut and colleagues [174] studied 12 distinct mouse brain regions according to their CpG 5'-end gene methylation patterns, and the DNA methylomes obtained from the cerebral cortex were used to identify aberrant DNA methylation changes that occurred in two mouse models of AD. They translated these findings to patients with AD and identified DNA methylation-associated silencing of three target genes: thromboxane A2 receptor (*TBXA2R*), sorbin and SH3 domain containing 3 (*SORBS3*), and spectrin beta 4 (*SPTBN4*). These hypermethylation targets suggest that the cyclic AMP response element-binding protein (CREB) activation pathway and the axon initial segment might contribute to AD pathology.

- **Histone modifications/chromatin remodeling:** As occurring with aging, the global level of histone acetylation drastically declines, especially in temporal lobe of AD patients [175,176] and in animal models [12,177] (**Table 2**). This is associated with a low accessibility of genes for

transcription factors and DNA repair machinery, and is translated into a decreased number of synapses memory impairment and poor learning abilities, among other symptoms. In despite of the global loss of histone acetylation, some targeted genes, such as *BACE1* in AD patients were found with an increased H3 acetylation at the promoter level, enhancing promoter accessibility and gene expression [144]. Decreased histone acetylation is in line with the finding of the elevated nuclear EP300 interacting inhibitor of differentiation 1 (EID1) in cortical neurons of AD patients. Overexpression of this HAT inhibitor (EP300 and CREBBP inhibitor) was suggested to be the cause of learning and memory impairments in those subjects [178]. CREBBP expression was also down-regulated in the transgenic mouse model 3xTg-AD [179]. Curiously, using transgenic mice overexpressing APP^{swe} (Tg2576 mice), which promotes A β accumulation, the levels of acetylated H3 and H4 increased in prefrontal cortex and hippocampus, respectively [180].

Consistently with the histone acetylation decline, histone deacetylases play an important role in AD. Gräff and colleagues [12] found that only HDAC2, but neither HDAC1 nor HDAC3, was up-regulated in prefrontal cortex and hippocampus of mice models of AD [12,92]. Along with the high HDAC2 expression, they found several hypoacetylation spots in targets associated with neuroplasticity, as well as down-regulated genes involved in learning, memory, and synaptic plasticity in the AD mice. The implication of HDAC2 in these AD hallmarks was confirmed when HDAC2 knock-down mice ameliorated the cognitive problems and aberrant synaptic plasticity. HDAC2, along with HDAC6 were markedly increased in post-mortem human brain samples from AD individuals, compared to controls [181]. Indeed, reduction of HDAC6 in a AD mouse model, mitigated learning and memory impairments [182]. HDAC6 is thought to interact with tau, affecting its phosphorylation and aggregation [183,184]. HDAC6 also affects tau clearance through deacetylation of the heat-shock protein HSP90, which controls its refolding [184]. The role of HDACs in neurodegeneration does not solely affect to histone deacetylation, but they may target other proteins. For instance, HDAC6 targeting of α -tubulin [182,183,185] may be associated, at least in part, with mitochondrial impairment in AD.

A number of studies demonstrated that restoration of histone acetylation in AD animal models, induced sprouting of dendrites, increased number of synapses, and reinstated learning and memory impairments, even in the presence of brain atrophy and neuronal loss [12,91,92,177,179,186,187]. For this reason, the use of HDAC inhibitors may be potential therapeutic strategy to treat AD and other neurodegenerative disorders.

Nevertheless, not all HDACs have detrimental effects on learning and memory. Indeed, inhibition of class II HDACs

(HDAC4 and HDAC5) leads to those impairments as well [188,189]. A good example is represented by the negative effects of down-regulated SIRT1 in parietal cortex of AD patients [190]. SIRT1 has been linked to neurogenesis, DNA repair, apoptosis, cell response to stress, and other vital signaling pathways [191]. SIRT1 prevents from A β accumulation as it induces *ADAM10* expression, an α -secretase that cleaves APP without producing A β [192]. SIRT1 deacetylates tau protein, and thus its deficiency in AD, would enhance tau expression and NFT formation, which indicates that SIRT1 is beneficial for AD, [190,193,194]. Interestingly, SIRT1 is up-regulated in AD mice models, probably as a defense mechanism [191,193], although its expression is decreased in AD patients [190].

Increased histone phosphorylation is also associated to AD. H3 phosphorylation was found in frontal cortex of AD patients [195] and also H2A member X (H2AX) at S139 was also phosphorylated, as a sign of DNA damage in AD patients [196]. Ogawa and colleagues [197] studied H3S10 phosphorylation, which is critical for chromosome compaction during cell division, due to the wrong cell cycle activation exhibited by AD neurons. They found that H3S10 phosphorylation was increased, although only in the cytoplasm of AD neurons, along with increased expression of MAPK kinase protein [198-200].

Other histone modifications have been associated to AD, although they are not yet extensively identified. Young pre-plaque AD transgenic mice exhibited significantly increased levels of methylated histones (H3K14, H3K9me2, and others) compared with wild-types [177,201,202]. There are also suspects about histone 1 ADP-ribosylation in AD, as poly[ADP]-ribose polymerase 1 (PARP-1) induces memory problems in mice [203]; and PARP-1 dysregulation is associated with amyloid pathology [204-206].

- **Non-coding RNAs.** A number of studies describe dysregulated non-coding RNAs affecting gene transcription and metabolic pathways in AD [18,23,55,129-133,207,208]. **Table 2** displays the most common lncRNAs and miRNAs affecting pathogenic genes, tau-phosphorylation pathways, or epigenetically regulated in AD.

The most common lncRNAs affecting pathogenic gene transcription in AD include Sox2OT, 1810014B01Rik (being those two also dysregulated in PD), BACE1-AS, NAT-Rad18, 17A, and GDNFOS [55,209-211]. BACE1-antisense (BACE1-AS) enhances stability and expression of *BACE1* mRNA, which leads to an increased protein production [55,209,210]. BACE1-AS is overexpressed in both, AD patients and AD mice models (Tg19959), in response of A β exposure, initiating a positive feedback in which A β activation of BACE1-AS enhances *BACE1* mRNA expression and A β production [55,209,210]. The lncRNA 17A is up-regulated in cerebral cortex of AD patients, which suggests its implication in promoting A β secretion as a result of inflammatory factors [211].

Among the miRNAs linked to AD-related pathogenic genes, the most common ones affect mRNA expression of *APP*, *BACE1*, and *PSEN* [14]. Several miRNAs have been identified in vitro to directly regulate the *APP* mRNA, including miRNA let-7, the miR-20a family (miRs-20a, -17 and -106b), miRs-106a and 520c, miR-101, miR-16, and miRs-147, -153, -323-3p, -644 and-655 [18,209,212,213,214,215,216]. Inhibition of miR-101 overexpression reduces *APP* and A β load in hippocampal neurons [213]. MiR-16 targets *APP* to potentially modulate AD pathogenesis, and miR-16 overexpression may lead to reduced *APP* expression [215]. Both miR-124 and polypyrimidine tract binding protein 1 (PTBP1) may alter splicing of *APP* exons 7 and 8 in neuronal cells [216]. Transcription of *BACE1* is affected by miRs-9, -29a/b-1, -29c, -107, -124, -298, -328 and -485-5p in AD. In transgenic HEK293-APP cells, transient miR-29a/b-1 overexpression decreases BACE1 levels and A β production [217]. miR-29c overexpression lowers BACE1 protein levels [218]. miRNAs repress *BACE1* through direct binding to sequences in its 3' untranslated region (3'UTR), whereas miR-485-5p represses *BACE1* via binding to its open reading frame in exon 6. miR-107 is downregulated at intermediate stages (Braak stage 3) of AD pathogenesis and might accelerate AD progression through control of *BACE1* [219]. miR-298, miR-328 and miR-195 inversely correlate with BACE1 protein, and downregulate A β levels by inhibiting the translation of *BACE1* [220,221]. miR-125 decreases whereas *BACE1* increases in animal models [221]. Overexpression of miR-485-5p reduces BACE1 protein levels by 30% while knockdown of miR-485-5p increases BACE1 protein levels [210]. The catalytic site of the gamma-secretases, presenilin (PSEN) regulates miR-146, which is a potent negative regulator of innate immune signaling. PSEN2 modulates cytokine responses by inhibiting miR-146a [222-225]. Therefore, defective preseniline may lead to up-regulation of miR-146a, which binds the interleukin-1 receptor-associated kinase-1 (IRAK) or the complement factor-H (CFH), decreasing their expression, contributing to neuroinflammation [226,227]. Other miRNA involved in AD-related inflammatory processes is miR-101, which binds cyclooxygenase-2 (COX-2). Down-regulation of miR-101 might enhance *COX-2* expression in AD, which promotes the inflammatory response [213].

Besides miRNAs interacting with AD-pathogenic genes, there are also other miRNAs involved in A β metabolism and accumulation. The RNA polymerase III-dependent ncRNA, NDM29, promotes APP amyloidogenesis and A β secretion [228]. miR-107 levels are reduced in AD temporal cortex [229,230]. Loss of miRs-9, 29a/b-1, -137 and -181c (currently down-regulated in AD frontal cortex) increases A β production and serine palmitoyltransferase (SPT), the first rate-limiting enzyme in ceramide biosynthesis [231]. miRNA-106b (down-regulated in anterior temporal cortex) can influence A β metabolism either through direct regulation

of APP itself, or via modulating APP trafficking, A β clearance and β - and γ -secretase activity through regulation of the ATP-binding cassette transporter A1 (*ABCA1*), which is elevated in the hippocampus, correlating with cognitive decline [232].

Several miRNAs also regulate tau metabolism. The miR-132/PTBP2 pathway influences *MAPT* exon 10 splicing in brain and may contribute to AD pathogenesis. It has been suggested that miR-124, -9, -132 and -137 might be involved in regulation of 4R/3R ratio in neuronal cells [233]. Down-regulation of miR-9 and miR-124 in AD might alter tau phosphorylation and therefore, NFT formation [233]. Tau phosphorylation is also regulated by miR-15a, which modulates the expression of the extracellular signal-regulated kinase 1 (ERK1). Down-regulated miR-15a in AD brains might play an important role in neuronal tau hyperphosphorylation [234]. Expression of miR-26a, a tau kinase GSK-3 β repressor, is also aberrant in AD, and promotes A β production and NFT formation [235,236]. As mentioned above, tau deacetylation mediated by SIRT1, prevents its hyperphosphorylation, suggesting a protective role of SIRT1 in AD [190,193,194]. Therefore, SIRT1 down-regulation by miR-9, -34c and -181c, leads to an increased tau acetylation and the accumulation of hyperphosphorylated tau in AD [237,238]. Other miRNAs, such as miR-128 and miR-212 are also involved in down-regulation of protective proteins and subsequent NFT formation [229,230,239].

Other miRNAs associated with neurophysiological roles are also dysregulated in AD. Synaptic plasticity is affected by miR-124, -125b, -132, -134, -138 and -219 in AD. Down-regulated miR-132 and up-regulated miR-125b have been found in different AD brain regions, probably affecting miniature excitatory postsynaptic currents (mEPSCs) [240].

Specific circulating miRNAs can be used as biomarkers to discriminate certain disease from healthy controls or among different disease forms. Those specific biomarkers may also be informative for the stage and progression of the disease, and they may be used for early diagnosis [241]. A unique circulating 7-miRNA signature in plasma (hsa-let-7d-5p, hsa-let-7g-5p, hsa-miR-15b-5p, hsa-miR-142-3p, hsa-miR-191-5p, hsa-miR-301a-3p and hsa-miR-545-3p) can distinguish AD patients from normal controls with >95% accuracy [241]. Furthermore, 12-miRNA signature provided differentiated expression patterns in AD compared to controls with an accuracy of 93%, a specificity of 95% and a sensitivity of 92%. Furthermore, this miRNA signature was able to detect AD from other neurological disorders, such as MCI, multiple sclerosis, Parkinson disease, major depression, bipolar disorder and schizophrenia, with 74-78% accuracies [242]. Increased levels of miRNA-9, miRNA-125b, miRNA-146a, and miRNA-155 were also found in the CSF and brain tissue-derived extracellular fluid from patients with AD, suggesting that these miRNAs might be

involved in the modulation or proliferation of miRNA-triggered pathogenic signaling in AD brains [243].

Epigenomic-based potential treatments for AD

Several potential treatments targeting epigenetic mechanisms in AD are currently submitted to clinical trials, according to data of the US Institutes of Health [244] (**Table 3**). These treatments include DNMT activators and inhibitors, HDAC inhibitors, SIRT activators, HAT inhibitors, and HMT inhibitors.

- **DNA methylation activators.** The global DNA hypomethylation associated with AD, indicates that strategies directed to increase DNA methylation may be a promising target for AD therapy [22,23,31,110,133,146-149,245]. DNA methylation occurs within folate/methionine/homocysteine metabolism, using folate, methionine, choline, and betaine enzyme's cofactors as micronutrients [18,143]. Vitamin B₆-dependent serine-hydroxymethyltransferase catalyzes the conversion of tetrahydrofolate (THF) into 5,10-methylenetetrahydrofolate (MTHF), followed by the production of 5-MTHF catalyzed by vitamin B₂-dependent MTHF reductase (MTHFR). 5-MTHF is the methyl donor for remethylation of Hcy by cobalamin-dependent methionine synthase, yielding methionine, which is converted to SAMe by methionine adenosyltransferase. SAMe is the methyl donor for DNA, proteins, neurotransmitters, hormones, and phospholipids. Donation of methyl group promotes the synthesis of S-adenosylhomocysteine (SAH) which is hydrolyzed to homocysteine (Hcy) and adenosine by SAH hydrolase.

It is widely reported that AD is associated with high levels of Hcy and SAH and low levels of B vitamin, folate, and SAMe [18,150-153,156], which induces demethylation and overexpression of *PSEN1* and *BACE1*. Restored gene expression was found after folate and B vitamins supplementation in AD mice models [146,147,149,246], as well as enhanced cognitive functioning and slower progression of dementia [247-249]. All these results suggest vitamin B, folic acid, and SAMe may be diet supplements with brain protective properties for AD treatment [250,251]. However, some studies show no positive effect of folate and vitamin B₁₂ supplementation, or they might even exacerbate neuropathology in patients with low vitamin B₁₂ levels [252-254]. Nevertheless, some clinical trials using folate for treatment of mental decline-related disorders are in phase III and phase IV, which suggests that this treatment may accomplish with the safety, efficacy, and optimal use requirements [244] (**Table 3**).

- **DNMT inhibitors.** Some crucial pathogenic genes, such as *NEP*, *LINE-1*, *SORB3*, and genes associated with the *CREB* activations pathway are hypermethylated leading to development of AD. In this case, therapies based on reducing DNA methylation, may be the most appropriate [20-23,110,255-258]. DNMT inhibitors include: nucleoside

analogs, small molecules, natural products, antisense oligonucleotide inhibitors (MG98), and miRNAs.

Among nucleoside analogs, 5-aza-2'-deoxycytidine (Decitabine) and 5-azacytidine (Azacitidine) are FDA approved drugs for treatment of myelodysplastic syndrome and several types of cancer and multiple clinical trials have been performed with these two compounds for treatment of myelodysplastic syndrome, thalassemia, and diverse types of cancer (bladder, prostate, colon, lung, melanoma, leukemias) [20]. The small molecules hydralazine and procainamide are also FDA approved for hypertension and cardiac arrhythmia, respectively. These two compounds are partial competitive inhibitors of DNMT1, by interfering with the DNMT1 CpG-rich binding site. Natural products, such as curcumin derivatives RG-108 and SGI-1027, are non-nucleoside selective DNMT1 inhibitors [21]. Other natural products may be used as DNMT inhibitors, including psammoplins (inhibit both DNMT1 and HDACs [20]), tea polyphenols (epigallocatechin-3-gallate), catechins (catechin, epicatechin), and bioflavonoids (quercetin, genistein, fisetin).

Among all these DNMT inhibitors, only the bioactive compound from green tea, epigallocatechin-3-gallate (EGCG) is currently submitted to clinical trials for treatment of neurodegenerative disorders (Alzheimer's disease, Huntington disease, and Multiple Sclerosis) (**Table 3**). Several reasons site EGCG as a promising treatment for neurodegenerative diseases: (i) EGCG binds to many misfolded proteins, inhibiting their fibrillization [259]; (ii) EGCG restores mitochondrial respiratory rates and mitochondrial membrane potential by increasing ATP production and reducing ROS production in isolated mitochondria from hippocampus, cortex, and striatum [260]; (iii) EGCG activates $\alpha 7$ nicotinic acetylcholine receptor ($\alpha 7$ nAChR) signaling cascade along with its downstream pathway signaling molecules which restores *Bcl2* expression in A β -treated neurons [261].

- **HDAC inhibitors.** There is a wide variety of HDAC inhibitors (HDACi) under development to restore the global deacetylation linked to AD. Most of the HDACi have been shown beneficial effects on cognition and memory processes in animal models of AD [20,23,29,31,91,133,245]. The most effective HDACi tested in those models are (i) the short-chain fatty acids, class I HDACi[valproic acid (VPA)] and class I and II HDACis[sodium butyrate (NaB) and sodium phenylbutyrate (NaPBA, 4-PBA)]; (ii) the hydroxamic acids, class I and II HDACis [suberoylanilide hydroxamic acid (SAHA, vorinostat) and trichostatin (TSA)], (iii) the class III HDACi or SIRT(1-7) inhibitor nicotinamide/niacinamide. However, available data from the US Institutes of Health [244] shows that, among the wide variety of HDAC inhibitors used in research studies for AD treatment, only 4-PBA, VPA, and nicotinamide are submitted to clinical trials (**Table 3**). One of the first HDACi specifically tested for AD

treatment was the Forum Pharmaceutical compound (FRM-0334), which addresses the issue of crossing the blood-brain barrier [262].

NaB, the sodium salt of 4-hydroxybutyric acid, increases the peripheral levels of hypothalamic-pituitary-adrenal axis hormones and glucose. NaB-mediated histone acetylation promotes LTP at Schaffer-collateral synapses in the CA1 area of hippocampus. NaB administration during four weeks reinstated learning and memory processes in transgenic AD mice, even at a very advanced stage of pathology [91]. Furthermore, animals treated with NaB prior to contextual fear conditioning, enhances formation of long-term memory [263,264]. Prolonged NaB treatment in APP/PS1-21 mice, increases histone acetylation in hippocampus, which promotes the expression of genes associated with associative learning and memory [265,266]. However, high doses of NaB induce stress-like response in the epigenetic machinery associated with learning and emotional behavior [267].

The HDACi NaPBA (4-PBA) increases histone acetylation promoting the expression of genes related to synaptic plasticity, such as the ionotropic glutamate receptor 1 (*GluR1*), postsynaptic density protein 95 (*PSD95*), microtubule-associated protein 2 (*MAP2*), N-methyl-D-aspartate receptor subunit NR2B (*NMDA-NR2B*), and the synaptic associated protein scaffold (*SAP102*). In addition, 4-PBA reduces tau phosphorylation by promoting the active form of the GSK-3 β , reduces A β accumulation, and restores memory function in transgenic AD mice [29,268]. Other studies showed the restored memory and learning functions in Tg2576 AD transgenic mice by decreasing tau phosphorylation, but without altering A β levels [269].

VPA is a fatty acid with anticonvulsant properties, originally used as treatment for epilepsy and as a mood-stabilizing agent and it has been tested in several clinical trials, for diverse clinical conditions [20]. VPA may alter the properties of the voltage-gated sodium channels or increase the γ -aminobutyric acid levels in the brain. VPA, along with NaB and SAHA, have been shown to alleviate memory deficits by increasing histone H4 acetylation [266]. Studies conducted by Su and colleagues [270] demonstrated that VPA was able to reduce A β production in HEK cells transfected with a plasmid carrying the APP751 mutation and also in the APPV717F transgenic AD mice. Qing and colleagues [271] found that APP23 transgenic mice treated with VPA, showed alleviated behavioral deficits by inhibiting GSK-3 β -mediated γ -secretase cleavage of *APP*, resulting in a decreased A β production. Despite the beneficial effects of VPA in animal models, some clinical trials performed in humans revealed unsuccessful results by worsening the agitation and aggression of the disease in AD patients [272]. In other cases, the recommended doses did not show any beneficial effects and higher doses lead to unacceptable adverse effects [273,274]. In those cases, information about the pharmacogenetic profile of those patients would be

essential to anticipate the tolerance levels of this treatment for each individual.

TSA is an antifungal, antibacterial, protein synthesis inhibitor and a class I HDACi. TSA increases expression of selective genes, such as the brain-derived neurotrophic factor (*BDNF*), possibly through histone H4 acetylation, involving memory consolidation [275-277], and also restores memory function in APP/PS1-AD transgenic mice [12,177]. TSA enhances induction of LTP in hippocampus [263].

Vorinostat (SAHA) is the most developed HDACi, which binds to the catalytic domain of HDACs. SAHA was approved by FDA in 2006 for treatment of advanced cutaneous T-cell lymphoma. Although several tests have been shown beneficial effects of SAHA in animal models of neurodegeneration, there are not clinical trials available for this compound for this type of diseases. SAHA treatment enhances basal post-synaptic excitatory but not inhibitory synaptic function. Selective HDAC6 inhibitor vorinostat has been found to restore memory function in APP^{swe}/PS1^{dE9}-AD transgenic mice [266], and also in non-AD models of learning deficits [92]. AD mice treated with SAHA achieved increased H4K12 acetylation and restored the expression of genes associated with learning [278]. SAHA, as well as VPA, has been shown to increase clusterin (*CLU*) expression *in vitro* [279].

Nicotinamide is a competitive inhibitor of class III NAD⁺-dependent HDACs (SIRT inhibitor) which selectively reduces phosphorylated tau (at Thr231 level), associated with tubulin depolymerization, resulting in the increase of tubulin stability [280]. Furthermore, nicotinamide has been found to restore cognitive deficits in 3xTg-AD mice [280]. Similarly, the HDAC6 inhibitor and tubulin acetylator inducer, tubacin, also attenuates tau phosphorylation *in vitro* [181,281].

Other HDACis have been successfully tested for AD treatment in animal models, although no so many studies have been yet performed. The selective HDAC1 inhibitor entiostat (MS-275) reduced neuroinflammation and amyloid plaque deposition with the subsequent improvement of behavioral function in APPPS1-21 mice [282]. Other studies with the mercaptoacetamide-based class II HDACi (W2) showed improved memory and decreased A β and phosphorylated tau levels in 3xTg-AD mice [283].

- **SIRT activators.** It has been demonstrated that SIRT1, especially SIRT1, results beneficial for AD patients [190-194]. At this regard, SIRT activators (SIRTa) may also be an ingenious strategy to treat AD. Resveratrol is the most widely SIRTa in AD animal models and it is currently submitted to several clinical trials for treatment of AD, some of them reaching phase III and IV [244] (**Table 3**). Resveratrol, a natural compound found in red grapes, is a neuroprotector which inhibits A β aggregation, by scavenging oxidants and exerting anti-inflammatory

activities [284]. Resveratrol improves long-term memory formation by promoting SIRT1 activity and inhibiting A β -induced apoptosis [285]. Interestingly, these effects are blocked in SIRT-1 mutant mice. Resveratrol might reduce miR-124 and miR-134 expressions, which may result in the up-regulation of cAMP response element-binding protein (CBP) levels and promote BDNF synthesis [64]. All these effects result in increased cell viability through the stabilization of Ca²⁺ homeostasis, reduction of A β ₂₅₋₃₅ neurotoxicity, and Rho-associated kinase 1 down-regulation [64].

- **HAT modulators.** The strategy of increasing histone acetylation by using HDACi as treatment for AD may be also accomplished with HAT activators (HATas) targeting CBP, p300, and p300/PCAF [286]. However, the poor solubility and membrane permeability of these compounds make them unsuitable for this purpose [22,23,110]. The only known p300-specific activator which is able to cross the blood brain barrier after intraperitoneal injection is the N-(4-chloro-3-trifluoromethyl-phenyl)-2-ethoxy-6-pentadecyl benzamide (CTPB) [21], although it is not in clinical trials [20].

Another strategy consists in the usage of HAT inhibitors (HATis) [287], which include curcumin and curcumin derivatives. Curcumin is a phytochemical compound extracted from the rhizome of *Curcuma longa*, L., used for dyspepsia, stress, and mood disorders [288]. Curcumin was the first p300/CBP-specific cell permeable HATi with no effect on PCAF, HDAC, and DNMT [20]. This compound induces heme oxygenase 1 and Phase II detoxification enzymes in neurons, protecting them from oxidation. Curcumin also normalized NADH dehydrogenase, succinic dehydrogenase, and cytochrome oxidase activities in brains of rats treated with aluminum [289]. Curcumin has been found to prevent behavioral impairments, neuroinflammation, tau hyperphosphorylation, and A β -mediated cell signaling disturbances [290]. Combination of curcumin with other derivatives, such as demethoxycurcumin and bisdemethoxycurcumin, constitute the turmeric [291], which has been reported to improve the behavioral symptoms of AD [292]. Curcumin is currently submitted to several clinical trials for AD treatment [244] (**Table 3**).

- **Histone methyltransferase inhibitors.** Histone methyltransferase inhibitors (HMTis) induce histone acetylation in order to regulate gene expression or for DNA repair. SAME was the first HMTi used for treatment of cancer and it is currently in clinical trials for treatment of AD [244] (**Table 3**). This compound can also be used as strategy to restore DNA methylation, as it is the main methyl donor (along with L-methylfolate) in the body. SAME improves memory and decreases *PSEN1* expression (by promoting promoter methylation), meliorating AD symptoms [250,251,293].

- **Non-coding RNAs.** Non-coding RNAs regulate expression of genes involved in brain development and function. Dysregulation of those ncRNAs are associated with a variety of diseases, but controlling the expression of those ncRNAs may also serve as potential treatments. Indeed, RNAi-based treatments represent a novel and promising therapeutic strategy for AD and other complex disorders [18,23]. Currently exploring strategies to manipulate miRNA levels for AD treatment include analogs of miRNA precursors and anti-miRNAs.

Overexpression of miR-124 and miR-195 may reduce A β levels by targeting *BACE1* [221,294]. Alternatively, targeting of miR-323-3p, might ameliorate inflammatory responses associated with AD [295]. Phosphatase and tensin homolog (PTEN) suppression by mmiR-26a may enhance synaptic plasticity and regulate neuronal morphogenesis [296]. P2X7 receptor (P2X7R), and ATP-gated cation channel, promotes secretion of inflammatory factors from activated microglia. Therefore, RNAi therapy targeting *P2X7R*, reduces microglia activation and increases microglial phagocytosis of A β ₁₋₄₂ [297]. Other ncRNA-based therapies involving regulation of expression or AD-related pathogenic genes, as well as genes encoding epigenetic regulation, might be a promising and specific therapeutic approach for AD treatment [298].

- **Other epigenetic treatments.** Small molecules inhibitors to chromatin-associated proteins and bromodomain/chromodomain inhibitors, which regulate chromatin structure and inhibit targeting gene transcription, respectively, or dietary regimens based on B vitamins and folate, in order to increase SAME levels in the organism, are promising therapeutic approaches submitted to preclinical studies [23,244,250,251,299,300].

PARKINSON'S DISEASE

Parkinson's disease (PD) is the second in the ranking of the most common neurodegenerative disorders, after AD, affecting 2% of the population over 60 years of age in the world [301], and involves genetic, environmental, cerebrovascular, and epigenetic factors [302-306]. PD is a complex neurodegenerative disease characterized by progressive degeneration of dopaminergic neurons in the substantia nigra pars compacta and the formation of intracytoplasmic inclusions made of accumulations of α -synuclein known as Lewy bodies [307,308].

Recent studies provide explanations about the implications of α -synuclein in PD at the molecular level. It has been recently established the interaction of α -synuclein with mitochondrial membranes [309-313] and its implication in mitochondrial impairment leading to cell death [314,315]. α -synuclein affects Complex I [311] and Complex IV [316] of the mitochondrial respiratory chain, leading to a bioenergetic dysregulation, resulting in ROS production and cell death. Experiments *in vitro* and in yeast mitochondria corroborate

these results finding that α -synuclein was able to translocate from cytosol to the mitochondrial inner membrane through the voltage-dependent anion channel (VDAC) and target the mitochondrial respiratory chain [317].

Besides *SCNA* gene, encoding α -synuclein, over 100 other pathogenic genes may be involved in PD, from which 15 PD loci (*PARK1-15*) and other loci, might be a direct cause of the disease [318]. Mutations in synuclein-alpha (*SNCA*), parkin 2 (*PARK2*), PTEN-induced putative kinase 1 (*PINK1*), parkin 7 (*PARK7*, *DJI*), and leucine-rich repeat kinase 2 (*LRRK2*) genes are associated with the genetic etiology of PD, whereas other loci, such as, microtubule-associated protein tau (*MAPT*), *spatacsin*, polymerase (DNA) gamma, catalytic subunit (*POLG1*), glucosylceramidase beta (*GBA*), and ataxin (*SCA1*, *SCA2*), might be susceptibility genes associated with sporadic PD, normally associated with toxic or environmental exposure [133,304].

The loss of dopaminergic neurons during development of PD results in concomitant loss of dopamine in the affected areas (especially the nigrostriatal system) which is manifested with classic motor symptoms (resting tremor, rigidity, bradykinesia, postural instability, and slowness of movements which ends up in muscle atrophy), and other non-motor symptoms (depression, obsessive compulsive behavior, sleep disturbance, and cognitive impairment, among others). Current pharmacological treatments for PD are based on restoring the dopamine levels using different strategies: (i) increase dopamine availability by treatments with dopamine precursors, such as L-DOPA (levodopa), or dopaminergic agonists (cabergoline, pergolide, pramipexole, ropinirole, rotigotine); (ii) inhibition of dopamine catabolism or degradation, by using monoamine-oxidase B (MOB) inhibitors, such as rasagiline, or catecol-o-methyltransferase (COMPT) inhibitors, such as entacapone and tolcapone. Unfortunately, all these pharmacological treatments only provide relief for those symptoms but they do not stop or delay the progression of the disease. Furthermore, the exaggerate levels of dopamine generated through these treatments make it uneasy to wear off resulting in unacceptable side effects [303]. Interestingly, the hyperkinesia related to chronic L-DOPA therapy in animal models was found to be linked to histone H4 deacetylation in the striatum [319], thus suggesting the importance of regulation of epigenetic machinery and potential epigenetic therapies to treat PD.

Epigenomic hallmarks of PD

As for AD, and most degenerative disorders, global DNA hypomethylation and reduced histone acetylation seem to be the epigenetic hallmark of PD. However, some important differences in histone modifications are unique of PD. Aberrant miRNAs and lncRNAs expression linked to *SCNA*, *parkin*, *DJ-1*, and *PINK1* are the most important non-coding RNAs associated with PD (Table 2).

- **DNA methylation of pathogenic genes.** Table 2 summarizes the main pathological PD-related genes with aberrant methylation/expression patterns. Global hypomethylation patterns in all these genes are linked to PD. This global hypomethylation may be associated with sequestration of DNMT1 by α -synuclein, which reduces DNA methylation in PD and dementia with Lewy bodies [320]. Overexpression of DNMT1 in vitro and in transgenic mice was able to restore the nuclear localization of DNMT1 [321,322]. Another reason for global reduced methylation may be, as for AD, that hcy levels have been also found elevated in PD patients, in detriment of SAMe levels [323,324]. This low SAM/SAH ratio added to a folate deficient diet was harmful to dopaminergic neurons in PD mouse models [325]. Importantly, a recent study found that epigenetic hallmarks of AD or PD, such as *APP* or *SCNA*, respectively, were linked to methylation markers like SAM and SAH [326]. Increased SAM/SAH ratio, which indicates a higher methylation potential, was linked to a better cognitive function [327].

Genome wide association studies found a direct implication of methylation status of α -synuclein and development of PD. The putative gene promoter, located in the intron 1 of *SCNA* gene (encoding α -synuclein), was significantly hypomethylated in blood and brain samples from PD patients as compared to controls [328]. This hypomethylation was associated with the overexpression of α -synuclein and protein aggregation leading to PD [321]. This hypomethylation/overexpression is observed in substantia nigra, putamen, and cortex of sporadic PD cases [322,329].

Maslah et al [306] identified 10 genes among the top 1000 members of the aging-related methylation module which were associated with PD (*SLC12A5*, *ABCA3*, *FHIT*, *FAT1*, *CPLX2*, *APBA1*, *MAGI2*, *CNTNAP2*, *ATP8A2*, *SMOC2*). *MRII* and *TMEM9* were candidate genes with increased methylation, and the *GSST1*, *TUBA3E* and *KCNH1* genes showed decreased methylation. Methylation of the *HLA-DRB1*, *LRKK1*, *MMEL1*, *HLA-DQB1*, *OR12D3* and *VAV2* genes exhibited confusing results. A methylation-based EWAS in PD patients identified 20 unique genes with a sizable difference in methylation between PD and controls, while 17 were identified between PD with anxiety and PD without anxiety. *FANCC* *cg14115740* and *TNKS2* *cg11963436* showed significant differential methylation between PD cases and controls [305].

Other genes were also found epigenetically regulated in PD. Increased levels of the tumor necrosis factor alpha (*TNF- α*) are associated with neuroinflammation and dopaminergic cell death in PD. Therefore, the higher vulnerability to *TNF- α* regulation found in dopaminergic neurons suggests the gene promoter is hypomethylated [330]. Importantly, *TNF- α* overexpression is usually detected in the cerebrospinal fluid of PD patients, as *TNF- α* induces apoptosis in neuronal cells

[331]. It was recently reported the aberrant expression of clock genes in animal models of PD [332,333]. Methylation level of seven clock gene promoters was analyzed finding a reduced methylation in PD compared to controls [334]. In addition, DNA methylation, among other epigenetic mechanisms, plays an important role in mesodiencephalic dopaminergic neurons, which are severely affected in PD [335].

Some genes which mutations are associated with emergence and development of PD are epigenetically altered in other pathologies, such as cancer, but not in PD. For instance, analysis of methylation patterns of *parkin* gene promoters from heterozygous PD patients for *parkin* gene mutations, PD patients without *parkin* mutations, and controls revealed no significant differences. However, deviant methylation patterns in this gene have been observed in acute lymphoblastic leukemia [336,337]. The same occurred with the ubiquitin c-terminal hydrolase L1 gene (*UCHL1*), a subfamily member of deubiquitinating enzymes, implicated in the pathogenesis of PD. Differential methylation patterns in *UCHL1* were linked to diverse types of cancer [140,304,338-340], but not to PD [140].

- **Histone modifications/chromatin remodeling.** As for most of neurodegenerative disorders and age-related epigenetics, histone hypoacetylation is evidenced in PD [341] (Table 2). α -Synuclein-mediated histone modifications are crucial epigenetic mechanisms during development of PD. Increase of nuclear α -synuclein is neurotoxic and contributes to PD-related neurodegeneration probably by direct binding to histones, preventing H3 acetylation via interaction with SIRT2 [342,343]. Treatment with HDACi was able to reduce α -synuclein toxicity in neuroblastoma SH-SY5Y cells and in transgenic *Drosophila* [342-344]. Oxidative stress is a potential pathogenic mechanism in sporadic PD. Oxidative stress mediates binding of α -synuclein to the peroxisome proliferator receptor gamma coactivator-1-alpha (PGC1- α) promoter element. This binding causes histone deacetylation leading to down-regulation of PGC1- α expression, which results in a reduction of mitochondrial biogenesis and consequently loss of mitochondrial function [345]. In agreement with that finding, PGC1- α levels were significantly reduced in neurons from post-mortem substantia nigra of PD patients [346]. The nonreceptor tyrosine kinase C-Abl also plays an important role in oxidative stress-induced neuronal cell death. C-Abl is activated in an 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine hydrochloride (MPTP)-induced acute PD model. Conditional knockout of c-Abl in neurons or treatment of mice with STI571, a c-Abl family kinase inhibitor, reduced the loss of dopaminergic neurons and ameliorated the locomotive defects induced by short-term MPTP treatment. C-Abl-mediated phosphorylation of p38 α (major substrate of c-Abl) is critical for its dimerization. Inhibition of p38 α mitigates the MPTP-induced loss of dopaminergic neurons [347]. The oxidative stress-sensitive protein PKC δ also plays

a role on dopaminergic cell death through regulation by histone acetylation. Same as α -synuclein aggregates in Lewy bodies, the histone acetyltransferase EP300 contains prion-like domains serving as potential interaction sites for misfolded proteins, and enhance their aggregation [348]. Curiously, α -synuclein plays a protective role in this case, by interacting with EP300 and suppressing PKC δ expression, mediated by interaction with NF- κ B. Decrease of NF- κ B-mediated p65 acetylation and reduced EP300 activity, inhibits PKC δ , which protects dopaminergic neurons from apoptosis [349].

Polarization of microglial activation pathways also plays a role in dopaminergic cell loss leading to PD. This ratio is regulated by histone modifications, particularly by histone methylation. Frequency of classical (M1 phenotype) and alternative (M2 phenotype) activation pathways determines the detrimental or beneficial effects for CNS. Histone demethylase H3K27me3 Jumonji domain containing 3 (Jmjd3) is essential for M2-type activation. Suppression of Jmjd3 magnifies M1-mediated microglial overactivation leading to extensive cell death in substantia nigra in MPTP-intoxicated PD transgenic mice [350]. MPTP-mediated toxicity also reduces H3K4me3 levels in the striatum of mice and non-human primates, which can be reverted through chronic treatment with L-DOPA [319].

- **Non-coding RNAs.** Several lnc RNAs were found dysregulated in PD, including naPINK, SoxOT, 1810014B01Rik, BC200, which affect the expression of pathogenic genes involved in the disease [55] (Table 2). As DNA methylation and histone modifications regulate *SNCA* gene expression, some miRNAs regulate α -synuclein expression post-translationally. Two miRNAs (miR-7 and miR-153) regulate α -synuclein expression by direct binding. Binding of miR-7 to the 3'UTR of α -synuclein mRNA has a neuroprotective role in PD as represses α -synuclein expression [351]. Similarly, miR-153 represses *SNCA* at both, mRNA and protein levels [352]. However, those two miRNAs are downregulated *in vitro* and in animal models after exposure to MPTP, 1-methyl-4-phenyl-pyridinium ion (MPP⁺) [351,352]. miR-433 is indirectly involved in *SNCA* expression through regulation of fibroblast growth factor 20 (FGF20). The 3'UTR SNP rs1270208 of FGF20 interferes with miR-433 binding, increasing FGF20 expression, which correlates with α -synuclein up-regulation and higher risk to develop PD [353]. In addition, α -synuclein alters the expression of other miRNAs. In transgenic mice overexpressing human A30P α -synuclein, the levels of miR-10a, -10b, -132, -212, and -495 are altered compared to those of non-transgenic littermates [354].

The expression of *LRRK2*, a gene involved in both sporadic and familial PD, is also altered by miRNAs. For instance, miR-205, which binds to the 3'UTR of *LRRK2*, is down-regulated, which correlates with increased *LRRK2* protein expression levels in sporadic PD. However, miR-205

mitigated the aberrant neurite growth induced by *LRRK2* mutation R1411G *in vitro* [355]. E2F transcription factor 1 (E2F1) and differentiation regulated transcription factor protein (DP), associated with cell cycle regulation and cell survival, are regulated by let-7 and miR-184*. Up-regulated *LRRK2* or *LRRK2* mutants (I1915T or G2019S) inhibit the expression of those miRNAs, by promoting phosphorylation of eukaryotic translation initiation factor 4E binding protein (4E-BP), which inhibits the Aronate 2, a pivotal constituent of the RISC, required for proper let-7 and miR-184* activity [356]. Disruption of those miRNAs results in reduced dopaminergic neuron numbers and locomotor activity in *Drosophila* [357]. let-7 and miR-184* overexpression corrects these deleterious effects of mutant *LRRK2* expression. The negative regulation of those miRNAs depends on the type of *LRRK2* mutations [356,358]. Thus, mutant *LRRK2* without enzymatic activity does not affect miRNA expression [357].

Other miRNAs alterations are specific for certain stages of the disease [359] or tissue-specific [360]. Down-regulation of 34b/c, which regulates mitochondrial function via modulation of DJ-1 and parkin, is particularly present in patients with early PD stage whom have not yet been treated with dopaminergic drugs [359]. Another miRNA molecule, miR-133b, found specifically in the midbrain dopaminergic neurons, was down-regulated in PD patients [360].

Identification of circulating miRNAs involved in PD provides one of the best diagnostic and prognostic markers of the disease by using non-invasive procedures, since it only requires blood or CSF extraction, and allows the following of disease progression *in vivo*. Studies performed in peripheral blood mononuclear cells of 19 PD patients and 13 controls, identified 18 differentially underexpressed miRNAs in PD patients [361]. Another study identified miR-1, miR-22-5p, and miR-29 as differentially expressed between PD and healthy patients, and miR-16-2-3p, miR-26a-2-3p, miR-30a were differential between treated and not-treated PD patients [362]. In blood leukocytes, 16 miRNAs were found differentially expressed between PD and healthy patients, including miR-16, miR-20a, and miR-320 [363]. Plasma from 31 PD patients versus 25 healthy controls differed in only one significantly up-regulated miRNA, miR-331-5p [364]. A second study, involving 32 PD patients and 32 healthy controls identified miR-1826, miR-450b-3p, miR-626, and miR-505 in plasma [365].

Epigenomic-based potential treatments for PD

As for AD, one of the epigenetic hallmarks of PD is general DNA hypomethylation, which promotes overexpression of pathogenic genes, such as *SNCA*, encoding α -synuclein. As mentioned above, this global decreased methylation is promoted by sequestering of DNMTs by α -synuclein [320-322] and decreased SAM/SAH ratio [323-326]. Thus, as for AD, one of the therapeutic approaches for PD treatment is to increase the levels of SAMe (one of the main donors of

methyl groups) by administration of B vitamins and folate in diet or as complementary treatment [250,251,327,366]. As expected, cultured cells exposed to PD-promoting neurotoxicity and treated with the DNMT inhibitor 5-aza-2'-deoxycytidine decreased cell viability and increased apoptosis in dopaminergic neurons, exacerbating the neurotoxic damage [367].

The pathogenic cascade of neurodegenerative diseases normally involves oxidative stress and mitochondrial dysfunction. Therefore, antioxidant-rich diets might protect against cell death and delay or halt disease progression [368,369].

- **HDAC inhibitors.** Most of epigenomic-targeting treatments for PD are based on HDAC inhibition (**Figure 3**), due to the global reduced acetylation observed in cell cultures, PD-transgenic animal models, and patients with familial or sporadic PD. This HDACi therapy for PD also includes SIRTis, which, contrary to their beneficial effects on A β clearance in AD, they promote α -synuclein expression and aggregation leading to PD. Thus, SIRT inhibitors may constitute a potential therapeutic approach to reduce α -synuclein aggregation. However, only 4-PBA and VPA, but none of the SIRTis, are currently submitted to clinical trials, according to the NIH database [244] (**Table 3**).

Valproic acid (VPA) is one of the most extensively studied HDACis for PD treatment. Diverse studies show that VPA enhanced H3 acetylation and consequently reduced α -synuclein-mediated toxicity and decreased pro-inflammatory mediators, in cells and animal models exposed to PD-promoting toxic agents, such as MPTP, rotenone, or lipopolysaccharide [370-373]. Furthermore, VPA treatment promotes the expression of brain-derived neurotrophic factor (*BDNF*) and from glial cells (*GDNF*), which play critical roles in the growth, survival, and synaptic plasticity of neurons. VPA promotes histone H3 acetylation at the promoter level of *GDNF*, which enhances mRNA expression. In addition, VPA induces the expression of the heat-shock protein Hsp70, accompanied by promoter hyperacetylation and increased levels of H3 lysine di- and tri-methylation (H3K4Me2 and H3K4Me3), which is linked to recruitment of HAT p300 [374]. VPA, as well as NaB and TSA, were able to rescue dopaminergic neurons death induced by MPP⁺. Rescue was evidenced by the increase in dopamine uptake and by the number of neurons with positive tyrosine hydroxylase staining [375,376].

Low toxicity of sodium butyrate (NaB) makes this drug tolerable for treatment in animals and humans [377-379]. NaB may also be effective in reducing α -synuclein aggregation and toxicity and rescuing cognitive deficits associated with PD in animal models [380,381]. Accordingly, in α -synuclein overexpressing *Drosophila* models, PBA, as well as vorinostat (SAHA), reduced α -synuclein-mediated neurotoxicity [342], improved locomotor impairment, and reduced early mortality rates

[344]. Similarly to VPA, NaB, TSA, and SAHA also promote H3 acetylation-mediated *GDNF* up-regulation in astrocytes [376,382,383]. NaB, as well as MS-275 and apicidin, also activate Hsp70, in a similar manner as VPA [374,384,385].

Other HDACis, such as 4-PBA or urocortin may execute their own neuroprotective effects, besides their influence on HDACs [380,386,387]. 4-PBA has been demonstrated to protect dopaminergic neurons, possibly through increased DJ-1 expression and activation of tyrosine hydroxylase promoter in the substantia nigra of mice treated with MPTP [380,388]. 4-PBA was also found to alter the expression of a variety of genes associated with antioxidant enzyme chaperones, including those ones critical for cell survival [387].

Sirtuins (particularly SIRT2), contrary to observations in AD, favor the pathological progression of PD by promoting α -synuclein expression and aggregation. In this regard, SIRT2 inhibition rescued α -synuclein-mediated toxicity in several animal models of PD [343]. The SIRT2 specific inhibitor AGK2 was found to increase tubulin acetylation and formation of large α -synuclein inclusions, resulting in the rescue of dopaminergic neurons *in vitro* and in a *Drosophila* PD model [343]. Other strategy to inhibit SIRT2 would be treatment with SIRT2 inhibiting siRNA, which would lead to a decreased SIRT2 expression with the subsequent reduced α -synuclein-mediated neurotoxicity.

HUNTINGTON'S DISEASE

Huntington's disease (HD) is an inherited disorder characterized by progressive degeneration of neurons within the striatum and cerebral cortex, which results in loss of motor functions, involuntary muscle contractions (chorea), cognitive decline (eventually resulting in dementia), and several behavioral changes. HD is a death-causing disease and no treatment is currently available [389,390]. HD is caused by a dominant mutation consisting in a CAG-repeat expansion (36-39 repeats), coding for glutamine, in the coding region of the huntingtin gene (*HTT*), resulting in a polyQ repeat sequence in the HTT protein [391]. HD is mainly a familial disorder with very rare cases of sporadic onset. Therefore, the main risk factors for developing HD are previous familial HD cases or high CAG repeat number. This expansion results in a dysfunctional HTT protein which disrupts gene expression in multiple pathways [392,393]. Wild-type HTT is located in the cytoplasm of neurons throughout the whole brain, and is suggested to be involved in intracellular transport, autophagy, transcription, mitochondrial bioenergetics, and signal transduction [394-397]. Despite the ubiquitous localization of Wild-type HTT in the brain, the mutant is specifically located at the medium-sized spiny neurons of brain areas related to motor functions, such as the neostriatal nuclei, caudate nucleus, and putamen [398-402]. Mutant HTT has been found to damage neurons at a large variety of pathways, including,

impairment of fast axonal transport, microtubule destabilization, mitochondrial dysfunction leading to oxidative damage, inflammatory reactions, excitotoxicity, and induction of apoptosis [403-405].

Epigenomic hallmarks of HD

Epigenomic profile of HD is mostly altered through the detrimental effects of *HTT* mutant which has a widespread impact on gene expression, through interactions with specific transcription factors [406] and also interferes with the core post-translational modifications of histones, turning chromatin into a more compact structure [407]. The main epigenetic modifications (DNA methylation, histone modifications, and ncRNAs) involved in HD are summarized in **Table 2**.

- **DNA methylation of pathogenic genes.** A first study performed by Farrer and colleagues in 1764 patients carrying HD, concluded that DNA methylation might be involved in a genetic imprinting mechanism responsible for the expression of HD [408]. Other studies revealed the risk of triggering intergenerational extension or instability of CAG repeat expansions by changes in DNA methylation during epigenetic reprogramming [409,410].

Mutant *HTT* promotes hypermethylation-mediated down-regulation of important genes involved in neurogenesis [411]. Cognitive impairments observed in HD animal models might be linked to the reduced hippocampal neurogenesis, although this finding must be replicated in HD patients [402]. Down-regulation of *BDNF* expression, probably via hypermethylation, is crucial for the pathological progression of HD. Studies performed in HD-transgenic mice showed a differential expression of *BDNF* in HD compared to controls, but also sex-specific differences at individual CpG sites, which suggests a differential regulation of *BDNF* expression in the male and female brains [412]. Reduced expression levels of the adenosine A_{2A} receptor (A_{2A}R), a G-protein-coupled receptor, are also associated with HD. Down-regulated expression of A_{2A}R was found associated with high levels of 5'UTR DNA methylation in A_{2A}R from HD patients and with a decreased 5'UTR DNA hydroxymethylation in A_{2A}R (*ADORA2A*) from HD-transgenic mice models [413,414]. Aberrant methylation was more evident when, apart from methylated cytosines, levels of 7-methyl guanine were also found in both animal models and HD patients [415].

- **Histone modifications/chromatin remodeling.** HD is characterized for a global histone deacetylation, and increased histone methylation, which repress gene transcription [416-420]. Histone hypoacetylation causes chromatin condensation and down-regulation of affected genes. Decreased histone acetylation during HD progression is mediated by mutant *HTT* sequestering CREBBP and inhibiting CREBBP's activity as histone acetylase. This CREBBP sequestering disrupts gene transcription at

multiple pathways [86,87,416,417,419,421,422]. Transgenic mice expressing a form of CREBBP without HAT activity, or inactive p300/CBP (a CREBBP homolog), presented impaired long-term memory consolidation and contextual fear memory, but short-term memory was unaffected [87,423]. Studies performed in transgenic HD mice and mouse striatal cell lines, showed that early-onset HD was associated with a decreased H3 acetylation with subsequent down-regulation of targeted genes within the striatum, including brain-derived neurotrophic factor (*bdnf*), cannabinoid receptor 1 (*cnr1*), dopamine 2 receptor (*drd2*) and preproenkephalin (*penk1*). For both early- and late-onset HD, core histones H3 and H4 associated to those genes were also hypoacetylated in transgenic-HD compared to wild-type mice [416,420].

Formation of heterochromatin domains in HD is also caused by a global histone hypermethylation, which is suggested to be mediated by disruption of CREBBP functioning by mutant *HTT* [419,424]. CREBBP normally represses the expression of *Drosophila* Su(var)3-9 and enhancer of zeste proteins (SET) domain, bifurcated 1 (*SETDB1*), which encodes the HKMT SETDB1 that methylates H3K9. Therefore, as normal CREBBP prevents SETDB1-mediated histone methylation, shutdown of CREBBP by mutant *HTT*, activates SETDB1 and thus, enhances H3K9 methylation in striatal neurons of both transgenic HD mice and HD patients [419,424]. H3K9me is associated with decreased gene expression profiles in striatum, such as cholinergic receptor, muscarinic 1 (*CHRM1*), which has been proposed to induce synaptic dysfunction linked to HD [402,425,426]. Dysregulation of cholinergic signaling, specially affecting the medium spiny neurons of striatum, has been identified as a pivotal factor in the pathophysiology of HD [427].

- **Non-coding RNAs.** Various dysregulated lncRNAs alter gene expression in HD, including HAR1F, HTTAS, DGCR5, NEAT1, and TUG1 [55] (**Table 2**). The expression of miRNAs was found to be globally decreased in HD animal models and HD patients, and most of them are altered by mutant *HTT* expression. Reduced miRNA expression promotes the expression of their targeted mRNAs. Among the 24 miRNAs found down-regulated in brains from HD patients [428-430], the most relevant ones were miR-9, miR-9*, miR-29b, miR-124a, miR-132, which are regulated by the repressor element 1 silencing transcription factor (REST) in neurons [431,432]. Wild-type *HTT* sequesters REST in the cytoplasm of neurons and prevents binding of the repressor to DNA, whereas mutant *HTT* allows binding of REST and subsequent repression of many gene targets. Therefore, decrease levels of those miRNAs, would increase the transcription of REST, amplifying the accumulation of this protein in the presence of mutant *HTT*.

Other miRNAs, such as miR-34b, miR-125b, miR-146a, miR-150, and miR-214, are directly targeted by mutant *HTT*, [433,434], and normally down-regulated by mutant

HTT in a HD context. However, miR-196a has been found to decrease expression of mutant HTT through inhibition of protein synthesis or through enhancing protein degradation [435]. These results were obtained *in vitro* and confirmed in transgenic HD mice and pluripotent stem cells derived from HD patients. Therefore miR-196a might play a promising role on a potential therapeutic approach for HD treatment.

Epigenomic-based potential treatments for HD

The major processes leading to repression of gene expression associated with HD are HTT-mediated activation of transcription repressors by decreasing histone acetylation, increasing histone methylation, and, sometimes, through direct DNA hypermethylation. Therefore, most epigenetic-based pharmacological compounds for HD treatment are based on increasing histone acetylation and reduce histone methylation, or repressing mutant *HTT* gene.

Table 3 summarizes the few current epigenetic drugs under clinical trials for HD treatment, being the HDACis the most representative ones.

- **HDAC inhibitors.** Treatment with HDACis constitutes a potential therapeutic approach to restore histone acetylation and expression of crucial genes which are otherwise repressed during HD development. Most of these HDACis are displaying promising results in terms of neuropathology and motor symptoms [407,416,417,421,422]. Furthermore, HDACis improved memory function and behavior in CREBBP or KAT deficient mice models [86,87,414].

HDAC inhibition with SAHA or NaB improved memory deficits in mice [436-438]. SAHA treatment slowed photoreceptor degeneration and improved longevity of adult *Drosophila* in the presence of mutant *HTT* [439]. Additionally, SAHA reduces HDAC2 and HDAC4 levels and improves motor deficits in the R6/2 mouse model of HD [436,437]. Vorinostat (SAHA), as well as Trichostatin (TSA), increased alpha-tubulin lysine 40 acetylation in mouse striatal cells, and consequently, increased intracellular transport of *BDNF* [440]. TSA, as well as Valproic acid (VPA), promote H3 and H4 acetylation in a *Drosophila* model containing mutant *HTT*, as compared to controls [439].

Sodium butyrate (NaB) was found to slow neuronal degeneration in a *Drosophila* HD model [439] and to improve motor performance and decreased neuropathology in the R6/2-HD mouse model, overexpressing mutant *HTT* [438]. Furthermore, NaB treatment promoted H3 acetylation and restored the mRNA expression levels of genes affected by mutant *HTT* [416]. However, NaB may occasionally repress gene expression, since histone acetylation, promoted by NaB, may also increase the expression of transcription repressors [407].

Sodium phenylbutyrate (4-PBA) significantly improved HD symptoms in transgenic mice, and has been shown to

increase H3 and H4 acetylation, decreased histone methylation, and increased life expectancy by reduction of neuronal degradation rate [421]. 4-PBA constitutes one of the most promising HDACi-based therapeutic agents, as it is already FDA-approved and thus, data from pharmacokinetics, toxicity, and dosing are available. However, despite of the successful results obtained from animal models, the efficacy of this drug in HD patients is very low and requires the administration of very high doses which would result toxic for patients [441,442].

The pimelic diphenylamide 4b is a relatively novel HDACi which was effective on R6/2 mice, by improving HD-related behavioral and motor symptoms and restoring histone acetylation-mediated gene transcriptional abnormalities [407]. HDACi 4b also improved body weight, motor function and cognitive performance in a different mouse model expressing the first 171 amino acids of HTT with 82 CAG repeats (N171-82Q) [443]. It is suggested that HDACi 4b plays a role on post-translational mechanisms, by activating the kappaB kinase inhibitor (IKK), which enhances HTT phosphorylation and acetylation, followed by HTT degradation through ubiquitin-proteosomal and autophagy systems. HDACi 4b inhibits class I and II HDACs and also is thought to restore proper gene transcription in cells and HD animal models [443].

- **Histone methyltransferase inhibitors.** Histone hypermethylation is also included into the epigenetic hallmarks of HD. DNA intercalating anthracyclines, such as mithramycin A and chromomycin A3, specifically inhibit the binding of transcription factors to the GC-rich regions of gene promoters, thereby affecting gene expression [417,419,444], which occasionally may have neuroprotective effects. For instance, inhibition of transcription factors SP1 and SP3, related to detrimental responses after oxidative stress and DNA damage, may lead to neuroprotection. Mithramycin A was found to meliorate HD-related symptoms in R6/2-HD mice, probably by reducing the pericentromeric heterochromatin condensation [417,419,444]. Furthermore, mithramycin was found to inhibit the HKMT SETDB1 and thereby reduce H3K9 methylation and restore the cholinergic pathway in striatum of R6/2-HD mice [419]. Chromomycin A3 tips the H3K9 methylation/acetylation balance in favor of acetylation, improving the HD phenotype [444]. Therefore, mithramycin A and chromomycin A3 may be potential candidates for HD treatment. Unfortunately these drugs turn into toxic at high doses, which is not suitable for the chronic use required for HD treatment. Nevertheless, they can provide a mechanistic hint for novel drugs with lower toxicity rates.

SIRT selective inhibitors, specifically SIRT2is, were also tested in animal models of HD. Genetic or pharmacological inhibition of NAD⁺-dependent SIRT2 by nicotinamide, was neuroprotective in a *Drosophila* model [445]. Another selective HDACi, the SIRT2 inhibitor AK-7, was found to

improve motor functions, extend survival, and reduce polyQ-HTT aggregation [446].

- **Other epigenetic potential treatments.** Interestingly, other epigenetic treatments target DNMT activators (EGCG) or SIRT activators (Resveratrol). Although the molecular mechanisms underlying neuroprotection in HD are still not well understood, these drugs are currently under clinical trials [244] (Table 3) and seem to be a promising therapy for HD treatment.

Dot-blot combined with atomic force microscopy studies revealed that ECGC modulated miss-folding and oligomerization of mutant HTT, reducing the polyQ-HTT toxicity *in vitro* and in HD animal models [447].

Resveratrol is a SIRT activation, which might exacerbate the histone hypoacetylation-mediated global gene underexpression and HD symptoms. However, activation of SIRT1 by resveratrol involves also beneficial effects at metabolic and mitochondrial level that may exert a neuroprotective function. An important substrate of SIRT1 is the peroxisome proliferator-activated receptor gamma co-activator-1 α (PGC-1 α), which is a main regulator of energy metabolism, and that is significantly impaired in HD. A variety of studies revealed a significant neuroprotection after treatment with resveratrol in cell cultures and HD animal models [448-450]. Conversely, other studies demonstrate beneficial effects of resveratrol on diabetes progression, but no effects in neuroprotection [451,452]. Despite of these controversial findings, resveratrol is currently under clinical trials [244] (Table 3).

AMYOTROPHIC LATERAL SCLEROSIS

Amyotrophic Lateral Sclerosis (ALS) is an idiopathic, fatal neurodegenerative disease affecting primarily the motor system. ALS is one of the most common motor neuron degenerative disorders and usually starts after age 50, although earlier onset is also possible. The clinical symptoms include muscle atrophy, fasciculation, weakness, spasticity, and cognitive dysfunction, due to the loss of motor neuronal activity in the brainstem, spinal cord, and motor cortex [453,454]. The pathogenic mechanisms involved in this complex disorder are not very well explored, although some proposed pathways are related to oxidative stress, glutamate excitotoxicity, impaired axonal transport, neurotrophic deprivation, neuroinflammation, apoptosis, altered protein turnover, and influence from astrocytes and oligodendrocytes that might alter motor neuron microenvironment [455].

Familial ALS is predominantly hereditary in an autosomal dominant manner, whereas recessive or X-linked heritances are very rare. Several gene mutations have been associated with ALS, although the cellular and pathological mechanisms involving those gene mutations are still under investigation. Mutations in the superoxide dismutase 1 gene (*SOD1*) constitute the major genetic cause of ALS. *SOD1*

protein is responsible for destroying harmful superoxide radical produced by mitochondria. Mutations in *SOD1* are gain-of-function, and thus protein retains its enzymatic function but aggregates in motor neurons causing toxicity [456,457].

The knowledge of the genome sequence allowed the identification of other gene mutations involved in ALS, including TAR DNA-binding protein (*TARDBP*), the RNA-binding protein fused in sarcoma (*FUS*), the catalytic subunit of HAT complex elongator protein (*ELP3*), the Amyotrophic Lateral Sclerosis 2, or alsin 2 (*ALS2*), ataxin 2 (*ATXN2*), and the neurofilament heavy peptide (*NEFH*). The chromosome 9 open reading frame 72 (*c9orf72*) was found to contain a hexanucleotide repeat in the non-coding region associated with ALS [458-460]. This repeat causes cellular toxicity after splicing out of the *c9orf72* mRNA transcripts and accumulates in the nuclei of affected cells. Mutations in the *UBQLN2* gene encoding ubiquilin 2 protein interfere with protein activity involved in degradation of ubiquitinated proteins, resulting in abnormal protein aggregation and causing cellular toxicity [461].

Epigenomic hallmarks of ALS

According to Al-Chalabi and colleagues [458], around 10% of ALS forms are familial and caused by gene mutations whereas 90% are sporadic, i. e., influenced by surrounding environment [458]. The epigenetic pattern of ALS involves a general hypermethylation [462], enforced by increased DNMT expression [463,471] and impaired demethylation machinery [472], and a significantly decreased histone acetylation. Several miRNAs are also dysregulated in ALS (Table 2).

- **DNA methylation of pathogenic genes.** DNA methylation patterns in ALS are still under debate and current results are sometimes confusing. DNA methylation analyses among pathogenic genes directly involved in ALS showed that only *SOD1*, *VEGF* (encoding the vascular endothelial growth factor), and *OPTN* (optineurin), were widely hypo- or unmethylated [131,462,463,464]. Lack of *SOD1* methylation could be involved in overexpression of *SOD1* protein promoting its aggregation, which would mimic the effect caused by mutations in this gene. Interestingly, the hypomethylation status found in *OPTN* did not correspond with changes in gene expression [462].

In contrast, hypermethylated CpG islands in *c9orf72* and *ATXN2*, are associated with the pathogenic hexanucleotide and CAG repeats, respectively, which contributes to progression of ALS [463,465-467]. The *c9orf72* gene down-regulation is also promoted by histone methylation [468]. The *ALS2* gene encodes for Alsln, which is a guanine nucleotide-exchange factor for the small GTPase Rab5. A recent genome-wide study of promoter methylation of individuals who experienced severe psychosocial trauma showed that, among the 248 differentially methylated gene

promoters in brain, the *ALS2* gene promoter showed the most significant hypermethylation [469]. The member 2 of the solute carrier family 1 (*SLC1A2* or *EAAT2*) was also found hypermethylated associated with down-regulated mRNA [463,470]. *SLC1A2* gene encodes SLC1A2 enzyme involved in phase III drug transport. Down-regulation of this enzyme is associated with a repressed activity, which would result in a poor assimilation of drugs following that pathway.

Hypermethylation may be also promoted by the up-regulation of DNMT1 and DNMT3a. Apoptosis of motor neurons was characterized by alterations in DNMT1, DNMT3a, and 5-methylcytosine in mouse ALS models of ALS, and similar to those in human ALS [463,471]. *ELP3* gene, encoding the core HAT elongator protein, is also involved in paternal DNA demethylation, probably via SAM domain [472]. Knock-down of *ELP3* impairs paternal demethylation and might be another cause for the global gene promoter hypermethylation associated with ALS.

- **Histone modifications/chromatin remodeling.** ALS is characterized by a global histone hypoacetylation, in both ALS mouse models and ALS patients, which contribute, along with DNA hypermethylation, to downregulation of crucial genes for neuronal development, which finally triggers the apoptosis of neuronal cells. Decreased histone acetylation in ALS is mediated through different pathways: (i) Hypoacetylation mediated by forced expression of HDAC3, which was found to induce neurodegeneration in HT22 cultured hippocampal cells and in rat neurons [473]; (ii) Mutation in *SS18L1* inhibits interaction with the HAT machinery and alters the HAT catalytic subunit *ELP3* which leads to a motor neuron axonal impairment in ALS [474]. *ELP3*-mediated HeK8 and H3K14 acetylation may promote expression of the heat shock protein Hsp70 [475], which is involved in clearance of aggregates aroused in the SOD1 mouse model and in protection against mutant-SOD1-induced neuronal death [476,477]. *FUS* overexpression induces hypoacetylation of histones H3K9 and H3K14 in the cyclin D1 (*CCND1*) gene promoter, altering cell cycle in ALS [478].

- **Non-coding RNAs.** Dysregulated miRNA expression contributes to ALS pathology and may be used as a tool for diagnosis. Main dysregulated miRNAs are associated to muscle cells, targeting ALS pathogenic genes, and circulating blood miRNAs. A variety of miRNAs are associated with pathogenic ALS-related genes. TARDP is a component of the Dicer and Drosha complexes that binds to primary transcripts of specific miRNAs. TARDP is involved in neurite outgrowth and neuronal differentiation through regulation of miRNA biogenesis and expression. Mutations in the *TARDP* gene cause differential expression of ALS-contributing mature and functional miRNAs, such as miR132, miR-143, and miR-558. Elevated expression of miR-132 and miR-9 rescue neurite outgrowth and neuronal differentiation impairment, respectively, in cells derived from

TARDP mutations from ALS patients [479,480]. Similar to TARDP, the protein FUS binds to pre-mRNA molecules and determines their fate by regulating splicing, transport, stability, and translocation. FUS regulates miRNAs involved in synaptic plasticity and neuronal development, including miR-9, miR-132, and miR-134 [481]. Axon growth is regulated by miR-9, via modulation of microtubule-associated protein 1b (*MAP1B*) mRNA expression; miR-132 controls the expression of several genes involved in neuronal morphology and growth; miR-134 regulates neuronal development and dendritogenesis in response to neuronal activity [233,482,483-486].

Other miRNAs involved in ALS pathogenesis are located in peripheral tissues. The skeletal muscle specific miRNA, miR-206, is down-regulated in ALS mice harboring *SOD1* mutations. Overexpression of this miRNA promoted reinnervation process at the NMJ through regulation of HDAC4 and fibroblast growth factor (FGF) pathways [487]. High expression of miR23a, miR-29b, and miR-455, found in skeletal muscle of ALS patients, may cause dysregulation in mitochondrial gene expression [488]. Different studies found differential miRNA expression in spinal cord from ALS patients, such as miR-146*, miR-524-5p, and miR-582-3p, which were predicted to bind the 3'UTR of *NEFL* gene [489], and miR-24-2*, miR-142-3p, miR-142-5p, miR-1461, miR-146b, and miR-155, associated with sporadic ALS patients [490].

Potential role of miRNAs as biomarkers of ALS *in vivo* are those differentially expressed in peripheral blood. Leukocytes isolated from blood of 8 ALS patients and 12 healthy controls showed that miR-149, miR-328, miR-338-3p, miR-451, miR-583, miR-638, miR-665, and miR-1275, were dysregulated in ALS patients [491]. Human CD14⁺CD16⁻ monocytes isolated from ALS patients have a unique inflammatory miRNA profile, showing higher expression levels of miR-27a, miR-32-3p, miR-146a, and miR-155 [492].

Epigenomic-based potential treatments for ALS

General histone hypoacetylation repress gene expression and triggers apoptosis in motor neurons of ALS animal models and patients. Therefore, treatment with HDACis is currently the most studied strategy and has been found to restore the aberrantly down-regulated genes, and counteract apoptosis inhibition. Sodium phenylbutyrate (4-PBA) [493] and valproic acid (VPA) are currently submitted to clinical trials for ALS [244] (**Table 3**). Treatment of SOD1/G93A-ALS transgenic mice (characterized by presenting hypoacetylation in H4 and other histones in spinal cord sections) with 4-PBA resulted in survival and improved pathological phenotypes. Treatment with 4-PBA ameliorated histone acetylation and inhibited apoptosis through up-regulation of Bcl2, NF- κ B, p50 and phospho-I κ B, and through inhibition of caspases in the spinal tissues of transgenic mice [494]. Combination of drugs provided better

results than using them individually. In this regard, combination of 4-PBA with riluzole, the only currently FDA-approved drug for ALS treatment, or with AEOL 10150, a catalytic antioxidant, was significantly more effective than using either drug alone [495,496].

Effects of VPA treatment were more variable and inconsistent. Post-symptomatic treatment of SOD1/G93A-ALS transgenic mice increased lifespan, whereas pre-symptomatic treatment did not show any effect on the onset of motor symptoms [497]. Other studies in the same mice models, had small but significant beneficial effects on motor dysfunction and survival time [498]. However, trials in the SOD1/G86R-ALS showed that VPA improved histone acetylation, restored CBP activity, and reduced motor neuron death, but did not prolong survival [499]. Those inconsistencies might arise from differences in the mice strain used, VPA dosages, copies of the mutant gene, and other factors. Drug tolerance and effectiveness in patients will be given by their individual pharmacogenetic profile. Same as for 4-PBA, combination of VPA with other treatments exacerbate the beneficial effects compared to either drug alone [498]. Combination of VPA with lithium enhances Ser9 phosphorylation of GSK-3 β in the lumbar spinal cord and brain. Trichostatin A (TSA) was also found to restore histone acetylation in spinal neurons of ALS animal models, which led to a decreased axon demyelination and increase survival rate in those mice [500].

EPIGENETIC TARGETS INDIRECTLY INVOLVED IN NEURODEGENERATION

Besides the epigenetic mechanisms affecting pathogenic genes directly involved in neurodegenerative diseases, other genes with an indirect influence on the disease phenotype may also be epigenetically altered. Several conditions, such as diabetes or those related to impaired lipid metabolism and cholesterol, (metabolic syndrome spectrum, stroke, vascular disorders) are considered as risk factors for a future onset of neurodegenerative disorders [103,501-507]. For instance, alterations in cholesterol metabolism are involved in AD pathogenesis and over 40% of AD patients are hypercholesterolemic. Genes associated with inflammation, vascular risk factors, and lipid metabolism, contain methylated CpG sites in their promoters which exhibit aberrant DNA methylation patterns leading to alter mRNA expression of those genes in symptomatic or pre-symptomatic stages of neurodegeneration [18,129,132,330,508,509] (Table 4).

Certain polymorphisms in genes encoding apolipoproteins (*APOB*, *APOC3*, *APOE*) are associated with defective enzyme activity which result in aberrant increase of LDL-family lipoproteins, cholesterol, and triglyceride levels. In a similar manner, aberrant epigenetic regulation of those genes would lead to an altered expression and defective enzyme function. Impaired lipid metabolism is associated with vascular impairment and subsequent decreased brain oxygen

and glucose supply. Depending on the anatomical site of the ischemic insult, this hypoxia might end up in stroke or dementia-related disorders. Furthermore, it is suggested that apolipoproteins also possess the ability to enhance clearance of A β and thus they can serve as early AD biomarkers. Therefore, aberrant expression of those genes can result in dysregulated A β -related metabolism and could enhance the formation of A β plaques. High levels of *APOB* mRNA and decreased *APOC3* mRNA are associated with risk for AD (Table 4). Identification of circulating A β -binding proteins in AD patients compared with individuals with AD family history (AD-FH) or without AD family history (NFH) indicate that *APOC3* was significantly reduced in AD-FH and AD compared with healthy controls, suggesting that *APOC3* expression might be an early marker of AD [508]. The gene encoding apolipoprotein E (*APOE*), is primarily associated with vascular risk and hypercholesterolemia and the *APOE- ϵ 4/ ϵ 4* haplotype is a hallmark of AD [104,109-111]. Although the *APOE* promoter is generally found hypomethylated, which would correspond to up-regulated gene expression, regulation of *APOE- ϵ 4* allele is not fully understood. As not all *APOE- ϵ 4* allele carriers develop AD or dementia-related disorders, it would be of real interest to know the difference on the methylation/mRNA status of this allele between individuals who develop or not AD. *APOE* expression is epigenetically down-regulated by miRNAs (miR-199a-3p, miR-199a-3p, and miR-1908-5p), which may also be used as diagnostic markers for AD [510].

Physiological symptoms of neurodegeneration involve impaired cell signaling and neuroinflammation. Up regulation of pro-inflammatory interleukins, such as *IL-1* and *IL-6*, as well as *TNF- α* , are signs of necrotic cell death. These three inflammatory markers were found up-regulated in AD [129,132,511], and *TNF- α* also in PD [330] (Table 4). *IL-1*, *TNF- α* , and *iNOS*, overexpression correspond to hypomethylated gene promoters [129,132,511]. Although methylation studies of *IL-6* in AD are still under debate, this IL has been highly-regulated in AD. Furthermore, *IL-6* up-regulation has been found linked to gene promoter hypomethylation in other pathologies, which suggests that this interleukin may also be hypomethylated in AD [129]. *TNF- α* was found hypomethylated in the dopaminergic neurons of the substantia nigra compared to other brain areas in PD patients and this is the cause of high *TNF- α* expression in these neurons [330]. Methylation of a single CpG in the *TNF- α* promoter inhibited the binding of SP1 and AP2 transcription factors and decreased *TNF- α* expression. Therefore, hypomethylation of this gene may explain the vulnerability of dopaminergic neurons to *TNF- α* inflammatory reactions [330].

One of the factors inducing global hypomethylation in neurodegenerative disorders, such as AD and PD, is the high hcy content in detriment of SAMe. The *MTHFR* gene encodes for the methyltetrahydrofolate reductase which remethylates the homocysteine into methionine. The

polymorphisms 1298A>C (rs1801131) and 677C>T (rs1801133) in *MTHFR*, result in a defective enzyme activity and the accumulation of homocysteine in plasma [512,513]. Hypermethylation of *MTHFR* gene promoter is the cause of down-regulated expression and subsequent low enzyme activity, resulting in the increase of hcy levels in AD patients

[18,23,143] (**Table 4**). Epigenomic characterization of these genes might provide an early diagnosis in presymptomatic stages of neurodegenerative disorders, which would allow and early treatment that retain or delay the onset of the disease.

Table 4. Epigenetic modifications in genes associated with lipid metabolism, vascular risk factors, and inflammation, involved in neurodegeneration

Pathogenic gene	Locus	Promoter lenght (bp)	3' UTR length (bp)	Defective protein	Epigenetic modifications	Pathology
<i>APOB</i>	2p24. 1	--	394	APOB	Upregulated mRNA	Risk for Alzheimer's disease
<i>APOC3</i>	11q23. 3	960	278	APOC3	Down-regulated mRNA	Risk for Alzheimer's disease
<i>APOE</i>	19q13. 2	996	--	APOE	Hypomethylated Up-regulated mRNA	Alzheimer's disease Dementia
<i>IL1B</i>	2q14	964	668	IL1B	Hypomethylated Up-regulated mRNA	Alzheimer's disease
<i>IL6</i>	7p21	1214	500	IL6	Hypomethylated Up-regulated mRNA	Alzheimer's disease
<i>MTHFR</i>	1p26. 32	959	--	MTHFR	Hypermethylated Down-regulated mRNA	Alzheimer's disease
<i>TNFA</i>	6p21. 33	1028	907	TNFA	Hypomethylated Up-regulated mRNA	Alzheimer's disease Parkinson's disease

APOB: apolipoprotein B; *APOC3*: apolipoprotein CIII; *APOE*: apolipoprotein E; *IL1B*: interleukin 1 beta; *IL6*: interleukin 6; *MTHFR*: methylenetetrahydrofolate; *TNFA*: tumor necrosis factor.

Drug effectiveness, required dosage, and toxicity depend on drug pharmacodynamics and pharmacokinetics. However, it is necessary to know the individual pharmacogenetic profile for an adequate personalized treatment. Individual differences in drug response are associated with genetic and epigenetic variability and disease determinants. Pharmacogenetic response to drugs can be classified into five different gene categories: (i) pathogenic genes involved in disease development or potential risk. Not all individuals carrying the same disease present the same affected pathogenic genes; (ii) genes associated with the mechanism of action of drugs (enzymes, receptors, messengers, etc); (iii) genes associated with drug metabolism. This category includes genes related to Phase I enzymes: alcohol dehydrogenases (*ADHs*), monoamine oxidases (*MAOs*), cytochrome p450 family genes (*CYPs*), among others, and Phase II enzymes: UDP glucuronosyltransferases (*UGTs*), glutathione S-transferase family genes (*GSTs*), N-acetyltransferase (*NATs*), sulfontransferases (*SULTs*), etc. ; (iv) genes associated with drug transporters (Phase III): ATP-binding cassette family members (*ABCs*), solute carrier

superfamily (*SLCs*), solute carrier organic transporter family (*SLCOs*); (v) pleiotropic genes involved in multiple pathways and metabolic reactions [7,8,22,23,103,105,107,108]. The efficiency of drug metabolizing products is influenced by genetic and epigenetic modifications on these genes [29,30,103,105]. Aberrant epigenetic modifications of pathogenic genes involved in the main neurodegenerative disorders (AD, PD, HD, ALS) and their effects are described in the above sections of this manuscript. In addition, epigenetic alterations in genes involved in drug metabolism and transport play a key role in the development of drug resistance. Information about epigenetic modifications of drug metabolism- and transport-related genes associated with neurodegenerative disorders is very low [22,23,129,131-133,306,514,515]. **Table 5** summarizes the main aberrant DNA methylation profiles in genes involved in drug metabolism and transport, found during neurodegenerative processes.

Table 5. Epigenetic modifications in drug metabolism-related and in transporter genes associated with neurodegenerative disorders.

Category	Gene	Locus	Promoter length (bp)	Pathology	Epigenetic changes
Phase I Drug Metabolism Genes	<i>CYP2E1</i>	10q26.3	918	Parkinson's Disease	Hypomethylated Up-regulated mRNA
	<i>GSST1</i>	22q11.2	917	Parkinson's Disease	Hypomethylated Upregulated mRNA
Phase II Drug Metabolism Genes	<i>GSTTP1</i>	11q13	958	Parkinson's Disease	Hypermethylated Downregulated mRNA
	<i>ABCA3</i>	16p13.3	2201	Parkinson's Disease	Hypomethylated Upregulated mRNA
Phase III Transporter Genes	<i>ABCA7</i>	19p13.3	967	Alzheimer's Disease	Hypomethylated Upregulated mRNA
	<i>SLC1A2</i>	11p13	996	Amyotrophic Lateral Sclerosis	Hypermethylated Downregulated mRNA
	<i>SLC12A5</i>	20q13.12	958	Parkinson's Disease	Hypomethylated Upregulated mRNA
	<i>SLC24A4</i>	14q32.12	1029	Alzheimer's Disease	Hypomethylated Upregulated mRNA
	<i>SLC25A24</i>	1p13.3	1059	Parkinson's Disease	Hypomethylated Upregulated mRNA

ABCA3: ATP-binding cassette, sub-family A (ABC1), member 3; *ABCA7*: ATP binding cassette subfamily A member 7; *CYP2E1*: cytochrome P450 family 2 subfamily E member 1; *GSST1*: Glutathione S-transferase theta 1; *GSTTP1*: Glutathione S-transferase pi 1; *SLC12A5*: Solute carrier family 12 (potassium/chloride transporter), member 5; *SLC1A2*: solute carrier family 1 member 2; *SLC24A4*: solute carrier family 24 member 4; *SLC25A24*: solute carrier family 25 member 24.

A number of polymorphisms associated with the pharmacogenomic profiles are well characterized and allow the prediction of many phenotypic variations in drug response. However, there is not clear information about the effects of epigenetic variations of these genes on drug response. For instance, we could predict that hypermethylation leading to down-regulation of genes involved in drug metabolism might lead to defective enzymes and generation of drug resistance, which would require and increased dosage and risk of toxicity. At counterpart, gene promoter hypomethylation-mediated overexpression, might involve an ultra rapid metabolic

response which would result in lower drug effectiveness. Several transporter genes are also involved in the control of cholesterol homeostasis and influence AD and PD pathogenesis. *ABCA1*, *ABCBC1*, and *ABCG2* influence AD and A β deposition in extracellular senile plaques [516-522]. Brain *ABCA1* mediates cholesterol and phospholipid efflux and lipidates APOE to allow its interaction with A β and inhibit formation of A β deposits [523]. *ABCA2*, the most abundant ABC transporter in human and rodents, may regulate esterification of plasma membrane-derived cholesterol by modulation of sphingolipid metabolism. Dysregulation of *ABCA2* gene may be involved in AD

pathogenesis [524]. Expression of these *ABC* transporter genes is epigenetically regulated through interaction with miRNAs, such as miR-33a/b-5p, miR-106b, and miR-758-5p, regulating *ABCA1* gene expression [510].

CONCLUSIONS AND FUTURE PERSPECTIVES

During the last decades, the attempts to develop accurate treatments for neurodegenerative diseases were unsuccessful. The complexity of these multigenic disorders hindered the understanding of the molecular mechanisms underlying their pathological progression which led to development of erratic therapeutic interventions for those diseases. FDA-approved drugs are symptomatic but do not inhibit or decrease disease progression in addition of the unbearable side effects in most cases. Therefore, there is an urgent need to develop new treatments that delay the onset of neurodegenerative disorders, improve the quality of life, and reduce the disease management costs. For instance, it has been estimated that a new drug, approved by 2025, capable to delay AD onset by 3-5 years, would decrease prevalence of the disease by 30%, and thus reduce the cost of AD management by \$300-400 billion/year in the USA by 2050 [525].

Epigenomics opens new avenues for treatment allowing: (i) a better understanding of molecular, metabolic, and cellular mechanisms of the disease; (ii) identification of new diagnostic targets, not only during symptomatic, but also at early and asymptomatic stages of the disease; (iii) identification of new modifications associated with drug resistance; (iv) design of potential and promising treatments targeting pathological rather than symptomatic hallmarks of disease; (v) Given the reversibility of epigenetic modifications, epigenetic drugs, or even dietary interventions, capable of reverse epigenetic alterations are promising perspectives for a new era of treatment for neurodegenerative disorders.

Most of those epigenetic-based drugs are submitted to clinical trials and FDA-approved for treatment of complex heterogenic diseases, such as cancer. However, none of these drugs are yet FDA-approved for treatment of neurodegeneration-related disorders, and just a few of them are under clinical trials for this purpose. A variety of those potential treatments, especially HDAC inhibitors, are successful in animal models although some of them are not very effective at physiological doses in human patients. Treatments targeting DNA methylation or miRNAs seem to display more promising results nowadays. Further research finding new epigenetic targets linked to neurodegenerative diseases would provide new epigenetic strategies for those disorders.

In order to examine drug efficiency and tolerability in human patients, it is necessary to administrate them according to the pharmacogenetic profile of each individual. Pharmacogenomics provides multiple benefits for clinical

trials and even for chronic treatment, including: (i) identification of candidate patients with ideal genomic profile for a particular drug; (ii) regulation of drug dosage according to the pharmacogenomic profile; (iii) enhance drug efficiency; (iv) reduction of drug interactions and adverse reactions; (v) reduction of costs derived from inappropriate drug selection. Pharmacogenomics thus allows personalized treatments according to requirements of each individual. Pharmacogenomics provides information about polymorphisms affecting pathogenic, mechanistic, metabolic, transporter, and pleiotropic genes affecting drug pharmacokinetics and pharmacodynamics, whereas pharmacoepigenomics deals with the influence that epigenetic alterations may exert on those genes. Interestingly, pharmacogenomics, which is associated with drug resistance, is reversible, and thus may change in response to endogenous and exogenous stimulus, such as pathological conditions, drug administration, dietary regimens, etc.

Different strategies may be implemented to improve drug efficiency. Appropriate combination of drugs, in accordance with pharmaco(epi)genomic profile, current prescriptions, anamnesis, and clinical history of the patient, may exacerbate their beneficial effects better than either separate drug [495,496]. For instance, it has been recently published that administration of statins are neuroprotective in stroke, cerebral ischemia, AD, PD, multiple sclerosis, epilepsy, and traumatic brain injury [526], due to their cholesterol lowering ability [527]. Furthermore, co-administration of Atorvastatin with the nutraceutical LipoEsar[®] (E-SAR-94010; LipoEsar[®]) enhances the hypolipemic effects of Atorvastatin and allows reduction of statin doses in order to minimize adverse reactions [509].

The use of nutraceuticals, not only as dietary complements, but also as for therapeutic treatments is becoming more extensive nowadays. Nutraceuticals are vegetable or marine bioderivatives obtained by means of non-denaturing biotechnological processes, which enable the preservation of the bioactive properties. The natural precedence of these products added to the absence of synthetic additives rules out the risk for adverse side effects. LipoEsar[®] has been demonstrated to reduce total cholesterol, LDL-cholesterol, and triglycerides, and to increase the levels of HDL-cholesterol levels in blood. LipoEsar[®] is also a powerful anti-atheromatous compound [105,110,528]. As hypercholesterolemia is one of the hallmarks of dementia-related neurodegenerative disorders, hypolipemic effect of LipoEsar[®] in combination with Atorvastatin, may constitute a potential treatment for neurodegenerative disorders involving dementia with barely non side-effects [105,509]. Another promising nutraceutical compound, Atremorine[®] (E-PodoFavaLin-15999[®]), is obtained from the vegetable species *Vicia faba*, L, a natural source of L-Dopa. Atremorine[®] displays spectacular effects on Parkinson's disease animal models and patients. Combination of

Atremorine with other dopaminergic compounds increase dopamine levels at physiological range and reduces the required dosage of those compounds, minimizing the possibility of adverse reactions [529]. It will be of great interest to examine the effects of these nutraceutical compounds on the epigenetic machinery in order to establish a new and promising direction in the treatment of neurodegenerative and other complex disorders.

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